

=> file medline,biosis,uspatfull,pctfull  
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FULL ESTIMATED COST

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FILE 'USPATFULL' ENTERED AT 09:25:38 ON 17 MAR 2003  
CA INDEXING COPYRIGHT (C) 2003 AMERICAN CHEMICAL SOCIETY (ACS)

FILE 'PCTFULL' ENTERED AT 09:25:38 ON 17 MAR 2003  
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=> s nerve growth factor  
L1 36485 NERVE GROWTH FACTOR

=> s proNGF or preproNGF  
L2 82 PRONGF OR PREPRONGF

=> s l2 and (natur##### or denatur##### or renatur#####)  
L3 36 L2 AND (NATUR##### OR DENATUR##### OR RENATUR#####)

=> duplicate remove  
ENTER L# LIST OR (END):l3  
DUPLICATE PREFERENCE IS 'MEDLINE, BIOSIS, USPATFULL, PCTFULL'  
KEEP DUPLICATES FROM MORE THAN ONE FILE? Y/(N):n  
PROCESSING COMPLETED FOR L3  
L4 34 DUPLICATE REMOVE L3 (2 DUPLICATES REMOVED)

=>

=> d 1-34

L4 ANSWER 1 OF 34 USPATFULL  
AN 2003:57518 USPATFULL  
TI Mutant pro-neurotrophin with improved activity  
IN Tuszynski, Mark, La Jolla, CA, UNITED STATES  
Blesch, Armin, San Diego, CA, UNITED STATES  
PI US 2003040082 A1 20030227  
AI US 2001-788188 A1 20010216 (9)  
DT Utility  
FS APPLICATION  
LN.CNT 628  
INCL INCLM: 435/069.400  
INCLS: 424/093.210; 435/325.000; 536/023.500; 530/399.000; 435/320.100  
NCL NCLM: 435/069.400  
NCLS: 424/093.210; 435/325.000; 536/023.500; 530/399.000; 435/320.100  
IC [7]  
ICM: A61K048-00  
ICS: C07H021-04; C12N005-06; A61K038-18; C07K014-48

L4 ANSWER 2 OF 34 USPATFULL  
AN 2002:251935 USPATFULL  
TI Purification of NGF  
IN Burton, Louis E., San Mateo, CA, UNITED STATES  
Schmelzer, Charles H., Burlingame, CA, UNITED STATES  
Reck, James T., Westlake Village, CA, UNITED STATES

FILE: 1996-038381 19961111 00  
1996-038381 19961111 00

FS APPLICATION  
LN.CNT 2052  
INCL INCLM: 530/350.000  
INCLS: 530/417.000  
NCL NCLM: 530/350.000  
NCLS: 530/417.000  
IC [7]  
ICM: C07K014-435

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 3 OF 34 USPATFULL  
AN 2002:85534 USPATFULL  
TI NOVEL NEUROTROPHIC FACTOR  
IN ROSENTHAL, ARNON, PACIFICA, CA, UNITED STATES  
PI US 2002045576 A1 20020418  
US 6506728 B2 20030114  
AI US 1995-450842 A1 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995, ABANDONED  
Continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, ABANDONED  
A 371 of International Ser. No. WO 1991-US6950, filed on 24 Sep 1991,  
UNKNOWN Continuation-in-part of Ser. No. US 1991-648482, filed on 31 Jan  
1991, ABANDONED Continuation-in-part of Ser. No. US 1990-587707, filed  
on 25 Sep 1990, GRANTED, Pat. No. US 5364769

DT Utility  
FS APPLICATION  
LN.CNT 2815  
INCL INCLM: 514/012.000  
INCLS: 514/002.000  
NCL NCLM: 514/012.000  
NCLS: 514/002.000  
IC [7]  
ICM: A01N037-16  
ICS: A61K038-17

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 4 OF 34 USPATFULL  
AN 2002:254170 USPATFULL  
TI Assays for promoter operability in central nervous system cells  
IN Kahn, Axel, Paris, FRANCE  
Le Gal la Salle, Gildas, Saint Cloud, FRANCE  
Mallet, Jacques, Paris, FRANCE  
Perricaudet, Michel, Ecrosnes, FRANCE  
Peschanski, Marc, Creteil, FRANCE  
Robert, Jean-Jacques, Sceaux, FRANCE

PA Aventis Pharma S.A., Antony, FRANCE (non-U.S. corporation)  
PI US 6458529 B1 20021001  
AI US 1995-459994 19950602 (8)  
RLI Continuation of Ser. No. US 1993-403868, filed on 17 Sep 1993  
PRA1 EP 1992-402644 19920925  
DT Utility  
FS GRANTED  
LN.CNT 1047  
INCL INCLM: 435/006.000  
INCLS: 435/007.910; 435/455.000; 435/320.100; 424/093.100; 424/093.200  
NCL NCLM: 435/006.000  
NCLS: 424/093.100; 424/093.200; 435/007.910; 435/320.100; 435/455.000  
IC [7]  
ICM: C12Q001-68  
ICS: C12N015-63; C12N015-00; A01N063-00

WWW PATENT & TRADEMARK OFFICE

ABSTRACT OF DISCLOSURE  
TI Isolation of neurotrophins from a mixture containing other proteins and  
neurotrophin variants using hydrophobic interaction chromatography

Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6423831 B1 20020723  
AI US 2000-675503 20000929 (9)  
RLI Continuation of Ser. No. US 1999-363573, filed on 29 Jul 1999, now  
patented, Pat. No. US 6184360 Continuation of Ser. No. US 1997-970865,  
filed on 14 Nov 1997, now patented, Pat. No. US 6005081  
PRAI US 1997-47855P 19970529 (60)  
US 1996-30838P 19961115 (60)  
DT Utility  
FS GRANTED  
LN.CNT 2348  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 6 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 2002096356 PCTFULL ED 20021217 EW 200249  
TIEN HIGH AFFINITY LIGAND FOR p75 NEUROTROPHIN RECEPTOR  
TIFR LIGAND A FORTE AFFINITE POUR LE RECEPTEUR DE LA NEUROTROPHINE P75  
IN HEMPSTEAD, Barbara, L., 525 East 86th Street, Apartment 9c, New York, NY  
10028, US [US, US];  
LEE, Ramee, 1233 York Avenue, #16E, New York, NY 10021, US [US, US];  
TENG, Kenneth, K., 315 West 86th Street, Apartment 8B, New York, NY  
10024, US [US, US];  
KERMANI, Pouneh, 320 East Shore Road, Apartment 12A, Greack Neck, NY  
11023, US [CA, US]  
PA CORNELL RESEARCH FOUNDATION, INC., 20 Thornwood Drive, Ithaca, NY 14850,  
US [US, US], for all designates States except US;  
HEMPSTEAD, Barbara, L., 525 East 86th Street, Apartment 9c, New York, NY  
10028, US [US, US], for US only;  
LEE, Ramee, 1233 York Avenue, #16E, New York, NY 10021, US [US, US], for  
US only;  
TENG, Kenneth, K., 315 West 86th Street, Apartment 8B, New York, NY  
10024, US [US, US], for US only;  
KERMANI, Pouneh, 320 East Shore Road, Apartment 12A, Greack Neck, NY  
11023, US [CA, US], for US only  
AG FEIT, Irving, N., Hoffmann & Baron, LLP, 6900 Jericho Turnpike, Syosset,  
NY 11791, US  
LAF English  
LA English  
DT Patent  
FI WO 2002096356 A2 20021205  
DS W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CF CU CZ  
DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ  
NO NZ OM PH PL PT PO RU SD SE SG SI SK SL TJ TM TN TP TT TZ  
UA UG US UZ VN YU ZA ZM ZW  
FW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
FW (EAPG): AM AZ BY EG KZ MD RU TJ TM  
FW (EPC): AT BE CH CY DE DK EE ES FI FR GB GR HU IE IT JP KE KG

L4 ANSWER 7 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 2002082075 PCTFULL ED 20021028 EW 200242  
TIEN HIGH AFFINITY LIGAND FOR p75 NEUROTROPHIN RECEPTOR  
TIFR LIGAND A FORTE AFFINITE POUR LE RECEPTEUR DE LA NEUROTROPHINE P75  
IN HEMPSTEAD, Barbara, L., 525 East 86th Street, Apartment 9c, New York, NY  
10028, US [US, US];  
LEE, Ramee, 1233 York Avenue, #16E, New York, NY 10021, US [US, US];  
TENG, Kenneth, K., 315 West 86th Street, Apartment 8B, New York, NY  
10024, US [US, US];  
KERMANI, Pouneh, 320 East Shore Road, Apartment 12A, Greack Neck, NY  
11023, US [CA, US];  
FEIT, Irving, N., Hoffmann & Baron, LLP, 6900 Jericho Turnpike, Syosset,  
NY 11791, US

TIFR PROCEDE DE DEPISTAGE DE ADIES DEMENTIELLES CHRONIQUES, PEPTIDES ET  
 REACTIFS DE DEPISTAGE CORRESPONDANTS  
 TIDE VERFAHREN ZUM NACHWEIS CHRONISCH-DEMENTIELLER ERKRANKUNGEN, ZUGEHÖRIGE  
 PEPTIDE UND NACHWEISREAGENZIE  
 IN LAMPING, Norbert, Siegesstrasse 8, 30175 Hannover, DE [DE, DE];  
 ZUCHT, Hans-Dieter, Von-Escherte-Strasse 6, 30539 Hannover, DE [DE, DE];  
 HEINE, Gabriele, Waldstrasse 22, 30163 Hannover, DE [DE, DE];  
 JUERGENS, Michael, Waldstrasse 22, 30163 Hannover, DE [DE, DE];  
 HESS, Ruediger, Bollnaeser Strasse 2, 30629 Hannover, DE [DE, DE];  
 SELLE, Hartmut, Eickenriede 15, 30459 Hannover, DE [DE, DE];  
 KELLMANN, Markus, Heinrich-Stamme-Strasse 3, 30171 Hannover, DE [DE, DE]  
 PA BIOVISION AG, Feodor-Lynen-Strasse 5, 30625 Hannover, DE [DE, DE], for  
 all designates States except US;  
 LAMPING, Norbert, Siegesstrasse 8, 30175 Hannover, DE [DE, DE], for US  
 only;  
 ZUCHT, Hans-Dieter, Von-Escherte-Strasse 6, 30539 Hannover, DE [DE, DE],  
 for US only;  
 HEINE, Gabriele, Waldstrasse 22, 30163 Hannover, DE [DE, DE], for US  
 only;  
 JUERGENS, Michael, Waldstrasse 22, 30163 Hannover, DE [DE, DE], for US  
 only;  
 HESS, Ruediger, Bollnaeser Strasse 2, 30629 Hannover, DE [DE, DE], for  
 US only;  
 SELLE, Hartmut, Eickenriede 15, 30459 Hannover, DE [DE, DE], for US  
 only;  
 KELLMANN, Markus, Heinrich-Stamme-Strasse 3, 30171 Hannover, DE [DE,  
 DE], for US only  
 AG LAeUFER, Martina, Gramm, Lins & Partner GbR, Freundallee 13, 30173  
 Hannover, DE  
 LAF German  
 LA German  
 DT Patent  
 PI WO 2002082075 A2 20021017  
 DS W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
 DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
 KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ  
 NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ  
 UA UG US UZ VN YU ZA ZM ZW  
 PW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
 PW (EAPO): AM AZ BY KG KZ MD RU TJ TM  
 RW (EPO): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
 RW (OAPI): BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
 AI WO 2002-DE1376 A 20020408  
 PRAI DE 2001-101 17 431.4 20010406  
 ICM G01N033-08  
 L4 ANSWER 8 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 2002066645 PCTFULL ED 20020910 EW 200235  
 TIEN MUTANT PRO-NEUROTROPHIN WITH IMPROVED ACTIVITY  
 TIFR PRO-NEUROTROPHINES MUTANTES D'UNE ACTIVITE PLUS EFFICACE  
 IN TUSZYNSKI, Mark, 7508 Mar Avenue, La Jolla, CA 92037, US;  
 ELESCH, Armin, 4360 Mt. Putman Avenue, San diego, CA 92107, US  
 PA REGENTS OF THE UNIVERSITY OF CALIFORNIA, Office of Technology Transfer,  
 5th floor, 1111 Franklin Street, Oakland, CA 94607-5200, US [US, US]  
 AG TAYLOR, Stacy, L., Foley & Lardner, P.O. Box 80278, San Diego, CA  
 92138-0278, US  
 LAF English  
 LA English  
 DT Patent

PW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
 PW (EAPO): AM AZ BY KG KZ MD RU TJ TM

AI WO 2002-US4395 A 20215  
PRAI US 2001-09/788,188 20010216  
ICM C12N015-12  
ICS C07K014-475; A61K038-17

L4 ANSWER 9 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 2002044203 PCTFULL ED 20020524 EW 200223  
TIEN PRODUCTION OF RECOMBINANT BMP-2  
TIFR PRODUCTION DE BMP-2 RECOMBINEE  
TIDE HERSTELLUNG VON REKOMBINANTEM BMP-2  
IN RUDOLPH, Rainer, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE];  
SCHWARZ, Elisabeth, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE];  
HERP, Gerhard, Turmstrasse 16, 35578 Wetzlar, DE [DE, DE];  
HILLGER, Frank, Fischereiweg 32, 06846 Dessau, DE [DE, DE]  
PA SCIL PROTEINS GMBH, Heinrich-Damerow-Strasse 1, 06120 Halle, DE [DE,  
DE], for all designates States except US;  
RUDOLPH, Rainer, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE], for  
US only;  
SCHWARZ, Elisabeth, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE],  
for US only;  
HERP, Gerhard, Turmstrasse 16, 35578 Wetzlar, DE [DE, DE], for US only;  
HILLGER, Frank, Fischereiweg 32, 06846 Dessau, DE [DE, DE], for US only  
AG WEICKMANN & WEICKMANN, Postfach 860 820, 81635 Muenchen, DE  
LAF German  
LA German  
DT Patent  
PI WO 2002044203 A2 20020606  
DS W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ  
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA  
UG US UZ VN YU ZA ZM ZW  
PW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
PW (EAPO): AM AZ BY KG KZ MD RU TJ TM  
PW (EPO): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
PW (OAPI): BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
AI WO 2001-EP13840 A 20011127  
PRAI DE 2000-100 59 336.4 20001129  
ICM C07K014-00

L4 ANSWER 10 OF 34 USPATFULL  
AN 2001:18606 USPATFULL  
TI Purification of NGF  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6184360 B1 20010206  
AI US 1999-363573 19990729 (9)  
RLI Continuation of Ser. No. US 1997-970865, filed on 14 Nov 1997, now  
patented, Pat. No. US 6005081  
PRAI US 1996-30838P 19961115 (60)  
US 1997-47855P 19970529 (60)  
DT Utility  
FS Granted  
LN.CNT 2226  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
135/000.000; 135/000.000; 135/000.000; 135/000.000; 135/000.000

EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 438.000;  
135/000.000

L4 ANSWER 11 OF 34 USPATFULL  
 AN 2001:7868 USPATFULL  
 TI Neuronal factor  
 IN Rosenthal, Arnon, Pacifica, CA, United States  
 Winslow, John W., El Granada, CA, United States  
 PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
 PI US 6174701 B1 20010116  
 AI US 1995-455741 19950531 (8)  
 RLI Continuation of Ser. No. US 1995-381030, filed on 31 Jan 1995  
 Continuation of Ser. No. US 1990-494024, filed on 15 Mar 1990, now  
 abandoned Continuation-in-part of Ser. No. US 1989-449811, filed on 12  
 Dec 1989, now abandoned  
 DT Utility  
 FS Granted  
 LN.CNT 1480  
 INCL INCLM: 435/069.100  
 INCLS: 536/023.500; 435/320.100; 435/325.000; 435/352.000; 435/354.000;  
 435/357.000; 435/358.000; 435/364.000; 435/366.000; 435/367.000;  
 435/252.300; 435/252.330; 435/069.700; 435/069.800  
 NCL NCLM: 435/069.100  
 NCLS: 435/069.700; 435/069.800; 435/252.300; 435/252.330; 435/320.100;  
 435/325.000; 435/352.000; 435/354.000; 435/357.000; 435/358.000;  
 435/364.000; 435/366.000; 435/367.000; 536/023.500  
 IC [7]  
 ICM: C12N015-00  
 ICS: C12N005-02; C12P021-06; C07H021-04  
 EXF 435/6; 435/69.1; 435/69.8; 435/240.2; 435/252.3; 435/252.33; 435/320.1;  
 435/325; 435/352; 435/354; 435/357; 435/358; 435/364; 435/366; 435/367;  
 536/23.5; 536/24.3; 536/24.31; 536/24.33  
 CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 12 OF 34 BIOSIS COPYRIGHT 2003 BIOLOGICAL ABSTRACTS INC.  
 AN 2001:562490 BIOSIS  
 DN PREV200100562490  
 TI A **naturally** occurring, high affinity p75 ligand which  
 selectively activates p75, but not Trk receptors.  
 AU Lee, R. (1); Kermani, P. (1); Salzer, J. L.; Hempstead, B. L. (1)  
 CS (1) Weill Med Cornell Univ, New York, NY USA  
 SO Society for Neuroscience Abstracts, (2001) Vol. 27, No. 2, pp. 1804.  
 print.  
 Meeting Info.: 31st Annual Meeting of the Society for Neuroscience San  
 Diego, California, USA November 10-15, 2001  
 ISSN: 0190-5295.  
 DT Conference  
 LA English  
 SL English

L4 ANSWER 13 OF 34 USPATFULL  
 AN 2000:31394 USPATFULL  
 TI Neurotrophic factor (NT 4)  
 IN Rosenthal, Arnon, Pacifica, CA, United States  
 PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
 corporation)  
 PI US 6037320 20000314  
 AI US 1997-928694 19970912 (8)  
 RLI Continuation of Ser. No. US 1995-451947, filed on 26 May 1995, now  
 patented, Pat. No. US 5702906 which is a division of Ser. No. US  
 1995-426419, filed on 19 Apr 1995, now abandoned which is a continuation  
 of Ser. No. US 30013

L4  
 AN  
 DN  
 TI  
 IN  
 PA  
 PI  
 AI  
 RLI  
 NCLM: 435/069.100  
 NCLS: 435/069.700; 435/069.800; 435/252.300; 435/252.330; 435/320.100; 435/325.000; 435/352.000; 435/354.000; 435/357.000; 435/358.000; 435/364.000; 435/366.000; 435/367.000; 536/023.500

ICS: C07K014-475  
EXF 514/2; 514/12; 530/350  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 14 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 2000026348 PCTFULL ED 20020515  
TIEN MAMMALIAN SUBTILISIN/KEXIN ISOZYME SKI-1: A PROPROTEIN CONVERTASE WITH A  
UNIQUE CLEAVAGE SPECIFICITY  
TIFR SUBTILISINE/KEXINE ISOZYME SKI-1 MAMMIFEPE : PROPROTEINE CONVERTASE  
D'OTEE D'UNE SPECIFICITE DE CLIVAGE UNIQUE  
IN SEIDAH, Nabil;  
CHRETIEN, Michel;  
MARCINKIEWICZ, Mieczyslaw;  
LAAKSONEN, Reijo;  
DAVIGNON, Jean  
PA INSTITUT DE RECHERCHES CLINIQUES DE MONTREAL;  
SEIDAH, Nabil;  
CHRETIEN, Michel;  
MARCINKIEWICZ, Mieczyslaw;  
LAAKSONEN, Reijo;  
DAVIGNON, Jean  
LA English  
DT Patent  
PI WO 2000026348 A2 20000511  
DS W: AE AL AM AT AU AZ BA BB BG BF BY CA CH CN CR CU CZ DE DK DM  
EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU  
SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW GH  
GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT  
BE CH CY DE DK ES FI FR GB GE IE IT LU MC NL PT SE BF BJ CF  
CG CI CM GA GN GW ML MR NE SN TD TG  
AI WO 1999-CA1058 A 19991104  
PRAI CA 1998-2,249,648 19981104  
ICM C12N009-64  
ICS C07K014-81

L4 ANSWER 15 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 2000022119 PCTFULL ED 20020515  
TIEN METHOD FOR OBTAINING ACTIVE  $\beta$ -NGF  
TIFR PROCEDE D'OBTENTION DE NGF- $\beta$ ; ACTIF  
IN RUDOLPH, Rainer;  
RATTENHOLL, Anke;  
SCHWARZ, Elisabeth;  
GROSSMANN, Adelbert  
PA RUDOLPH, Rainer;  
RATTENHOLL, Anke;  
SCHWARZ, Elisabeth;  
GROSSMANN, Adelbert  
LA German  
DT Patent  
PI WO 2000022119 A1 20000420  
DS W: AU BR CA JP KR US ZA  
AI WO 1999-EP7613 A 19991011  
PRAI EP 1998-98119077.0 19981009  
ICM C12N015-12  
ICS C07K014-48

L4 ANSWER 16 OF 34 USPATFULL  
AN 1999:167121 USPATFULL  
TI

PI WO 200005081 19991221  
AI US 1997-970889 19971114 8

DT Utility  
FS Granted  
LN.CNT 2397  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [6]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 17 OF 34 USPATFULL  
AN 1999:137455 USPATFULL  
TI Transferrin receptor specific ligand-neuropharmaceutical agent fusion  
proteins  
IN Friden, Phillip M., Bedford, MA, United States  
Starzyk, Ruth M., Framingham, MA, United States  
Morrison, Sherie L., Los Angeles, CA, United States  
Park, Eun-Chung, Boston, MA, United States  
McGrath, John P., Cambridge, MA, United States  
PA Alkermes, Inc., United States (U.S. corporation)  
The Regents of the University of California, United States (U.S.  
corporation)  
PI US 5977307 19991102  
WO 9521245 19950810  
AI US 1996-581543 19960213 (8)  
WO 1995-US1469 19950203  
19961126 PCT 371 date  
19961126 PCT 102(e) date  
RLI Continuation-in-part of Ser. No. US 1993-94534, filed on 16 Jul 1993,  
now patented, Pat. No. US 5672683 which is a continuation-in-part of  
Ser. No. US 1992-999803, filed on 20 Nov 1992, now abandoned which is a  
division of Ser. No. US 1992-846830, filed on 6 Mar 1992, now patented,  
Pat. No. US 5182107 which is a continuation-in-part of Ser. No. WO  
1990-US5077, filed on 7 Sep 1990 which is a continuation-in-part of Ser.  
No. US 1989-404089, filed on 7 Sep 1989, now patented, Pat. No. US  
5154924

DT Utility  
FS Granted  
LN.CNT 2264  
INCL INCLM: 530/350.000  
INCLS: 530/387.100; 530/399.000; 435/069.700; 536/023.400  
NCL NCLM: 530/350.000  
NCLS: 435/069.700; 530/387.100; 530/399.000; 536/023.400  
IC [6]  
ICM: C07K001-00  
ICS: C07K014-00; A61K038-24; C07H021-04  
EXF 530/350; 530/387.4; 530/399; 530/324; 530/387.1; 435/69.7; 536/23.4  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 18 OF 34 USPATFULL  
AN 1999:92541 USPATFULL  
TI Protein expression system  
IN Sgarlato, Gregory D., Los Gatos, CA, United States  
PA Technologene, Inc., Los Gatos, CA, United States (U.S. corporation)

INCL INCLM: 435/069.700  
INCLS: 435/069.700; 530/350.000; 536/023.400  
NCL NCLM: 435/069.700



IC [6]  
ICM: C07K019-00  
ICS: C12N015-62  
EXF 435/69.7; 435/69.8; 435/207; 435/68.1; 436/532; 436/828; 530/387.1;  
530/350; 530/413; 530/812; 530/866; 530/867; 536/23.4; 536/23.2;  
536/23.53; 536/23.7; 935/47  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 19 OF 34 USPATFULL  
AN 1998:135007 USPATFULL  
TI Neurotrophic factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 5830858 19981103  
AI US 1995-424826 19950419 (8)  
RLI Continuation of Ser. No. US 1994-240387, filed on 10 May 1994, now  
abandoned which is a continuation of Ser. No. US 1991-648482, filed on  
13 Jan 1991, now abandoned which is a continuation-in-part of Ser. No.  
US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US 5364769  
DT Utility  
FS Granted  
LN.CNT 2363  
INCL INCLM: 514/012.000  
INCLS: 514/002.000, 530/350.000, 530/395.000, 530/399.000, 530/402.000,  
435/069.100  
NCL NCLM: 514/012.000  
NCLS: 435/069.100; 514/002.000; 530/350.000; 530/395.000; 530/399.000;  
530/402.000

IC [6]  
ICM: A61K038-18  
ICS: C07K014-475  
EXF 514/2; 514/12; 530/350; 530/395; 530/399; 530/402; 435/69.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 20 OF 34 USPATFULL  
AN 1998:1897 USPATFULL  
TI Neurotrophic factors having altered receptor binding specificities  
IN Persson, Hakan Bengt, Vreta Gard, S-14743 Tumba, Sweden  
Moliner, Carlos Fernando Ibanez, Tangvagen 29, S-12638 Hagersten, Sweden  
PI US 5705617 19980106  
AI US 1994-300044 19940902 (8)  
RLI Division of Ser. No. US 1992-847369, filed on 6 Mar 1992, now patented,  
Pat. No. US 5349055  
DT Utility  
FS Granted  
LN.CNT 1195  
INCL INCLM: 530/399.000  
INCLS: 530/350.000  
NCL NCLM: 530/399.000  
NCLS: 530/350.000

IC [6]  
ICM: C07K014-475  
EXF 530/399; 514/12; 435/69.4; 435/320.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 21 OF 34 USPATFULL  
AN 97:123048 USPATFULL  
TI Antibodies to neurotrophic factor 4 (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States

Continuation of Ser. No. US 1994-240387, filed on 10 May 1994, now  
abandoned which is a continuation-in-part of Ser. No. US 1991-648482,  
filed on 13 Jan 1991, now abandoned which is a continuation-in-part of Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US 5364769

5364769  
DT Utility  
FS Granted  
LN.CNT 2046  
INCL INCLM: 435/007.100  
INCLS: 530/387.100; 530/387.900; 530/388.240; 530/413.000; 435/336.000;  
435/236.000  
NCL NCLM: 435/007.100  
NCLS: 435/236.000; 435/336.000; 530/387.100; 530/387.900; 530/388.240;  
530/413.000  
IC [6]  
ICM: G01N033-53  
ICS: C12N005-12; C07K016-22; C07K001-16  
EXF 424/139.1; 424/141.1; 424/145.1; 424/9.1; 435/7.1; 435/336; 530/387.1;  
530/387.9; 530/388.1; 530/388.15; 530/388.24; 530/389.1; 530/389.2;  
530/391.3; 530/413  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 22 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 1997028272 PCTFULL ED 20020514  
TIEN PROTEIN EXPRESSION SYSTEM  
TIFR SYSTEME D'EXPRESSION DE PROTEINES  
IN SGARLATO, Gregory, D.  
PA TECHNOLOGENE INC.  
LA English  
DT Patent  
PI WO 9728272 A1 19970807  
DS W: AU CA JP AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
AI WO 1997-US1470 A 19970131  
PRAI US 1996-8/595,043 19960131  
ICM C12P021-00  
ICS C12P021-06; C07K001-12; C07K001-22; C07K014-46; C07K014-195;  
C07K016-00; C07K019-00; C07H021-04; C12N009-38

L4 ANSWER 23 OF 34 MEDLINE DUPLICATE 1  
AN 96177872 MEDLINE  
DN 96177872 PubMed ID: 8615794  
TI Cellular processing of the nerve growth factor precursor by the mammalian  
pro-protein convertases.  
AU Seidah N G; Benjannet S; Pareek S; Savaria D; Hamelin J; Goulet B;  
Laliberte J; Lazure C; Chretien M; Murphy R A  
CS J. A. DeSeve Laboratories of Biochemical and Molecular Neuroendocrinology,  
Clinical Research Institute of Montreal, University of Montreal, Canada.  
SO BIOCHEMICAL JOURNAL, (1996 Mar 15) 314 ( Pt 3) 951-60.  
Journal code: 2984726R. ISSN: 0264-6021.  
CY ENGLAND: United Kingdom  
DT Journal; Article; (JOURNAL ARTICLE)  
LA English  
FS Priority Journals  
EM 199606  
ED Entered STN: 19960613  
Last Updated on STN: 20000303  
Entered Medline: 19960603

L4 ANSWER 24 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
AN 1995002421 PCTFULL ED 20020514  
TIEN TRANSFERRIN RECEPTOR SPECIFIC LIGAND NEUROPHARMACEUTICAL AGENT FUSION  
PROTEINS  
TIFR PROTEINES DE FUSION A LIGAND SPECIFIQUE DU RECEPTEUR DE LA TRANSFERRINE  
ET A AGENT NEUROPHARMACEUTIQUE

LA KASPAH, J. M.;  
ALKEPMES, INC.;  
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA;  
MILPITAS, CA 95035

MORRISON, Sherie, L.;  
PARK, Eun-Chung;  
McGRATH, John, P.

LA English

DT Patent

PI WO 9502421 A1 19950126

DS W: AM AT AU BB BG BR BY CA CH CN CZ DE DK ES FI GB GE HU JP KE  
KG KP KR KZ LK LT LU LV MD MG MN MW NL NO NZ PL PT RO RU SD  
SE SI SK TJ TT UA US UZ VN KE MW SD AT BE CH DE DK ES FR GB  
GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN  
TD TG

AI WO 1994-US8000 A 19940718

PRAI US 1993-8/094,534 19930716

ICM A61K047-48

ICS C07K014-65

L4 ANSWER 25 OF 34 USPATFULL

AN 94:99824 USPATFULL

TI Nucleic acid encoding neurotrophic factor four (NT-4), vectors, host  
cells and methods of production

IN Rosenthal, Arnon, Pacifica, CA, United States

PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)

PI US 5364769 19941115

AI US 1990 587707 19900925 (7)

DT Utility

FS Granted

LN.CNT 1357

INCL INCLM: 435/069.100

INCLS: 435/069.400; 435/320.100; 435/240.100; 435/240.200; 536/023.500;  
536/023.510

NCL NCLM: 435/069.100

NCLS: 435/069.400; 435/320.100; 435/369.000; 536/023.500; 536/023.510

IC [5]

ICM: C12N005-10

ICS: C12N015-18; C12N015-12

EXF 536/27; 536/23.50; 536/23.51; 536/252.3; 435/69.1; 435/69.4; 435/320.1;  
435/240.1; 435/240.2

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 26 OF 34 USPATFULL

AN 94:82349 USPATFULL

TI Nerve growth factor having altered receptor binding specificities

IN Persson, Hakan B., Vreta Gard, S-14743 Tumba, Sweden

Moliner, Carlos F. I., Tangvagen 29, S-12638 Hagersten, Sweden

PI US 5349055 19940920

AI US 1992 847369 19920306 (7)

DT Utility

FS Granted

LN.CNT 1154

INCL INCLM: 530/399.000

INCLS: 930/120.000

NCL NCLM: 530/399.000

NCLS: 930/120.000

IC [5]

ICM: C07K013-00

EXF 530/399; 530/387; 930/120

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L4 ANSWER 27 OF 34 PATFULL COPYRIGHT 2000 BY THE PATENT OFFICE

IN FARRN, Axel;  
MALLET, Jacques;  
FARRN, Axel;

ROBERT, Jean-Jacques;  
 LE GAL LA SALLE, Gildas  
 PA RHONE-POULENC RORER S.A.;  
 INSTITUT NATIONAL DE LA SANTE ET DE LA RECHERCHE MEDICALE;  
 KAHN, Axel;  
 MALLET, Jacques;  
 PERRICAUDET, Michel;  
 PESCHANSKI, Marc;  
 ROBERT, Jean-Jacques;  
 LE GAL LA SALLE, Gildas  
 LA French  
 DT Patent  
 PI WO 9408026 A1 19940414  
 DS W: AU CA FI HU JP NO NZ US AT BE CH DE DK ES FR GB GR IE IT LU  
 MC NL PT SE  
 AI WO 1993-EP2519 A 19930917  
 PRAI FR 1992-92402644.6 19920925  
 ICM C12N015-86  
 ICS C12N015-00; A61K039-235; C12N015-11; C12N005-10; A61K048-00  
 L4 ANSWER 28 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993025684 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUE ET DIAGNOSTIQUE BASES SUR L'EXPRESSION DE LA  
 NEUROTROPHINE-4  
 IN IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 LA English  
 DT Patent  
 PI WO 9325684 A1 19931223  
 DS W: AU BB BG BR BY CA CZ FI HU JP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT  
 SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
 AI WO 1993-US5672 A 19930611  
 PRAI US 1992-898,194 19920612  
 ICM C12N015-12  
 ICS C12Q001-68; C12P021-08; A61K037-02  
 L4 ANSWER 29 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993018066 PCTFULL ED 20020513  
 TIEN NEUROTROPHIC FACTORS HAVING ALTERED RECEPTOR BINDING SPECIFICITIES  
 TIFR FACTEURS NEUROTROPHIQUES DOTES DE PROPRIETES MODIFIEES CONCERNANT LA  
 LIAISON A DES RECEPTEURS  
 IN PERSSON, Hakan, Bengt;  
 MOLINEF, Carlos, Fernando, Ibanez  
 PA PERSSON, Hakan, Bengt;  
 MOLINEF, Carlos, Fernando, Ibanez

WO 1993-08001 A 19930601  
 PRAI US 1992-01417 A 19920612

AI WO 1993-08001 A 19930601  
 PRAI US 1992-01417 A 19920612

L4 ANSWER 30 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993010150 PCTFULL ED 20020513  
 TIEN EXPRESSION OF NEUROTROPHIC FACTORS WITH HETEROLOGOUS PREPRO REGIONS  
 TIFR EXPRESSION DE FACTEURS NEUROTROPHIQUES AU MOYEN DE REGIONS PREPRO  
 HETEROLOGUES  
 IN SQUINTO, Stephen, P.;  
 IP, Nancy;  
 GIES, David;  
 YANCOPOULOS, George, D.;  
 HU, Shaw-Fen, Sylvia  
 PA REGENERON PHARMACEUTICALS, INC.;  
 AMGEN, INC.  
 LA English  
 DT Patent  
 PI WO 9310150 A1 19930527  
 DS W: AU BB BG BR CA CS FI HU JP KR LK MG MN MW NO PL RO RU SD UA  
 AT BE CH DE DK ES FR GB GR IE IT LU MC NL SE BF BJ CF CG CI  
 CM GA GN ML MR SN TD TG  
 AI WO 1992-US9792 A 19921113  
 PRAI US 1991-792,492 19911114  
 ICM C07K013-00  
 ICS C12N015-18; C12N001-21; C12N015-67

L4 ANSWER 31 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992020365 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUES ET DIAGNOSTIQUES BASEES SUR L'EXPRESSION DE  
 NEUROTROPHINE-4  
 IN HALLBOOK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt;  
 IP, Nancy;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 HALLBOOK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt  
 LA English  
 DT Patent  
 PI WO 9220365 A1 19921126  
 DS W: AT AU BE CA CH CS DE DK ES FI FR GB GR HU IT JP KR LU MC NL  
 NO RU SE  
 AI WO 1992-US4266 A 19920520  
 PRAI US 1991-703,450 19910521  
 US 1991-729,253 19910712  
 US 1991-734,422 19910723  
 US 1991-751,356 19910828  
 US 1991-762,674 19910920  
 US 1991-791,924 19911114  
 ICM A61K037-02  
 ICS A61K049-00; G01N033-50; G01N033-68; C07K039-00; C12N015-12;  
 C12N015-79

L4 ANSWER 32 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992005254 PCTFULL ED 20020513  
 TIEN NOVEL NEUROTHROPHIC FACTOR  
 TIFR NOUVEAU FACTEUR NEUROTROPHIQUE  
 IN ROSENTHAL, Arnon  
 PA GENENTECH, INC.

L4 ANSWER 33 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1991050400 PCTFULL ED 20020513  
 TIEN NOVEL NEUROTHROPHIC FACTOR  
 TIFR NOUVEAU FACTEUR NEUROTROPHIQUE  
 IN ROSENTHAL, Arnon  
 PA GENENTECH, INC.

ICS C12Q001-68; C12P021-08; K037-02

L4 ANSWER 33 OF 34 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1990013650 PCTFULL ED 20020513  
 TIEN A PLASMID DNA CONSTRUCT INCLUDING THE GENE ENCODING A MAMMALIAN  
 BETA-NERVE GROWTH FACTOR  
 TIFR CONSTRUCTION D'ADN PLASMIDAL COMPRENANT LE GENE CODANT UN FACTEUR DE  
 CROISSANCE BETA-NERVEUX CHEZ LES MAMMIFERES  
 IN OLSON, Lars;  
 PERSSON, Hakan;  
 EBENDAL, Ted  
 PA LOPE MEDICINE AB;  
 OLSON, Lars;  
 PERSSON, Hakan;  
 EBENDAL, Ted  
 LA English  
 DT Patent  
 PI WO 9013650 A1 19901115  
 DS W: AT AU BE CA CH DE DK ES FI FR GB IT JP LU NL NO SE US  
 AI WO 1990-SE301 A 19900508  
 PRAI SE 1989-8901715-6 19890512  
 ICM C12N015-18  
 ICS C12N015-85

L4 ANSWER 34 OF 34 MEDLINE DUPLICATE 2  
 AN 89178770 MEDLINE  
 DN 89178770 PubMed ID: 2648014  
 TI Synthesis of chimeric mouse nerve growth factor precursor and human  
 beta-nerve growth factor in Escherichia coli: immunological properties.  
 AU Dicou E; Houlgatte R; Lee J; von Wilcken-Bergmann B  
 CS INSERM U, Centre Hospitalier Regional, Angers, France.  
 SO JOURNAL OF NEUROSCIENCE RESEARCH, (1989 Jan) 22 (1) 13-9.  
 Journal code: 7600111. ISSN: 0360-4012.  
 CY United States  
 DT Journal; Article; (JOURNAL ARTICLE)  
 LA English  
 FS Priority Journals  
 EM 198904  
 ED Entered STN: 19900306  
 Last Updated on STN: 19900306  
 Entered Medline: 19890427

=> s l4 and arginine  
 L5 22 L4 AND ARGININE

=> d 1-22

L5 ANSWER 1 OF 22 USPATFULL  
 AN 2002:251935 USPATFULL  
 TI Purification of NGF  
 IN Burton, Louis E., San Mateo, CA, UNITED STATES  
 Schmelzer, Charles H., Burlingame, CA, UNITED STATES  
 Beck, Joanne T., Westlake Village, CA, UNITED STATES  
 PI US 2002137893 A1 20020926  
 AI US 2002-72681 A1 20020208 (10)  
 RLI Continuation of Ser. No. US 2000-675503, filed on 29 Sep 2000, GRANTED,  
 Pat. No. US 6423831 Continuation of Ser. No. US 1999 363573, filed on 29  
 Jul 1999, GRANTED, Pat. No. US 6184360 Continuation of Ser. No. US  
 1997 070605, filed 10/1/97, GRANTED, Pat. No. US 6035001

INCL: 891 481  
 INCL: 890 417.000

IC [7]  
ICM: C07K014-435  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 2 OF 22 USPATFULL  
AN 2002:181791 USPATFULL  
TI Isolation of neurotrophins from a mixture containing other proteins and  
neurotrophin variants using hydrophobic interaction chromatography  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6423831 B1 20020723  
AI US 2000-675503 20000929 (9)  
RLI Continuation of Ser. No. US 1999-363573, filed on 29 Jul 1999, now  
patented, Pat. No. US 6184360 Continuation of Ser. No. US 1997-970865,  
filed on 14 Nov 1997, now patented, Pat. No. US 6005081  
PRAI US 1997-47855P 19970529 (60)  
US 1996-30838P 19961115 (60)  
DT Utility  
FS GRANTED  
LN.CNT 2348  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 3 OF 22 USPATFULL  
AN 2002:85534 USPATFULL  
TI NOVEL NEUROTROPHIC FACTOR  
IN ROSENTHAL, ARNON, PACIFICA, CA, UNITED STATES  
PI US 2002045576 A1 20020418  
US 6506728 B2 20030114  
AI US 1995-450842 A1 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995, ABANDONED  
Continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, ABANDONED  
A 371 of International Ser. No. WO 1991-US6950, filed on 24 Sep 1991,  
UNKNOWN Continuation-in-part of Ser. No. US 1991-648482, filed on 31 Jan  
1991, ABANDONED Continuation-in-part of Ser. No. US 1990-587707, filed  
on 25 Sep 1990, GRANTED, Pat. No. US 5364769  
DT Utility  
FS APPLICATION  
LN.CNT 2815  
INCL INCLM: 514,012.000  
INCLS: 514,002.000  
NCL NCLM: 514/012.000  
NCLS: 514/002.000  
IC [7]  
ICM: A01N037-16  
ICS: A61K038-17  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Inventor: Charles H. Schmelzer, Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
Genentech, Inc., South San Francisco, CA, United States (U.S. corporation)

AI US 1999-363573 990729 (9)  
RLI Continuation of Ser. No. US 1997-970865, filed on 14 Nov 1997, now  
patented, Pat. No. US 6005081  
PRAI US 1996-30838P 19961115 (60)  
US 1997-47855P 19970529 (60)  
DT Utility  
FS Granted  
LN.CNT 2226  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 5 OF 22 USPATFULL  
AN 2001:7868 USPATFULL  
TI Neuronal factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
Winslow, John W., El Granada, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6174701 B1 20010116  
AI US 1995-455741 19950531 (8)  
RLI Continuation of Ser. No. US 1995-381030, filed on 31 Jan 1995  
Continuation of Ser. No. US 1990-494024, filed on 15 Mar 1990, now  
abandoned Continuation-in-part of Ser. No. US 1989-449811, filed on 12  
Dec 1989, now abandoned  
DT Utility  
FS Granted  
LN.CNT 1480  
INCL INCLM: 435/069.100  
INCLS: 536/023.500; 435/320.100; 435/325.000; 435/352.000; 435/354.000;  
435/357.000; 435/358.000; 435/364.000; 435/366.000; 435/367.000;  
435/252.300; 435/252.330; 435/069.700; 435/069.800  
NCL NCLM: 435/069.100  
NCLS: 435/069.700; 435/069.800; 435/252.300; 435/252.330; 435/320.100;  
435/325.000; 435/352.000; 435/354.000; 435/357.000; 435/358.000;  
435/364.000; 435/366.000; 435/367.000; 536/023.500  
IC [7]  
ICM: C12N015-00  
ICS: C12N005-02; C12P021-06; C07H021-04  
EXF 435/6; 435/69.1; 435/69.8; 435/240.2; 435/252.3; 435/252.33; 435/320.1;  
435/325; 435/352; 435/354; 435/357; 435/358; 435/364; 435/366; 435/367;  
536/23.5; 536/24.3; 536/24.31; 536/24.33  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 6 OF 22 USPATFULL  
AN 2000:31394 USPATFULL  
TI Neurotrophic factor (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6037320 20000314  
US 1997-028601 19970310 (6)

DT Utility  
FS Granted  
LN.CNT 2746





IC [6]  
ICM: C07K001-00  
ICS: C07K014-00; A61K038-24; C07H021-04  
EXF 530/350; 530/387.4; 530/399; 530/324; 530/387.1; 435/69.7; 536/23.4  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 9 OF 22 USPATFULL  
AN 1999:92541 USPATFULL  
TI Protein expression system  
IN Sgarlato, Gregory D., Los Gatos, CA, United States  
PA Technologene, Inc., Los Gatos, CA, United States (U.S. corporation)  
PI US 5935824 19990810  
AI US 1996-595043 19960131 (8)  
DT Utility  
FS Granted  
LN.CNT 5959  
INCL INCLM: 435/069.700  
INCLS: 435/069.800; 530/350.000; 536/023.400  
NCL NCLM: 435/069.700  
NCLS: 435/069.800; 530/350.000; 536/023.400

IC [6]  
ICM: C07K019-00  
ICS: C12N015-62  
EXF 435/69.7; 435/69.8; 435/207; 435/68.1; 436/532; 436/828; 530/387.1;  
530/350; 530/413; 530/812; 530/866; 530/867; 536/23.4; 536/23.2;  
536/23.53; 536/23.7; 935/47  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 10 OF 22 USPATFULL  
AN 1998:135007 USPATFULL  
TI Neurotrophic factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S. corporation)  
PI US 5830858 19981103  
AI US 1995-424826 19950419 (8)  
RLI Continuation of Ser. No. US 1994-240387, filed on 10 May 1994, now abandoned which is a continuation of Ser. No. US 1991-648482, filed on 13 Jan 1991, now abandoned which is a continuation-in-part of Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US 5364769  
DT Utility  
FS Granted  
LN.CNT 2363  
INCL INCLM: 514/012.000  
INCLS: 514/002.000; 530/350.000; 530/395.000; 530/399.000; 530/402.000;  
435/069.100  
NCL NCLM: 514/012.000  
NCLS: 435/069.100; 514/002.000; 530/350.000; 530/395.000; 530/399.000;  
530/402.000

IC [6]  
ICM: A61K038-18  
ICS: C07K014-475  
EXF 514/2; 514/12; 530/350; 530/395; 530/399; 530/402; 435/69.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 11 OF 22 USPATFULL  
AN 1998:1897 USPATFULL  
TI Neurotrophic factors having altered receptor binding specificities  
IN Persson, Hakan Bengt, Vreta Gard, S-14743 Tumba, Sweden  
Mallner, Charles Edwards, Thane, Tarrytown, NY, 10593, United States

DT Utility  
FS Granted  
LN.CNT 1195

NCL NCLM: 530/399.000  
NCLS: 530/350.000  
IC [6]  
ICM: C07K014-475  
EXF 530/399; 514/12; 435/69.4; 435/320.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 12 OF 22 USPATFULL  
AN 97:123048 USPATFULL  
TI Antibodies to neurotrophic factor-4 (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S. corporation)  
PI US 5702906 19971230  
AI US 1995-451947 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995 which is a continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, now abandoned which is a continuation-in-part of Ser. No. US 1991-648482, filed on 31 Jan 1991, now abandoned which is a continuation-in-part of Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US 5364769  
DT Utility  
FS Granted  
LN.CNT 2046  
INCL INCLM: 435/007.100  
INCLS: 530/387.100; 530/387.900; 530/388.240; 530/413.000; 435/336.000; 435/236.000  
NCL NCLM: 435/007.100  
NCLS: 435/236.000; 435/336.000; 530/387.100; 530/387.900; 530/388.240; 530/413.000  
IC [6]  
ICM: G01N033-53  
ICS: C12N005-12; C07K016-22; C07K001-16  
EXF 424/139.1; 424/141.1; 424/145.1; 424/9.1; 435/7.1; 435/336; 530/387.1; 530/387.9; 530/388.1; 530/388.15; 530/388.24; 530/389.1; 530/389.2; 530/391.3; 530/413  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 13 OF 22 USPATFULL  
AN 94:99824 USPATFULL  
TI Nucleic acid encoding neurotrophic factor four (NT-4), vectors, host cells and methods of production  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S. corporation)  
PI US 5364769 19941115  
AI US 1990-587707 19900925 (7)  
DT Utility  
FS Granted  
LN.CNT 1357  
INCL INCLM: 435/069.100  
INCLS: 435/069.400; 435/320.100; 435/240.100; 435/240.200; 536/023.500; 536/023.510  
NCL NCLM: 435/069.100  
NCLS: 435/069.400; 435/320.100; 435/369.000; 536/023.500; 536/023.510  
IC [5]  
ICM: C12N005-10  
ICS: C12N015-18; C12N015-12  
EXF 536/27; 536/23.50; 536/23.51; 536/252.3; 435/69.1; 435/69.4; 435/320.1; 435/210.1; 435/210.2

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DT Utility  
FS Granted  
LN.CNT 1154  
INCL INCLM: 530/399.000  
INCLS: 930/120.000  
NCL NCLM: 530/399.000  
NCLS: 930/120.000  
IC [5]  
ICM: C07K013-00  
EXF 530/399; 530/387; 930/120  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L5 ANSWER 15 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
AN 2002096356 PCTFULL ED 20021217 EW 200249  
TIEN HIGH AFFINITY LIGAND FOR p75 NEUROTROPHIN RECEPTOR  
TIFR LIGAND A FORTE AFFINITE POUR LE RECEPTEUR DE LA NEUROTROPHINE P75  
IN HEMPSTEAD, Barbara, L., 525 East 86th Street, Apartment 9c, New York, NY  
10028, US [US, US];  
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10024, US [US];  
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PA CORNELL RESEARCH FOUNDATION, INC., 20 Thornwood Drive, Ithaca, NY 14850,  
US [US, US], for all designates States except US;  
HEMPSTEAD, Barbara, L., 525 East 86th Street, Apartment 9c, New York, NY  
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AG FEIT, Irving, N., Hoffmann & Baron, LLP, 6900 Jericho Turnpike, Syosset,  
NY 11791, US  
LAF English  
LA English  
DT Patent  
PI WO 2002096356 A2 20021205  
DS W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ  
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ  
UA UG US UZ VN YU ZA ZM ZW  
PW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
PW (EAPO): AM AZ BY KG KZ MD RU TJ TM  
PW (EPO): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
RW (OAPI): BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
AI WO 2002-US16540 A 20020524  
PRAI US 2001-60/293,823 20010525  
US 2001-60/305,510 20010713

L5 ANSWER 16 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
AN 1997028272 PCTFULL ED 20020514  
TIEN PROTEIN EXPRESSION SYSTEM  
TIFR SYSTEME D'EXPRESSION DE PROTEINES  
IN SGAPLATO, Gregory, D.  
PA TECHNOLOGENE INC.  
LA English  
DT Patent

W 111 11  
105 012P021 00; 007P001 10; 007P001 11; 007P014 4.; 007P014 100;  
007P016 00; 007P019 00; 007P021 04; 012N002 00

AN 1995002421 PCTFULL ED 200514  
 TIEN TRANSFERRIN RECEPTOR SPECIFIC LIGAND-NEUROPHARMACEUTICAL AGENT FUSION  
 PROTEINS  
 TIFR PROTEINES DE FUSION A LIGAND SPECIFIQUE DU RECEPTEUR DE LA TRANSFERRINE  
 ET A AGENT NEUROPHARMACEUTIQUE  
 IN FRIDEN, Phillip, M.;  
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 MORRISON, Sherie, L.;  
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 LA English  
 DT Patent  
 PI WO 9502421 A1 19950126  
 DS W: AM AT AU BB BG BR BY CA CH CN CZ DE DK ES FI GB GE HU JP KE  
 KG KP KR KZ LK LT LU LV MD MG MN MW NL NO NZ PL PT RO RU SD  
 SE SI SK TJ TT UA US UZ VN KE MW SD AT BE CH DE DK ES FR GB  
 GR IE IT LU MC NL PT SE BF BJ CF CG CI CM GA GN ML MR NE SN  
 TD TC  
 AI WO 1994-US8000 A 19940718  
 PRAI US 1993-8/094,534 19930716  
 ICM A61K047-48  
 ICS C07K014-65

L5 ANSWER 18 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993025684 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUE ET DIAGNOSTIQUE BASES SUR L'EXPRESSION DE LA  
 NEUROTROPHINE-4  
 IN IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 LA English  
 DT Patent  
 PI WO 9325684 A1 19931223  
 DS W: AU EB BG BR BY CA CZ FI HU JP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT  
 SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
 AI WO 1993-US5672 A 19930611  
 PRAI US 1992-898,194 19920612  
 ICM C12N015-12  
 ICS C12Q001-68; C12P001-08; A61K037-00

AN 1993025684 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUE ET DIAGNOSTIQUE BASES SUR L'EXPRESSION DE LA  
 NEUROTROPHINE-4  
 IN IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 LA English  
 DT Patent  
 PI WO 9325684 A1 19931223  
 DS W: AU EB BG BR BY CA CZ FI HU JP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT  
 SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
 AI WO 1993-US5672 A 19930611  
 PRAI US 1992-898,194 19920612  
 ICM C12N015-12  
 ICS C12Q001-68; C12P001-08; A61K037-00

LA MOLINER, Carlos, Fernando Ibanez  
 DT English  
 PI Patent  
 DS WO 9318066 A1 19930916  
 W: AU BB BG BR CA CZ FI HU JP KP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE  
 BF BJ CF CG CI CM GA GN ML MR SN TD TG  
 AI WO 1993-SE201 A 19930308  
 PRAI US 1992-7/847,369 19920306  
 ICM C07K015-06

L5 ANSWER 20 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993010150 PCTFULL ED 20020513  
 TIEN EXPRESSION OF NEUROTROPHIC FACTORS WITH HETEROLOGOUS PREPRO REGIONS  
 TIFR EXPRESSION DE FACTEURS NEUROTROPHIQUES AU MOYEN DE REGIONS PREPRO  
 HETEROLOGUES

IN SQUINTO, Stephen, P.;  
 IP, Nancy;  
 GIES, David;  
 YANCOPOULOS, George, D.;  
 HU, Shaw-Fen, Sylvia  
 PA REGENERON PHARMACEUTICALS, INC.;  
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LA English  
 DT Patent  
 PI WO 9310150 A1 19930527  
 DS W: AU BB BG BR CA CS FI HU JP KR LK MG MN MW NO PL PO RU SD UA  
 AT BE CH DE DK ES FR GB GR IE IT LU MC NL SE BF BJ CF CG CI  
 CM GA GN ML MR SN TD TG  
 AI WO 1992-US9792 A 19921113  
 PRAI US 1991-792,492 19911114  
 ICM C07K013-00  
 ICS C12N015-18; C12N001-21; C12N015-67

L5 ANSWER 21 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992020365 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUES ET DIAGNOSTIQUES BASEES SUR L'EXPRESSION DE  
 NEUROTROPHINE-4

IN HALLBOOK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt;  
 IP, Nancy;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 HALLBOOK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt

LA English  
 DT Patent  
 PI WO 9220365 A1 19921126  
 DS W: AT AU BE CA CH CS DE DK ES FI FR GB GR HU IT JP KR LU MC NL  
 NO RU SE  
 AI WO 1992-US4266 A 19920520  
 PRAI US 1991-703,450 19910521  
 US 1991-729,253 19910712  
 US 1991-734,422 19910723  
 US 1991-751,356 19910828  
 US 1991-762,674 19910920  
 US 1991-762,674 19911114

L5 ANSWER 22 OF 22 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992005254 PCTFULL ED 20020513  
 TIEN NOVEL NEUROTROPHIC FACTOR  
 TIFR NOVEL NEUROTROPHIC FACTOR

PA GENENTECH, INC.;  
ROSENTHAL, Arnon  
LA English  
DT Patent  
PI WO 9205254 A1 19920402  
DS W: AT AU BE CA CH DE DK ES FR GB GR IT JP LU NL SE US  
AI WO 1991-US6950 A 19910924  
PRAI US 1990-587,707 19900925  
US 1991-648,482 19910131  
ICM C12N015-12  
ICS C12Q001-68; C12P021-08; A61K037-02

DET D Important neurotrophic factors identified to date include nerve growth factor (NGF; Levi-Montalcini and Angeletti, 1968, *Phys. Rev.* 48:534); neurotrophin-3 (NT-3; Hohn et al., 1990, *Nature* 344:339; Maisonpierre et al., 1990, *Science* 247:31446), brain-derived neurotrophic factor (BDNF; Barde et al., 1982, *EMBO J.* 10 1:549), neurotrophin-4 (NT-4; Hallbook et al., 1991, *Neuron* 6:845-858), and ciliary neurotrophic factor (CNTF; Lin et al., 1979, *Science* 246:1023). Neurotrophins are generally synthesized in vivo as prepro-peptide precursor proteins. The prepro-peptide region refers to the NH<sub>2</sub>-terminus of the precursor which is proteolytically removed during biosynthesis of the mature, biologically active form of the protein. The pro region refers to the signal sequence normally removed by proteolytic processing during translocation across the cell membrane to yield a pro-protein; the pro region is then removed by proteolytic processing to yield the mature form (see e.g., Darnell et al., 1990, *Molecular Cell Biology* 2d ed., Scientific American Books, pp. 650-657).

2. 1. 1. NERVE GROWTH FACTOR  
Nerve growth factor (NGF) is by far the most fully characterized of these neurotrophic molecules and has been shown, both in vitro and in vivo, to be essential for the survival of sympathetic and neural crest-derived sensory neurons during early development of both chick and rat (Levi-Montalcini and Angeletti, 1963, *Development Biol.* 7:653-659; Levi-Montalcini et al., 1968, *Physiol. Rev.* 48:524-569). Until recently, almost all studies of NGF had focused on its role in the peripheral nervous system, but it now appears that NGF also influences the development and maintenance of specific populations of neurons in the central nervous system (Thoenen et al., 1987, *Rev. Physiol. Biochem.*

*Natl. Acad. Sci.* 84:2417-2420). The NGF gene has now been cloned from many species, including mouse (Scott et al., 1983, *Nature* 302:538-540, human (Ullrich et al., 1983, *Nature* 303: 821-825), cow and chick (Meier et al., 1986, *EMBO J.* 5:1489-1493), and rat (Whittemore et al., 1988, *J. Neurosci. Res.* 20:402-410) using conventional molecular biology techniques based on the availability of the protein sequence of mouse NGF to design suitable oligonucleotide probes.

The mouse NGF gene encompasses approximately 45 kb, containing several small exons, with alternating splicing resulting in four distinct mRNA species (Serby, et al., 1987, *Mol. Cell. Biol.* 7:3057-3064). Two major transcripts result in a long and 25 short NGF prepro-peptide (Edwards, et al., 1986, *Nature* 319:784-787; Serby, et al., 1987, *Mol. Cell.*

0000000000000000

3. 1. 1. NERVE GROWTH FACTOR  
The translation, processing and secretion of the NGF



Harbor Symp. Quan, Biol., 427-433). on the strength of the reported cDNA sequence encoding mouse NGF (Scott, et al., 1983, **Nature** 302: 538-540). utilized an in vitro cell free translation system to identify key intermediates in the biosynthesis of the 7S complex of NGF. The signal sequence of the prepro NGF precursor is removed via proteolytic processing to yield a pro-NGF species of approximately 31 kD. The pro-region of the pro-NGF intermediate contains a pair of **arginine** residues known to be endoproteolytic processing sites. Proteolytic processing at either of these residues results in an additional major (21 kD) and minor (18.5 kD) intermediate species. The mature form of NGF can be proteolytically derived from either of the above-mentioned intermediate species. At some point in the biosynthesis of the mature form of NGF, a COOH-terminal dipeptide (arg-gly) is proteolytically released.

The 7-subunit has been shown in vivo to proteolytically cleave the pro-NGF precursor to the mature form of NGF (Edwards, et al., 1988, J. Biol. Chem., 263: 6810-6815). Attempts to mimic the process in vitro were unsuccessful, resulting in unfaithful processing of the pro-NGF precursor, presumably due to aberrant folding of the in vitro translation product.

Silen and Agard (1989, **Nature** 341:462-464) demonstrated that the pro region may facilitate proper folding of the a-lytic protease precursor. Therefore, the pro region of the NGF precursor may also be required for proper folding prior to endoproteolytic processing to the mature form and association into the biologically active 7S NGF complex. Support for this hypothesis is documented in Suter et al, (1991, EMBO J, 10:2395-2400), who assigned functions for two partially conserved domains within the pro-region of NGF. Domain I was shown to be essential for NGF expression in COS cells. Additionally, Domain II, located in the NGF pro-region proximal to the mature 15 coding region, was found to be involved in proteolytic processing.

It was noted that the highly basic **nature** and molecular size of BDNF were very similar to the NGF monomer.

Two recent studies with BDNF (Kalcheim, et al., 1987, EMBO J. 6:2871-2873; Hofer and Barde, 1988, **Nature** 331:261-262) have, however, indicated a physiological role of BDNF in avian PNS development. In addition to its effect on peripheral sensory neurons of both neural crest and neural placode origin, BDNF has been found to support the survival of developing CNS neurons; Johnson et al, (1986, J.

Patent Application Serial No. 07/100,001 filed

protein, designated 1.12, which, with a pI of about 9.5, was found to resemble that established for NGF and BDNF; a putative signal



regarding a human NT-3 cDNA clone and Maissonpierre et al. (Science 247:1446 (1990)) and Hohn et al. (Nature 344:339 (1990)) regarding NT-3 coding sequences from various other species. The cloning of the human (Rosenthal et al., Neuron 4:767 (1990)) as well as rat (Maissonpierre et al., infra) NT-3 genes has been reported. Furthermore, the nucleotide and amino acid sequences for BDNF are disclosed in PCT Publication WO 91/03568, published March 21, 1991 and copending U.S. application Serial No. 570,657 filed August 20, 1990; the nucleotide and amino acid sequences for NT-3 are disclosed in PCT Publication WO 91/03569 published March 21, 1991 and copending application Serial No.

NGF and BDNF are basic proteins of approximately 120 amino acids that share about 50% amino acid sequence identity, including absolute conservation of six cysteine residues that, in active NGF, have been shown to form three disulfide bridges (Bradshaw, A., 1978, Ann. Rev. Biochem., 47:191-216; Leibrock et al., 1989, Nature 341:149-52). Comparison of the sequences of NGF from evolutionarily divergent species has revealed that the amino acids flanking these cysteine residues comprise the most highly conserved regions of the molecule (Meier et al., 1986, EMBO Jw 5:1489-93; Selby et al., 1987, J. Neurosci.

Res, 18:293-S). Strikingly, these are also the regions which are most similar between BDNF and NGF (Leibrock et al., 1989, Nature 341:149). In a preferred aspect of the present invention, a mature human neurotrophin is produced by expression of a chimeric prepro molecule according to the present invention. In a specific embodiment, the chimeric prepro molecule is encoded by a nucleic acid containing the long prepro region of NGF fused in frame to the coding sequence for mature BDNF. In another embodiment, the chimeric prepro molecule is encoded by a nucleic acid containing the prepro region of NT-3 fused in frame to the coding region for mature BDNF.

In yet another embodiment, the long prepro region of NGF is fused in frame to the coding region for NT-3. As discussed supra, no distinct biological significance between the long and short prepro region of the NGF precursor has been documented. In another specific aspect of the invention, either the long or short prepro region may be utilized in the construction of chimeric neurotrophic genes. One of ordinary skill in the art can utilize either a short NGF prepro region or a long NGF prepro region when constructing chimeric fusions of the present invention comprising an NGF prepro region.

Due to the degeneracy of nucleotide coding sequences, other DNA sequences that encode substantially the same

by the substitution of different amino acid residues which are functionally equivalent amino acid residue within the sequence, thus producing a silent change. Likewise, the present invention contemplates the substitution of amino acid residues which are functionally equivalent amino acid residue within the sequence, thus producing a silent change.

to, those containing, as part of their primary amino acid sequence, altered sequences in which functionally equivalent amino acid residues are substituted for residues within the sequence resulting in a silent change. For example, one or more amino acid residues within the sequence can be substituted by another amino acid of a similar polarity which acts as a functional equivalent, resulting in a silent alteration. Substitutes for an amino acid within the sequence may be selected from other members of the class to which the amino acid belongs. For example, the nonpolar (hydrophobic) amino acids include 10 alanine, leucine, isoleucine, valine, proline, phenylalanine, tryptophan and methionine. The polar neutral amino acids include glycine, serine, threonine, cysteine, tyrosine, asparagine, and glutamine. The positively charged (basic) amino acids 15 include **arginine**, lysine and histidine. The negatively charged (acidic) amino acids include aspartic acid and glutamic acid. Also included within the scope of the invention are neurotrophin proteins or fragments or derivatives thereof which are obtained 20 through modification during or after translation, e.g., by glycosylation, proteolytic cleavage, linkage to an antibody molecule or other cellular ligand, acetylation, phosphorylation, reduction, cleavage, etc.

Any of the methods previously described for the insertion of DNA fragments into a vector may be used to construct expression vectors containing a sequence encoding a chimeric neurotrophic prepro protein or prepro peptide, consisting of appropriate 10 transcriptional/translational control signals upstream of the chimeric DNA sequences. These methods may include in vitro recombinant DNA and synthetic techniques and in vivo recombinations (genetic recombination). Expression of nucleic acid sequences 15 encoding chimeric neurotrophic prepro protein or prepro peptide may be regulated by a second nucleic acid sequence so that chimeric neurotrophic prepro protein or prepro peptide is expressed in a host transformed with the recombinant DNA molecule. For example, expression may be controlled by any promoter/enhancer element known in the art to be active in mammalian cells. Promoters which may be used to control chimeric neurotrophic factor expression include, but are not limited to, the cytomegalovirus (CMV) promoter, the SV40 early promoter region (Bernoist and Chambon, 1981, **Nature** 290:304-310), the promoter contained in the 31 long terminal repeat of Rous sarcoma virus (Yamamoto, et al., 1980, *Cell* 22:787-797), the herpes thymidine kinase promoter (Wagner et al., 1981, *Proc. Natl. Acad. Sci. U.S.A.* 78:144-1445). the regulatory sequences of the metallothionein gene (Brinster et al., 1982, **Nature** 296:39-42); and the following animal transcriptional control regions, which exhibit tissue specificity and have been utilized in transgenic

\* Hepatocyte specific enhancer element, which is active in pancreatic beta cells (Hanahan, 1985, **Nature** 315:125-128), immunoglobulin gene control

5:1639-1648; Hammer et al., 1987, Science 235:53-58);  
 alpha 1-antitrypsin gene control region which is  
 20 active in the liver (Kelsey et al, 1987, Genes and  
 Devel, 1:161-171), beta-globin gene control region  
 which is active in myeloid cells (Mogram et al., 1985,

**Nature** 315:338-340; Kollias et al., 1986, Cell 46:89-  
 94; myelin basic protein gene control region which is  
 active in oligodendrocyte cells in the brain (Readhead  
 et al., 1987, Cell 48:703-712); myosin light chain-2  
 gene control region which is active in skeletal muscle  
 (Sani, 1985, **Nature** 314:283-286), and gonadotropic  
 releasing hormone gene control region which is active  
 -30 in the hypothalamus (Mason et al., 1986, Science  
 234:1372-1378),

A specific example of an expression vector  
 which can be used is CDM8 (Seed, 1987, **Nature** 329:840-  
 842; Seed and Aruffo, 1987, Proc. Natl, Acad, Sci. USA  
 84:3365-3369; Aruffo & Seed, Proc. Natl, Acad, Sci.

10 The preparation of the vector was carried out by  
 digesting pC81mN (long mouse NGF in pCDM8) with both  
 Eco47 and NotI and isolating the 4,6 kb vector  
 fragment by gel electrophoresis. The 365 bp fragment  
 was ligated into the Eco47/NotI sites of pC81m.N. This  
 15 ligation resulted in a direct in frame fusion of the  
 mouse NGF prepro region with the mature BDNF coding  
 region, Constructs were diagnostically tested by  
 digesting with BssH2. by assessing the loss of the  
 Eco47 site during the subcloning, and ultimately by  
 20 DNA sequencing,

#### 6.2. EXPRESSION OF CHIMERIC MOLECULES

CHO-DG44 cells were used to generate stable  
 lines for the production of bioactive BDNF. CHO-DG44  
 cells (obtained from Dr. L. Chasin at Columbia  
 University) lack both copies of the dihydrofolate  
 reductase gene (Urlaub and Chasin, 1980, Proc, Natl,  
 Acad. Sci, USA 77:4216-4220). Stably transfected CHO-  
 OG44 cell lines expressing BDNF have been previously  
 described (PCT International Publication  
 No. WO 91/03568, published March 21, 1991). These  
 lines were generated by transfection with pC8hB DNA  
 which encodes the human BDNF gene including the prepro  
 region cloned into the expression vector pCDM8. CHO-  
 DG44 cells (1 X 10<sup>6</sup> cells/100 mm plate) were  
 transfected by the calcium phosphate coprecipitation  
 method with 20 gg of the NGF/BDNF chimera (pC81mN/B)  
 along with 0,2 gg of plasmid p410 which encodes a  
 weakened dihydrofolate reductase gene (dhfr), 48  
 5 hours after transfection, the cells were passaged into  
 selection media (Ham's F12 without hypoxanthine and  
 thymidine containing 10% dialyzed fetal bovine serum  
 and 1% each of penicillin and streptomycin; -HT  
 media). -HT-resistant clones were treated as pools  
 10 for amplification with methotrexate (MTX). Clones  
 obtained with 0,05 gM MTX were also treated as pools  
 for further amplification at 2,5 gM MTX. A single

assessed by scoring neurite outgrowth of embryonic  
 E8 chick dorsal root ganglia (DRG) (Maisonpierre et  
 al., 1987, Nature, 328:286-288)

EFFICIENCY BETWEEN HOMOLOGOUS PREPRO  
BDNF AND PREPRO NGF/BDNF CHIMERA  
Experiments were performed to directly  
25 compare the processing and expression of preproBDNF  
with the **proNGF**/BDNF chimera in CHO cells.

15 Unprocessed proBDNF (31 kD), the pro-portion of the  
processed proBDNF precursor (16 kD) and the mature  
form (14 kD) of the short preproBDNF protein were  
detected in the stably transfected cell line DGZ1000-  
B 2.5 (obtained after similar MTX selection and  
20 amplification as used for cell line DGC-N/B 5-#23)  
(Figure 1. lane 3). Only the proteolytically  
processed mature form of BDNF (14 kD) was detected in  
DGC-N/B 5-#23, stably transfected with the long  
**proNGF**/BDNF chimeric construction (Figure 1. lane 4).

25- Unprocessed **proNGF**/BDNF was not detected in the  
conditioned media from this cell line, We estimate  
from the intensity of the labeling of the mature BDNF  
that cell line DGC-N/B 5-#23 produced about five (5)  
times as much mature BDNF protein per cell relative to  
30 cell line DGZ1000 B 2.5 made with the short proBDNF  
construct.

TABLE 1  
Effect of Various COS  
SuRernatants on DRG Egplants  
SAMPLE DILUTION DRG  
CONTROL 0f0j0j0f0.5  
NGF 10 ng/ml 5+r5+15+f5+f5+  
MOCK 10 ul ofiliflfl  
50 Al 0.5rlflflfl.5  
100 jul 0.510\*5flflfl  
250 gl 2f2,5F2.5f2,5f2,5  
smNGF 10 Jul 2r3f3p3f3,5  
50 Jul 5r5f5f5f5  
100 4l 5+f5+f5+f5+f5+  
250 ul 5+f5+15+f5+15+  
lmNGF 10 Al 2,2f2l2f2  
5 0 1 4 f 4 F 4 r 4 f 4  
100 )Ul 5r5f5f515  
1250 gl 5f5f5F515

#### 4. CONCLUSIONS

We conclude from these studies that the long  
pro portion of NGF is better suited for the processing  
5 of BDNF in CHO cells than the short pro portion of  
BDNF. The advantages of the chimeric **proNGF**/mature  
BDNF gene construct, therefore, is that it allows for  
higher expression levels of BDNF on a per cell basis  
in mammalian cells. Additionally, it should allow for  
10 better purification schemes for BDNF in that  
contaminating unprocessed forms of BDNF are not  
apparent in the crude supernatants,  
Additionally, use of either the long or  
short prepro region of NGF results in the expression  
15 of biologically active NGF. This indicates that

THE PREPRO NGF/BDNF CHIMERA  
F.1. CONSTRUCTION OF CHIMERIC NUCLEIC ACID MOLECULES  
A HindIII XbaI DNA fragment containing the  
coding region of prepro-NGF and prepro-BDNF were  
ligated into a HindIII XbaI digested pUC19 vector.

=> d 18 1-19

L8 ANSWER 1 OF 19 USPATFULL  
AN 2002:251935 USPATFULL  
TI Purification of NGF  
IN Burton, Louis E., San Mateo, CA, UNITED STATES  
Schmelzer, Charles H., Burlingame, CA, UNITED STATES  
Beck, Joanne T., Westlake Village, CA, UNITED STATES  
PI US 2002137893 A1 20020926  
AI US 2002-72681 A1 20020208 (10)  
RLI Continuation of Ser. No. US 2000-675503, filed on 29 Sep 2000, GRANTED,  
Pat. No. US 6423831 Continuation of Ser. No. US 1999-363573, filed on 29  
Jul 1999, GRANTED, Pat. No. US 6184360 Continuation of Ser. No. US  
1997-970865, filed on 14 Nov 1997, GRANTED, Pat. No. US 6005081  
PRAI US 1996-30838P 19961115 (60)  
US 1997-47855P 19970529 (60)  
DT Utility  
FS APPLICATION  
LN.CNT 2052  
INCL INCLM: 530/350.000  
INCLS: 530/417.000  
NCL NCLM: 530/350.000  
NCLS: 530/417.000  
IC [7]  
ICM: C07K014-435  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 2 OF 19 USPATFULL  
AN 2002:85534 USPATFULL  
TI NOVEL NEUROTROPHIC FACTOR  
IN ROSENTHAL, ARNON, PACIFICA, CA, UNITED STATES  
PI US 2002045576 A1 20020418  
US 6506728 B2 20030114  
AI US 1995-450842 A1 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995, ABANDONED  
Continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, ABANDONED  
A 371 of International Ser. No. WO 1991-US6950, filed on 24 Sep 1991,  
UNKNOWN Continuation-in-part of Ser. No. US 1991-648482, filed on 31 Jan  
1991, ABANDONED Continuation-in-part of Ser. No. US 1990-587707, filed  
on 25 Sep 1990, GRANTED, Pat. No. US 5364769  
DT Utility  
FS APPLICATION  
LN.CNT 2815  
INCL INCLM: 514/012.000  
INCLS: 514/002.000  
NCL NCLM: 514/012.000  
NCLS: 514/002.000  
IC [7]  
ICM: A01N037-16  
ICS: A61K038-17  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 3 OF 19 USPATFULL  
AN 2002:181791 USPATFULL  
TI Isolation of neurotrophins from a mixture containing other proteins and  
neurotrophin variants using hydrophobic interaction chromatography  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States

Patented: Pat. No. 6,423,831, filed on 14 Nov 1997, now patented, Pat. No. 6,423,831  
PRAI US 1997-47855P 19970529 (60)

.FS GRANTED  
LN.CNT 2348  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 4 OF 19 PCTFULL COPYRIGHT 2003 Univentio  
AN 2002044203 PCTFULL ED 20020624 EW 200223  
TIEN PRODUCTION OF RECOMBINANT BMP-2  
TIFR PRODUCTION DE BMP-2 RECOMBINEE  
TIDE HERSTELLUNG VON REKOMBINANTEM BMP-2  
IN RUDOLPH, Rainer, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE];  
SCHWARZ, Elisabeth, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE];  
HERR, Gerhard, Turmstrasse 16, 35578 Wetzelar, DE [DE, DE];  
HILLGER, Frank, Fischereiweg 32, 06846 Dessau, DE [DE, DE]  
PA SCIL PROTEINS GMBH, Heinrich-Damerow-Strasse 1, 06120 Halle, DE [DE,  
DE], for all designates States except US;  
RUDOLPH, Rainer, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE], for  
US only;  
SCHWARZ, Elisabeth, Dr.-Hans-Litten-Str. 28, 06120 Halle, DE [DE, DE],  
for US only;  
HERR, Gerhard, Turmstrasse 16, 35578 Wetzelar, DE [DE, DE], for US only;  
HILLGER, Frank, Fischereiweg 32, 06846 Dessau, DE [DE, DE], for US only  
AG WEICKMANN & WEICKMANN, Postfach 860 820, 81635 Muenchen, DE  
LAF German  
LA German  
DT Patent  
PI WO 2002044203 A2 20020606  
DS W: AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ  
DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ  
NO NZ OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA  
UG US UZ VN YU ZA ZM ZW  
RW (ARIPO): GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW  
RW (EAPO): AM AZ BY KG KZ MD RU TJ TM  
RW (EPO): AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR  
RW (OAPI): BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG  
AI WO 2001-EP13840 A 20011127  
PRAI DE 2000-100 59 336.4 20001129  
ICM C07K014-00

L8 ANSWER 5 OF 19 USPATFULL  
AN 2001:18606 USPATFULL  
TI Purification of NGF  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6184360 B1 20010206  
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.. ..  
LN.CNT 2226



435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000

IC [7]  
ICM: C07K003-14  
ICS: C12P021-06

EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 6 OF 19 USPATFULL  
AN 2001:7868 USPATFULL  
TI Neuronal factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
Winslow, John W., El Granada, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6174701 B1 20010116  
AI US 1995-455741 19950531 (8)  
RLI Continuation of Ser. No. US 1995-381030, filed on 31 Jan 1995  
Continuation of Ser. No. US 1990-494024, filed on 15 Mar 1990, now  
abandoned Continuation-in-part of Ser. No. US 1989-449811, filed on 12  
Dec 1989, now abandoned

DT Utility  
FS Granted

LN.CNT 1480

INCL INCLM: 435/069.100  
INCLS: 536/023.500; 435/320.100; 435/325.000; 435/352.000; 435/354.000;  
435/357.000; 435/358.000; 435/364.000; 435/366.000; 435/367.000;  
435/252.300; 435/252.330; 435/069.700; 435/069.800

NCL NCLM: 435/069.100  
NCLS: 435/069.700; 435/069.800; 435/252.300; 435/252.330; 435/320.100;  
435/325.000; 435/352.000; 435/354.000; 435/357.000; 435/358.000;  
435/364.000; 435/366.000; 435/367.000; 536/023.500

IC [7]  
ICM: C12N015-00  
ICS: C12N005-02; C12P021-06; C07H021-04

EXF 435/6; 435/69.1; 435/69.8; 435/240.2; 435/252.3; 435/252.33; 435/320.1;  
435/325; 435/352; 435/354; 435/357; 435/358; 435/364; 435/366; 435/367;  
536/23.5; 536/24.3; 536/24.31; 536/24.33

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 7 OF 19 USPATFULL  
AN 2000:31394 USPATFULL  
TI Neurotrophic factor (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6037320 20000314  
AI US 1997-928694 19970912 (8)  
RLI Continuation of Ser. No. US 1995-451947, filed on 26 May 1995, now  
patented, Pat. No. US 5702906 which is a division of Ser. No. US  
1995-426419, filed on 19 Apr 1995, now abandoned which is a continuation  
of Ser. No. US 30013

DT Utility  
FS Granted

LN.CNT 2746

INCL INCLM: 514/002.000  
INCLS: 514/012.000; 530/350.000  
NCLM: 514/002.000

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

TIEN MAMMALIAN SUBTILISIN/KEY ISOZYME SKI-1: A PROPROTEIN CONVERTASE WITH A  
 UNIQUE CLEAVAGE SPECIFICITY  
 TIFR SUBTILISINE/KEXINE ISOZYME SKI-1 MAMMIFERE : PROPROTEINE CONVERTASE  
 DOTE D'UNE SPECIFICITE DE CLIVAGE UNIQUE  
 IN SEIDAH, Nabil;  
 CHRETIEN, Michel;  
 MARCINKIEWICZ, Mieczyslaw;  
 LAAKSONEN, Reijo;  
 DAVIGNON, Jean  
 PA INSTITUT DE RECHERCHES CLINIQUES DE MONTREAL;  
 SEIDAH, Nabil;  
 CHRETIEN, Michel;  
 MARCINKIEWICZ, Mieczyslaw;  
 LAAKSONEN, Reijo;  
 DAVIGNON, Jean  
 LA English  
 DT Patent  
 PI WO 2000026348 A2 20000511  
 DS W: AE AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM  
 EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ  
 LC LK LR LS LT LU LV MA MD MG MK MN MW MX NO NZ PL PT RO RU  
 SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW GH  
 GM KE LS MW SD SL SZ TZ UG ZW AM AZ BY KG KZ MD RU TJ TM AT  
 BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE BF BJ CF  
 CG CI CM GA GN GW ML MR NE SN TD TC  
 AI WO 1999-CA1058 A 19991104  
 PRAI CA 1998-2,249,648 19981104  
 ICM C12N009-64  
 ICS C07K014-81

L8 ANSWER 9 OF 19 PCTFULL COPYRIGHT 2003 Univentio  
 AN 2000022119 PCTFULL ED 20020515  
 TIEN METHOD FOR OBTAINING ACTIVE  $\beta$ -NGF  
 TIFR PROCEDE D'OBTENTION DE NGF- $\beta$ ; ACTIF  
 IN RUDOLPH, Rainer;  
 RATTENHOLL, Anke;  
 SCHWARZ, Elisabeth;  
 GROSSMANN, Adelbert  
 PA RUDOLPH, Rainer;  
 RATTENHOLL, Anke;  
 SCHWARZ, Elisabeth;  
 GROSSMANN, Adelbert  
 LA German  
 DT Patent  
 PI WO 2000022119 A1 20000420  
 DS W: AU BR CA JP KR US ZA  
 AI WO 1999-EP7613 A 19991011  
 PRAI EP 1998-98119077.0 19981009  
 ICM C12N015-12  
 ICS C07K014-48

L8 ANSWER 10 OF 19 USPATFULL  
 AN 1999:167121 USPATFULL  
 TI Purification of recombinant human neurotrophins  
 IN Burton, Louis E., San Mateo, CA, United States  
 Schmelzer, Charles H., Burlingame, CA, United States  
 Beck, Joanne T., Westlake Village, CA, United States  
 PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
 corporation)  
 AI US 6005081 19990101

E. 1999:167121  
 INCLNT 2397  
 INCL INCLM: 580.399.000

NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [6]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 11 OF 19 USPATFULL  
AN 1999:92541 USPATFULL  
TI Protein expression system  
IN Sgarlato, Gregory D., Los Gatos, CA, United States  
PA Technologene, Inc., Los Gatos, CA, United States (U.S. corporation)  
PI US 5935824 19990810  
AI US 1996-595043 19960131 (8)  
DT Utility  
FS Granted  
LN.CNT 5959  
INCL INCLM: 435/069.700  
INCLS: 435/069.800; 530/350.000; 536/023.400  
NCL NCLM: 435/069.700  
NCLS: 435/069.800; 530/350.000; 536/023.400  
IC [6]  
ICM: C07K019-00  
ICS: C12N015-62  
EXF 435/69.7; 435/69.8; 435/207; 435/68.1; 436/532; 436/828; 530/387.1;  
530/350; 530/413; 530/812; 530/866; 530/867; 536/23.4; 536/23.2;  
536/23.53; 536/23.7; 935/47  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 12 OF 19 USPATFULL  
AN 1998:135007 USPATFULL  
TI Neurotrophic factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S. corporation)  
PI US 5830858 19981103  
AI US 1995-424826 19950419 (8)  
RLI Continuation of Ser. No. US 1994-240387, filed on 10 May 1994, now abandoned which is a continuation of Ser. No. US 1991-648482, filed on 13 Jan 1991, now abandoned which is a continuation-in-part of Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US 5364769  
DT Utility  
FS Granted  
LN.CNT 2363  
INCL INCLM: 514/012.000  
INCLS: 514/002.000; 530/350.000; 530/395.000; 530/399.000; 530/402.000;  
435/069.100  
NCL NCLM: 514/012.000  
NCLS: 435/069.100; 514/002.000; 530/350.000; 530/395.000; 530/399.000;  
530/402.000  
IC [6]  
ICM: A61K038-18  
ICS: C07K014-475  
EXF 514/2; 514/12; 530/350; 530/395; 530/399; 530/402; 435/69.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 13 OF 19 USPATFULL

PI US 5702906 19971036  
AI US 1995 481947 19950926 (8)

abandoned which is a continuation-in-part of Ser. No. US 91-648482,  
filed on 31 Jan 1991, now abandoned which is a continuation-in-part of  
Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US  
5364769

DT Utility

FS Granted

LN.CNT 2046

INCL INCLM: 435/007.100

INCLS: 530/387.100; 530/387.900; 530/388.240; 530/413.000; 435/336.000;  
435/236.000

NCL NCLM: 435/007.100

NCLS: 435/236.000; 435/336.000; 530/387.100; 530/387.900; 530/388.240;  
530/413.000

IC [6]

ICM: G01N033-53

ICS: C12N005-12; C07K016-22; C07K001-16

EXF 424/139.1; 424/141.1; 424/145.1; 424/9.1; 435/7.1; 435/336; 530/387.1;

530/387.9; 530/388.1; 530/388.15; 530/388.24; 530/389.1; 530/389.2;

530/391.3; 530/413

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L8 ANSWER 14 OF 19 PCTFULL COPYRIGHT 2003 Univentio

AN 1997028272 PCTFULL ED 20020514

TIEN PROTEIN EXPRESSION SYSTEM

TIFR SYSTEME D'EXPRESSION DE PROTEINES

IN SGARLATO, Gregory, D.

PA TECHNOLOGENE INC.

LA English

DT Patent

PI WO 9728272 A1 19970807

DS W: AU CA JP AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE

AI WO 1997-US1470 A 19970131

PRAI US 1996-8/595,043 19960131

ICM C12P021-00

ICS C12P021-06; C07K001-12; C07K001-22; C07K014-46; C07K014-195;

C07K016-00; C07K019-00; C07H021-04; C12N009-38

L8 ANSWER 15 OF 19 USPATFULL

AN 94:99824 USPATFULL

TI Nucleic acid encoding neurotrophic factor four (NT-4), vectors, host  
cells and methods of production

IN Rosenthal, Arnon, Pacifica, CA, United States

PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)

PI US 5364769 19941115

AI US 1990-587707 19900925 (7)

DT Utility

FS Granted

LN.CNT 1357

INCL INCLM: 435/069.100

INCLS: 435/069.400; 435/320.100; 435/240.100; 435/240.200; 536/023.500;  
536/023.510

NCL NCLM: 435/069.100

NCLS: 435/069.400; 435/320.100; 435/369.000; 536/023.500; 536/023.510

IC [5]

ICM: C12N005-10

ICS: C12N015-18; C12N015-12

EXF 536/27; 536/23.50; 536/23.51; 536/252.3; 435/69.1; 435/69.4; 435/320.1;

435/240.1; 435/240.2

CAS INDEXING IS AVAILABLE FOR THIS PATENT

IP: NEURONAL TROPHIC FACTOR (NT-4) AND USE THEREOF IN THE TREATMENT OF NEURODEGENERATIVE DISEASES

IN IP, Nancy;

ATTORNEY: [illegible]

VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 LA English  
 DT Patent  
 PI WO 9325684 A1 19931223  
 DS W: AU BB BG BR BY CA CZ FI HU JP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT  
 SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
 AI WO 1993-US5672 A 19930611  
 PRAI US 1992-898,194 19920612  
 ICM C12N015-12  
 ICS C12Q001-68; C12P021-08; A61K037-02  
 L8 ANSWER 17 OF 19 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992020365 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUES ET DIAGNOSTIQUES BASEES SUR L'EXPRESSION DE  
 NEUROTROPHINE-4  
 IN HALLBOOK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt;  
 IP, Nancy;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 HALLBOOK, Finn;  
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 AI WO 1992-US4266 A 19920520  
 PRAI US 1991-703,450 19910521  
 US 1991-729,253 19910712  
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 L8 ANSWER 18 OF 19 PCTFULL COPYRIGHT 2003 Univentio  
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 TIEN NOVEL NEUROTHROPHIC FACTOR  
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 IN FGSENTHAL, Arnon  
 PA GENENTECH, INC.;  
 FGSENTHAL, Arnon

AI WO 1991-10000 A 19910104  
 PRAI US 1990-580,707 19900926  
 US 1991-648,482 19910131

L8 ANSWER 19 OF 19 MEDLINE DUPLICATE 1  
 AN 89178770 MEDLINE  
 DN 89178770 PubMed ID: 2648014  
 TI Synthesis of chimeric mouse nerve growth factor precursor and human  
 beta-nerve growth factor in Escherichia coli: immunological properties.  
 AU Dicou E; Houlgatte R; Lee J; von Wilcken-Bergmann B  
 CS INSERM U, Centre Hospitalier Regional, Angers, France.  
 SO JOURNAL OF NEUROSCIENCE RESEARCH, (1989 Jan) 22 (1) 13-9.  
 Journal code: 7600111. ISSN: 0360-4012.  
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15 ANSWERS ARE AVAILABLE. SPECIFIED ANSWER NUMBER EXCEEDS ANSWER SET SIZE  
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 ENTER ANSWER NUMBER OR RANGE (1):end

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L8 ANSWER 9 OF 19 PCTFULL COPYRIGHT 2003 Univentio  
 ABEN The invention relates to a method for producing biologically active  
 &beta;-NGF from the proform  
**proNGF**. After expressing the proform of the &beta;-NGF in a  
 prokaryotic host cell, the recombinant  
 protein is isolated in the form of insoluble inactive aggregates  
 (inclusion bodies). After the  
 solubilization thereof in a strong **denaturing** agent and the  
 subsequent conversion thereof into the  
 natural conformation, which is determined by the disulfide bridges  
 present in the natural  
 &beta;-NGF, biologically active &beta;-NGF is obtained by subsequently  
 splitting-off the  
 prosequence.  
 ABFR L'invention concerne un procede de preparation de NGF-&beta;  
 biologiquement actif, a partir de  
 la proforme **proNGF**. Apres expression de la proforme du  
 NGF-&beta; dans une cellule hote procaryote,  
 la proteine de recombee est isolee sous forme d'ensembles inactifs  
 insolubles (corps d'inclusion).  
 Apres leur solubilisation dans un agent de **denaturation**  
 puissant puis leur conversion a la  
 conformation naturelle qui est determinee par les ponts disulfure  
 presents dans le NGF-&beta;  
 naturel, le NGF-&beta; biologiquement actif est obtenu par separation de  
 la prosequence.  
 DETD Verfahren zur Gewinnung von aktivem ss-NGF  
 Die vorliegende Erfindung betrifft ein Verfahren zur Gewinnung von  
 ss-NGF durch Naturie-  
 rung von denaturiertein, inaktiven **proNGF** und Abspaltung der  
 Prosequenz.

In: J. Neurosci. Res. 25:1-10, 1989.  
 Proform **proNGF** vorzugsweise erhalten, ist in Form von  
 Inclusion Bodies nach

dass der **proNGF** in seiner inaktiven schwer löslichen Form mit einer Lösung eines Denaturierungsmittels in einer denaturierenden Konzentration gelöst wird, anschliessend unter Erhalt der Löslichkeit in eine nicht oder schwach denaturierende Lösung überführt wird und dabei gelöster denaturierter **proNGF** eine biologisch aktive Konformation annimmt, die durch die im natürlichen NGF vorliegenden Disulfidbrücken bestimmt ist und anschliessend die Prosequenz abgespalten wird, wobei aktiver NGF erhalten wird, der isoliert werden kann.

Unter **proNGF** ist ss-NGF zu verstehen, welcher am N-Terminus mit seiner Prosequenz verknüpft ist. Erfindungsgemäss kann als Prosequenz entweder die gesamte Prosequenz (US-Patent 5,683,894; Ulrich, A. et al. Nature 303 (1983) 821; SWISS-PROT Protein Sequence Database No. P01138) oder Teile davon, vorzugsweise vollständige Domänen, verwendet werden. Suter et al. (ENMO J. 10 2395 (1991)) haben die in vivo-Funktion des Propeptids von murinem ss-NGF hinsichtlich der korrekten Sekretion in einem COS Zellkultursystem näher untersucht. Dazu wurde die Prosequenz in fünf Bereiche eingeteilt. Es wurden Mutanten hergestellt, in denen ein oder mehrere dieser Sequenzen deletiert wurden. Dabei wurde gefunden, dass die Sequenzbereiche mit den Aminosäuren -52 bis -26 (Domäne F') sowie -6 bis -1 (Domäne II) für die Expression und Sekretion von biologisch aktivem ss-NGF essenziell sind. Domäne I ist wichtig für die Expression, während Domäne II die korrekte proteolytische Prozessierung bewirkt. Es hat sich überraschenderweise gezeigt, dass **proNGF** in analoger Weise wie ss-NGF eine Aktivität in vivo zeigt. **proNGF** kann damit ebenfalls als Therapeutikum verwendet werden.

Inaktiver, schwer löslicher **proNGF** entsteht bei der Überexpression des Proteins im Cytosol von Prokaryoten. Rekombinant hergestellter **proNGF** verbleibt dabei in unlöslicher und aggregierter Form im Cytoplasma. Derartige Aggregate von Proteinen, deren Isolierung und Reinigung sind beispielsweise in Marston, F.A., Biochem. J. 240 (1986) 1 beschrieben. Zur Isolierung dieser inaktiven Proteinaggregate (Inclusion Bodies) werden die prokaryotischen Zellen nach der Fermentation aufgeschlossen.

Die Prosequenz stellt eine vom reifen Protein getrennte Domäne dar. Zwischen diesen Domänen befindet sich eine exponierte Proteasespaltstelle. Diese Spaltstellen lassen sich spe-

**proNGF**

und das Protein getrennt. Nach der Denaturierung wird das Protein abgebaut. Denaturierte Proteine und auch Faltungsintermediate hingegen exponieren Sequenzen, die für die Faltung wichtig sind.

**proNGF**

Proteasen mit Trypsin-ähnlicher Substratspezifität bevorzugt. Diese Proteasen spalten das Protein, ohne den aktiven Teil des Proteinmoleküls abzubauen. Als Trypsin-ähnliche Proteasen kommen verschiedene Serin-Proteasen (z.B. Trypsin selbst oder  $\gamma$ -NGF) in Frage. Bevorzugt wird Trypsin eingesetzt. Für die limitierte Proteolyse wird das Protein in einem Masse-Verhältnis von 1:40 bis 1:2500 (Verhältnis Trypsin : **proNGF**) eingesetzt, bevorzugt wird ein Bereich von 1:40 bis 1:250. Die Proteolyse wird mit einer Inkubationszeit von 1 min - 24 h, bevorzugt 1 - 60 min bei einer Temperatur von 0°C bis 37°C, bevorzugt 0°C bis 20°C, durchgeführt. Als Puffer werden solche verwendet, die die Aktivität der Protease nicht hemmen. Bevorzugt sind Phosphat- und Tris-Puffer im Konzentrationsbereich von 10-100 mM. Die limitierte Proteolyse wird im Bereich des pH-Optimums der Protease durchgeführt, bevorzugt ist ein Milieu von pH 7. Nach Ende der Inkubationszeit wird die Proteolyse gestoppt, entweder durch Zugabe eines spezifischen Inhibitors, bevorzugt 1-5 mM PMSF (Phenylmethylsulfonylfluorid) oder Sojabohnen-Trypsininhibitor, bevorzugt 1 mg auf 0.5 mg Trypsin oder durch Erniedrigung des pH-Werts auf pH 2-3 durch Zugabe von Säure, bevorzugt HCl (Rudolph, R. et al. (1997).

Figur 1 zeigt das **proNGF**-Plasmidkonstrukt pET11a-**proNGF** für die Expression von rekombinantem humanen **proNGF**.

Figur 2 zeigt eine Coomassie-Färbung eines SDS-PAGE-Gels (15 %) mit Roh-extrakten des E. coli-Stammes BL21(DE3) pET11a-**proNGF**/pUBS520 vor bzw. nach Induktion sowie einer IB-Praeparation (SDS-PAGE nach Laemmli, U.K., Nature 227 (1970) 680). U: Rohextrakt vor Induktion, L: Rohextrakt nach vierstuendiger Induktion, P: IB-Pellet, S: löslicher Überstand).

Figur 2a zeigt den Einfluss des pH-Werts auf die Faltung von rh-**proNGF** bei 10°C in 1.00 mM Tris/HCl, 1 M L-Arginin, 5 mM GSH, 1 mM GS SG, 5 mM EDTA.

Figur 2d zeigt den Einfluss verschiedener GSSG-Konzentrationen auf die Renaturierung von rh-**proNGF**. Die GSH-Konzentration betrug 5 mM. Die restlichen Faltungsparameter waren identisch mit denen bei der GSH-Variation verwendeten. Dargestellt sind die Durchschnittswerte aus zwei Messreihen.

Figur 2e stellt den Einfluss verschiedener GSH/GS-Gehalte auf die

renaturierung von rh-**proNGF** dar. Dargestellt sind die Durchschnittswerte aus zwei Messreihen.



Figur 2f zeigt den Effekt unterschiedlicher Proteinkonzentrationen auf die Faltungsausbeute von rh-**proNGF**. Die GdmCl-Konzentration betrug in allen Ansätzen 200 mM. Alle anderen Faltungsparameter waren identisch mit denen bei der GdmCl-Variation verwendeten. Dargestellt ist eine Messreihe.

Figur 3 zeigt das Elutionsprofil der Reinigung von rh-**proNGF** mittels Kationen-Austauschchromatographie an Poros 20 HS (Perseptive Biosystems, Säulenvolumen 1.7 ml).

Figur 4 zeigt ein SDS-PAGE-Gel (15 %, Silberfärbung nach Nesterenko, M.V. et al., J. Biochem. Biophys. Methods 28 (1994) 239) der Reinigung von rh-**proNGF** an Poros 20 HS (1: Auftrag rh-**proNGF**-Renaturat, 2: Durchlauf, 3.

Figur 5 zeigt das UV-Spektrum von rh-**proNGF**.

Figur 6 zeigt ein IEX-EPLC-Elutionsdiagramm von rh-**proNGF** (Säulenmaterial.

Figur 7 zeigt ein RP-HPLC-Elutionsdiagramm von rh-**proNGF** bei 220 nm (Säule Poros 10 R1; 100 mm x 4.6 mm; Perseptive Biosystems).

Figur 8 zeigt ein SDS-Gel (15 % Coomassie-Färbung) der limitierten Proteolyse von rh-**proNGF** mit Trypsin (M: 10 kDa-Marker, 1: rh-**proNGF**-Standard, 2: rh-ss-NGF-Standard, 3: Masseverhältnis Trypsin rh-**proNGF** = 1 : 40, 4: 100, 5: 1 : 250, 6: 1 : 500, 7: 1 : 1000, 8: 1 : 2000, 9: 1 : 2500, 10: Kontrolle ohne Trypsin, mit STI).

SEQ ID NO: 1 und 2 zeigen Oligonukleotide zur Konstruktion von pET1 la-**proNGF**.

SEQ ID NO: 3 zeigt die Nukleotidsequenz der cDNA von humanem **proNGF** sowie die Aminosäuresequenz des Translationsprodukts.

#### Beispiel 1

Klonierung der **proNGF**-cDNA in einen Escherichia coli-Expressionsvektor

Für die Klonierung des **proNGF**-Konstrukts wurde das T7-Expressionssystem von Novagen

benutzt (Studien: F.M. et al., Mol. Biol. 189, 1996, 113). Die Folie

#### 1.1.1. RNA

Die Expression der cDNA in E. coli wird durch die Polymerase II-Expression des **proNGF** und damit der **proNGF**s wird durch

die Polymerase II-Expression des **proNGF** und damit der **proNGF**s wird durch

Die cDNA fuer humanen **proNGF** wurde durch PCR-Amplifikation aus dem Vektor pMGL-SIG-**proNGF** von Boehringer Mannheim erhalten (PL-Nr. 1905). Durch Mutageneseprimer wurde am 5'-Ende der fuer **proNGF** codierenden DNA-Sequenz eine NdeI- und am 3'-Ende eine BamHI-Schnittstelle eingefuehrt. Das PCR-Produkt wurde in die NdeI/BamHI-Schnittstelle der multiplen Klonierungsstelle des Vektors pET 1 la (Novagen) inseriert (Fig. 1).

#### Beispiel 2

a) Expression von humanem **proNGF** in E. coli  
Fuer die Anzucht des rekombinanten Bakterienstamms wurde eine Uebemachtkultur bereit.

Die Kultur wurde bei 37°C und 200-250 rpm geschuettert, bis eine OD<sub>600</sub> von 0.8 erreicht war. Danach wurde die Expression von **proNGF** mit 3 mM IPTG 4 h lang bei gleicher Temperatur induziert. Anschliessend wurden die Zellen durch Zentrifugation geerntet und entweder sofort aufgeschlossen oder bei -70°C eingefroren.

4 g IB-Pellet erhalten. Die Praeparationen enthielten stets etwa 90-95% rh-**proNGF** (Fig. 2).

#### Beispiel 3

a) Solubilisierung der lBs  
400 mg IB-Pellet wurden in 2 ml Solubilisierungspuffer (100 mM Tris/HCl pH 8.0; 6 M GdmCl; 100 mM DTT; 10 mM EDTA) suspendiert, 2 h bei 25°C inkubiert und 30 min bei 13.000 rpm im Kuehlraum abzentrifugiert. Anschliessend wurde der Ueberstand abgenommen und mit 1 M HCl auf pH 3-4 gebracht. Das Solubilisat wurde dreimal gegen 300 mM Tris/HCl pH 4.0; 10 mM EDTA dialysiert, und zwar zweimal je 2 h bei 25°C und einmal ueber Nacht im Kuehlraum (12°C; 16-18 h). Die Proteinkonzentration wurde dann nach der Bradford-Methode bestimmt (Bradford, M.M., Anal. Biochem. 72 (1976) 248). Die Konzentration an rh-**proNGF** betrug zwischen 40 und 50 mg/ml.

#### b) Optimierung der Renaturierung von rh-**proNGF**

Zur Darstellung von biologisch aktivem rh-pro-NGF aus den in Beispiel 3a) hergestellten Solubilisaten wurden diese in verschiedene Renaturierungspuffer verduennt. Zur Ermittlung der optimalen Faltungsbedingungen wurden folgende Parameter in der angegebenen Reihenfolge variiert.

**proNGF** ...  
bestimmt. Dann wurden zu bestimmten Zeiten je 50 µl der Faltungsansatz mit 50 µl Tris/HCl versetzt und dadurch ...

Säule und das IPLC-System Beckman Gold mit 125NM Solvent Module, 168 Detektor, Autosampler 507 und Auswertesoftware Gold V 8.10 verwendet. Die erhaltenen Elutionspeaks wurden mit dem Programm Peakfit, Version 2.01, gefittet. Zur quantitativen Bestimmung der Ausbeuten wurde eine Eichgerade mit gereinigtem, nativem rh-proNGF erstellt. Da die rh-proNGF-IBs sehr sauber waren, wurde bei der quantitativen Auswertung die zur Renaturierung eingesetzte Gesamtproteinmenge mit der von rh-pro-NGF gleichgesetzt. Bei den dargestellten Messergebnissen handelt es sich um Durchschnittswerte aus je zwei Messungen.

Temperatur [IC] Endausbeute [%] Plateau erreicht Geschwindigkeitsnach ca. konstante k [s<sup>-1</sup>]

4 25.8 3.3 h 2.596 x10<sup>-1</sup> s<sup>-1</sup>,  
 10 29.0 1.6 h 4.865 x10<sup>-1</sup> s<sup>-1</sup>,  
 15 22.4 1.1 h 6.399 x10<sup>-4</sup> s<sup>-1</sup>  
 20 12.0 1.0 h 1.065 x10<sup>-1</sup> s<sup>-1</sup>,  
 25 11.4 0.8 h 1.935 x10<sup>-3</sup> s<sup>-1</sup>

Tabelle 2

Hier ist der Einfluss verschiedener GSH/GSSG (GSH = reduziertes Glutathion, GSSG = oxidiertes Glutathion) auf die Faltung von rh-proNGF dargestellt. Als Renaturierungspuffer wurde

100 mM Tris/HCl pH 9.5,  
 1 M L-Arginin,  
 5 mM EDTA

verwendet. Die Faltungsdauer betrug 3 h bei 10°C. In der Tabelle sind die einzelnen Faltungsansätze nach abnehmender Ausbeute geordnet. Angegeben sind die durchschnittlichen Ausbeuten aus zwei Messreihen.

Nr. des Ansatzes GSH/GSSG-Verhältnis [mM] Ausbeute

1 5/0.5 37.7  
 2 5/1 35.0  
 3 5/5 34.0  
 4 5/15 33.1  
 5 1/1 29.4  
 6 5/10 27.6  
 7 5/20 26.0  
 8 2.5/1 22.1  
 9 10/1 21.2  
 10 115 18.9  
 11 20/1 10.9  
 12 0/1 9.85  
 13 1 0/0 0  
 14 1 5/0 0

c) Renaturierung von rh-proNGF im präparativen Massstab

Rh-proNGF wurde durch Verdünnung in Faltungspuffer (100 mM Tris/HCl pH 9.5; 1 M L-Arginin; 5 mM GSH; 0.5 mM GSSG; 5 mM EDTA) renaturiert. Dabei wurde bei einer

4. Reinigung mittels Ionenaustauschchromatographie

Das Renaturat wurde gegen 10 mM Na-Phosphat pH 7.0; 1 mM

wurde auf eine Poros 20 HS-Saeule (1.7 ml) aufgetragen und mit einem linearen Salzgradienten eluiert (IEX-Puffer B.

mM Na-Phosphat pH 7.0; 1 M NaCl; 1 mM EDTA). Das Protein eluiert bei 980 mM NaCl (Fig. 3). Nicht nativer rh-**proNGF** laesst sich nur unter denaturierenden Bedingungen von der Saeule entfernen.

#### Beispiel 4

##### Charakterisierung von rh-**proNGF**

a) Konzentrations- und Molekulargewichtsbestimmung mit UV-Spektralphotometrie

Zur Konzentrationsbestimmung von rh-**proNGF** in den gereinigten Proben wurde ein UV-

Spektrum von 240 bis 340 nm von gegen 50 mM Na-Phosphat pH 7.3 1 mM EDTA dialy-

sierten Proben gemessen ( Fig. 5; das Spektrum wurde aufgenommen mit einem Beckman DU

640 Spectrophotometer). Die rh-**proNGF**-Konzentration der Probe wurde aus der Absorption

bei 280 nm bestimmt. Fuer die Berechnung wurde ein theoretischer molaler

Extinktionskoeffizient von 25680 l/(molxcm) (berechnet nach Gill, S.C. et al., Anal.

Biochem. 182 (1989) 319) und das Molekulargewicht von 24869 Da pro Monomer (berechnet mit dem ExpASY-Programm pI/Mw und korrigiert fuer drei Disulfidbruecken) zugrundegelegt. Die durch das Spektrum ermittelten Werte stimmten gut mit den durch die Bradford-Methode bestimmten Konzentrationen ueberein. Die Molekulargewichtsbestimmung erfolgte mittels Elektrospray-Massenspektrometrie. Die theoretische Masse von rekombinantem **proNGF** betraegt 24869 Da. Experimentell wurden 24871 Da erhalten.

b) Reinheitsanalyse und Molekulargewichtsbestimmung mit SDS-Polyacrylamidgelelektrophorese

Es wurden 15%ige Polyacrylamid-Gele verwendet. Die Proben enthielten jeweils 1% (v/v) 2-

Mercaptoethanol. Rekombinanter humaner **proNGF** zeigt im SDS-Gel ein etwas hoeheres

apparentes Molekulargewicht als erwartet: ca. 30 kDa (statt 24.8 kDa) (Fig. 2).

c) - Reinheitsanalyse mit IEX-HPLC

24 gg (50 gl einer Probe mit 0.48 mg/ml rh-**proNGF**) Protein

wurden auf eine mit 50 mM Na-

Phosphat pH 7.0; 1 mM EDTA aequilibrierte Poros 20 HS-Saeule aufgetragen (125 x 4 mm)

und bei einer Flussrate von 5 ml/min mit einem linearen Gradienten von 0 bis 100% B in 50

minuten eluiert. Die Fraktionen wurden in 100 µl 0.1 M NaOH

geloesst und mit einem linearen Gradienten von 0 bis 100% B

in 50 Minuten eluiert. Die Fraktionen wurden in 100 µl 0.1 M NaOH

Konzentration von 0.21 in ml) wurden auf eine mit 0.13% (v/v) TFA equilibrierte Poros 10 RI-Saeule (100 mm x 4.6 mm, Perseptive Biosystems) aufgetragen. Das Protein wurde bei einer Flussrate von 0.8 ml/min mit einem nicht-linearen Gradienten innerhalb von 33 min eluiert (0-4 min: 6%B; 4-9 min: 6-30%B; 9-24 min: 30-69%B; 24-25 min: 69-100%B; 25-30 min: 100%B). Als Laufmittel B wurde 0.1% (v/v) TFA in 80% (v/v) Acetonitril verwendet. Zur Detektion wurde die Absorption bei 220 nm. herangezogen (Beckman Gold-FWLC-System mit Auswertesoftware Gold V 8.10).

Nativer rh-**proNGF** eluierte in einem einzigen Peak bei einer Retentionszeit von 14.28 min (Fig. 7).

H2N-Met-Glu-Pro-His-Ser-Glu-Ser-Asn-Val

1) Biologische Aktivitaet des rekombinanten humanen **proNGF**

Die physiologische Aktivitaet von rh-**proNGF** wurde mit dem

DRG-Test (= dorsal root

ganglion assay) ueberprueft (Levi-Montalcini, R. et al. Cancer Res. 14

(1954) 49; Varon, S. et

al., Meth. in Neurochemistry 3 (1972) 203). Dabei wird die

Stimulierbarkeit und das

Ueberleben von sensorischen Neuronen aus dissoziierten

Dorsalwurzelganglien von 7-8 Tage

alten Huehnerembryonen anhand der Ausbildung von Neuriten untersucht.

Die rh-**proNGF**-

Probe wurde mit Kulturmedium auf Konzentrationen von 0.019 bis 20.00

ng/ml eingestellt. Es

wurden 15000 Neuronen pro Testansatz eingesetzt. Nach 48-stuendiger

Inkubation bei 37°C

wurde die Zahl der ueberlebenden Zellen bestimmt. Als Referenz wurde

eine Loesung von rh-ss-

NGF mit bekannter Konzentration hinzugezogen. Bei der quantitativen

Auswertung bezieht

man sich auf den sogenannten EC50-Wert, d.h. diejenigen

NGF-Konzentration, bei der die

Haelfte aller Neuronen ueberleben. Fuer rh-**proNGF** ergibt sich

ein EC50-Wert von 0.369 ng/nfl.

Beruecksichtigt man die unterschiedlichen Molekulargewichte von

rh-ss-NGF und rh-**proNGF**,

so ergibt sich fuer reifen rh-ss-NGF eine etwa doppelt so grosse

biologische Aktivitaet wie fuer rh-

**proNGF**.

Beispiel 5

a) Gewinnung von biologisch aktivem, reifen rh-ss-NGF durch limitierte

Proteolyse von

rh-**proNGF**

Menschlicher **proNGF** besitzt als letzte Aminosaeure der

Prosequenz ein Arginin. Daher kann

in vitro aus diesem Vorstupe durch limitierte Proteolyse mit Destacyl

1. Bei reifem rh-**proNGF** wird durch "N"-terminale Aminosaeuren

analysiert. Nach der

Dialyse wurde mittels Aufnahme des UV-Spektrums eine

quantitative Bestimmung

der Konzentration des reifen rh-ss-NGF

**proNGF**

eingesetzt. Davon wurden 3 gg (entspricht 6 gl) mittels SDS-PAGE analysiert. Als Trypsin-Stammloesungen wurden 0.1 gg/ml bzw. 0.01 gg/ml verwendet. Die Konzentration des Sojabohnen-Trypsin-Inhibitors (STI) betrug 1 mg/ml. Beide Proteine lagen als Lyophilisate vor (Hersteller).

Fuer die limitierte Proteolyse wurden unterschiedliche Trypsin/rh-**proNGF**-Masseverhaeltnisse eingesetzt (s. Tabelle 3). Nach dreissigminuettiger Inkubation auf Eis wurde die Reaktion mit je 1 gg STI abgestoppt. Als Kontrolle wurde rh-**proNGF** ohne Zugabe von Protease ebenfalls auf Eis inkubiert und anschliessend mit STI versetzt.

Tabelle 3

Verhaeltnis M(Trypsin) V(Trypsin) [gl] V(rh-**proNGF**) [gl] V(STI) [gl]

Trypsin:rh-**proNGF** ggl 1

1 : 40 0.5 5 (0.1 gg/ml) 40 5

1 : 100 0.2 2 (0.1 gg/ml) 40 5

1 : 250 0.08 0.8 (0.1 gg/ml) 40 5

1 : 500 0.04 4 (0.01 gg/ml) 40 5

1 : 1000 0.02 2 (0.01 gg/ml) 40 5

1 : 2000 0.01 1 (0.01 gg/ml) 40 5

1 : 2500 0.008 0.8 (0.01 gg/ml) 40 5

Kontrolle 20 2.5

g) Analyse der Spaltprodukte durch N-terminale Sequenzierung

Die Verdauensaetze mit einem Massenverhaeltnis Trypsin : rh-

**proNGF** von a) 1 : 40; b) 1 : 100

und c) 1 : 250 wurden durch N-terminale Sequenzierung naeher untersucht.

In der Bande bei

13 kDa fanden sich mehrere Spezies (Figur 8).

Zur Gewinnung von maturem rh-ss-NGF aus rh-**proNGF** im

praeparativen Massstab wurden 1.3

mg rh-**proNGF** (in 50 mM Tris/HCl pH 8.0; Konzentration 0.46

mg/ml) im Masseverhaeltnis

1:250 (Trypsin : rh-**proNGF**) mit Trypsin versetzt. Der Ansatz

wurde 30 min auf Eis inkubiert.

Tabelle 4

Spezies EC<sub>50</sub>-Wert [pg/ml]

rh-ss-NGF 110

rh-ss-NGF, hergestellt durch limitierte 171

Proteolyse von rh-**proNGF** 1

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 US-Patent 5,235,043  
 US-Patent 5,593,856  
 US-Patent 5,606,031  
 US-Patent 5,683,894  
 Varon, S. et al., Meth. in Neurochemistry 3 (1972) 203 WO 00/22119  
 PCT/EP99/07613  
 21

CLMDE Verfahren zur Herstellung eines biologisch aktiven ss-NGF aus seiner inaktiven schwer

C C

löslichen Proform, erhältlich nach rekombinanter Herstellung in Prokaryonten, dadurch

C

gekennzeichnet, dass **proNGF** in seiner inaktiven schwer löslichen Form mit einer

Loesung eines Denaturierungsmittels in einer denaturierenden Konzentration Creloest

13 C Z@

wird, anschliessend unter Erhalt der Löslichkeit in eine nicht oder schwach **denaturie-**

rende Lösung überführt wird und dabei denaturierter **proNGF** eine biologisch aktive

Konformation annimmt, die durch die im natürlichen ss-NGF vorliegenden Disulfid-

brücken bestimmt ist, und anschliessend die Pro-Sequenz abgespalten wird, wobei akti-

ver ss-NGF erhalten wird, der isoliert werden kann.

7 Verfahren nach den Ansprüchen 1 bis 6, dadurch gekennzeichnet, dass als **Denaturie-** rungsmittel Guanidiniumhydrochlorid oder Harnstoff verwendet wird.

Fig. 1

T7 Terminator (260-21 3)

amp r (61 05-5245) Bam H 1 (320)

.,@**proNGF** (996-328)

Nde 1 (996)

rbs (1010-1005)

ac Operator (1 065-1 041)

pET11a-**proNGF** 7 Promoter (1084)

6313 bp

ColE1 ori (4487) lacI (1471-2553)

ERSMZBLATT (REGEL 26)

Fig. 2

1 p s

2/11

Fig. 2a

pH-Optimierung

45 -

o@' 30

(D o

5 5.5 6 6.5 7 7.5 8 8.5 9 9.5 10

pH-Wert

Fig. 2b

Arginin-Optimierung

15

Arginin Konzentration [M]

3.11

Optimierung

1

Variation der GSHuKonzentration (c(GSSG) 1 mM)

35 -

< 10

0 2 4 6 8 10 12 14 16 18 20

GSH-Konzentration [mM]

Fig. 2d

Variation der GSSGmKonzentration (c(GSH) 5 mM)

40 -

35 -

30 -

o@O

20

(D

m

U) 1 5

:3

< 10

5

0 T

0 2 4 6 8 10 12 14 16 18 20

GSSG-Konzentration [mM]

4/11

ERSATZBLATT(REGEL26)

Fig. 2e

Optimierung der GdmCI-Konzentration

-

-

-

< 10

0 50 100 150 200 250 300 350 400 450 500

GdmCI-Konzentration [mM]

Fig. 2f

Optimierung der Proteinkonzentration

-

-

cn

Z

< 15

0 100 200 300 400 500

Proteinkonzentration [pg/ml]

5/11

ERSATZBLATT(REGEL26)

Fig. 3

Elutionsprofil der Reinigung von rh-proNGF an Poros 20 HS

245

UV1 280 nm mAU

195

..... Conc %B

:D

< Cond%

E

145

E

CD

OD

NN..@ 95

45 -

-5 .....

15 25 35 45 55 65 75 85 95

Elutionsvolumen [ml]

100

100 kDa

80 kDa

60 kDa



20 kDa@+',  
 10 kDa  
 7 /11  
 Fig. 5  
 UV-Spektrum rh-**proNGF**  
 0.4 -  
 0.35 -- **proNGF**-Monomer:  
 0.3 - 11 Phe  
 4 Trp  
 0.25 - 2 Tyr  
 0.2  
 0.15  
 0.1  
 0.05  
 240 250 260 270 280 290 300 310 320 330 340  
 Wellenlaenge [nm]  
 8/11

ERSATZBLATT (REGEL26)  
 Fig. 6  
**proNGF** 50 pl UV VIS 2  
 WVL@280 nm  
 0  
 E.1 0.0 -  
 5.941 min  
 0-  
 0.0 1.0 2.0 3.0 4.0 5.0 6.0 7.0 8.0 9.0 10.0  
 min

9/11  
 ERSATZBLATT (REGEL26)  
 - WO 00/22119 PCT/EP99/07613

Fig. 7  
 0,10 -  
 E  
 c 0,05 -  
 CD  
 c\I  
 r+\*1  
 .0  
 < 0.00 -,  
 -os05 --1 1 1 1  
 0 1 0 15 20 25 30  
 - Zeit [min]

10/11  
 ERSATZBLATT (REGEL26)  
 Fig. 8  
 M 1 2 3 4 5 6 7 8 9 10

50 kDa .....  
 40 kD  
 30 kDa  
 20 kD  
 10 kDa

SEQUENZPROTOKOLL  
 <110> Rudolph, Prof. Dr. Rainer  
 <120> Verfahren zur Gewinnung von aktivem beta-NGF  
 <130> P11700  
 <140>  
 <141>  
 <150> EP 98119077.0  
 <151> 1998 09  
 100 1

<11> Erfindung der Erfindung  
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 <223> Beschreibung der Erfindung: Diese  
 <224> Erfindung betrifft ein Verfahren zur Gewinnung von aktivem beta-NGF

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<211> 32
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<213> Kuenstliche Sequenz
<220>
<223> Beschreibung der kuenstlichen Sequenz:desc
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<211> 672
<212> DNA
<213> Homo sapiens
<220>
<221> CDS
<222> (1)..(672)
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5 10 15
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Gln Val His Trp Thr Lys Leu Gln His Ser Leu Asp Thr Ala Leu Arg
20 25 30
aga gcc cgc agc gcc cgg gca ggc ggc ata gct gca cgc gtg gcg ggg 144
Arg Ala Arg Ser Ala Pro Ala Ala Ala Ile Ala Ala Arg Val Ala Gly
4 0 4 5
cag acc cgc aac att act gtg gac ccc agg ctg ttt aaa aag cgg cga 192
Gln Thr Arg Asn Ile Thr Val Asp Pro Arg Leu Phe Lys Lys Arg Arg
55 60
ctc cgt tca ccc cgt gtg ctg ttt agc acc cag cct ccc cgt gaa gct 240
Leu Arg Ser Pro Arg Val Leu Phe Ser Thr Gln Pro Pro Arg Glu Ala
70 75 8 0
gca gac act cag gat ctg gac ttc gag gtc ggt ggt gct gcc ccc ttc 288
Ala Asp Thr Gln Asp Leu Asp Phe Glu Val Gly Gly Ala Ala Pro Phe
85 90 95
aac agg act cac agg agc aag cgc tca tca tcc cat ccc atc ttc cac 336
Asn Arg Thr His Arg Ser Lys Arg Ser Ser Ser His Pro Ile Phe His
100 105 110
agg ggc gaa ttc tgc gtg tgt gac agt gtc agc gtg tgg gtt ggg gat 384
Arg Gly Glu Phe Ser Val Cys Asp Ser Val Ser Val Trp Val Gly Asp
- 115 120 125
aag acc acc gcc aca gat atc aag ggc aag gag gtg atg gtg ttg gga 432
Lys Thr Thr Ala Thr Asp Ile Lys Gly Lys Glu Val Met Val Leu Gly
130 135 140
gag gtg aac att aac aac agt gta ttc aaa cag tac ttt ttt gag acc 480
Glu Val Asn Ile Asn Asn Ser Val Phe Lys Gln Tyr Phe Phe Glu Thr
145 150 155 160
aag tgc cgg gac cca aat tcc gtc gac agc ggg tgc cgg ggc att gac 528
Lys Cys Arg Asp Pro Asn Ser Val-Asp Ser Gly Cys Arg Gly Ile Asp
tca aag cac tgg aac tca tat tgt acc acg act cac acc ttt gtc aag 576
Ser Lys His Trp Asn Ser Tyr Cys Thr Thr Thr His Thr Phe Val Lys
180 185 190
gcg ctg acc atg gat ggc aag cag gct gcc tgg cgg ttt atc cgg ata 624
Ala Leu Thr Met Asp Gly Lys Gln Ala Ala Trp Arg Phe Ile Arg Ile
195 200 205
gat acg gcc tgt gtg tgt gtg ctc tct aga aag gct gtg aga tga taa 672
Asp Thr Ala Cys Val Cys Val Leu Ser Arg Lys Ala Val Arg
210 215 220

```

```

Met Glu Pro His Ser Glu Ser Asn Val Pro Ala Gly His Thr Ile Pro
5 10 15
Gln Val His Trp Thr Lys Leu Gln His Ser Leu Asp Thr Ala Leu Arg

```

35 40 45

Gln Thr Arg Asn Ile Thr Val Asp Pro Arg Leu Phe Lys Lys Arg Arg

55 60

Leu Arg Ser Pro Arg Val Leu Phe Ser Thr Gln Pro Pro Arg Glu Ala

70 75 80

Ala Asp Thr Gln Asp Leu Asp Phe Glu Val Gly Gly Ala Ala Pro Phe

85 90 9 5

Asn Arg Thr His Arg Ser Lys Arg Ser Ser Ser His Pro Ile Phe His

100 105 110

Arg Gly Glu Phe Ser Val Cys Asp Ser Val Ser Val Trp Val Gly Asp

115 120 125

Lys Thr Thr Ala Thr Asp Ile Lys Gly Lys Glu Val Met Val Leu Gly

130 135 1 4 0

Glu Val Asn Ile Asn Asn Ser Val Phe Lys Gln Tyr Phe Phe Glu Thr

145 150 155 160

Lys Cys Arg Asp Pro Asn Ser Val Asp Ser Gly Cys Arg Gly Ile Asp

165 170 175

Ser Lys His Trp Asn Ser Tyr Cys Thr Thr Thr His Thr Phe Val Lys

180 185 190

Ala Leu Thr Met Asp Gly Lys Gln Ala Ala Trp Arg Phe Ile Arg Ile

195 200 205

Asp Thr Ala Cys Val Cys Val Leu Ser Arg Lys Ala Val Arg

210 215 220

INTERNATIONAL SEARCH REPORT interr, inal Application Na

PCT/EP 99/07613

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C12N15/12 CO7 K14/48

Aocarciling to International Patent Classification (IPC) or to both  
national classdication and IPC

B. FIELDSSEARCHED

Minimum daaumentation searched (classdiaation system followed by  
classification Symbols)

IPC 7 C12N CO7K

Documentation searched other than minimum documentation to the extent  
that such documents ans included in the fields searched

Electronic data base Consulted during the international search (name of  
data base and, where practiral, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category Citation of document, with indication, where appropriate, of  
the relevant passages Relevant to claim Na.

x EP 0 544 293 A (BOEHRINGER MANNHEIM GMBH 1-7

(DE); LANG; BARTKE; NAUJOKS; RUDOLPH;

STERN) 2 June 1993 (1993 02)

cited in the application

abstract

page 3, line 45 -page 4, line 34

page 4, line 54 -page 5, line 23

page 6, line 24 -page 7, line 1

x EP 0 786 520 A (BOEHRINGER MANNHEIM GMBH 1-7

(DE); LANG; BARTKE; NAUJOKS; RUDOLPH;

STERN) 30 July 1997 (1997 30)

abstract

page 3, line 9 -page 4, line 23

page 5, line 16 -page 7, line 29

page 13, line 39,40

rther documents are listed in the continuation of box C.

Fu rv Patent family members are listed in annex.

Special categories of eited documents

r later document published after the international filing date

1.1 document defining the general state of the art which is not ex

1.1 document of particular relevance to the claimed invention

filing date cannot be considered novel or cannot be considered to

document which may throw doubts on priority claim-9 or involve an

document which is not a document of the art

document which is not a document of the art

of particular relevance; claimed invention  
citation or other special reason (as specified) cannot be considered to  
involve an inventive step when the  
'0' document referring to an oral disclosure, use, exhibition or document  
is combined with one or more other such docu-  
el  
other means, such combination being obvious to a person skilled  
'P' document published prior to the international filing date but in the  
art.

later than the priority date claimed with document member of the same patent fam-  
ily

Date of the actual completion of the international search Date of mailing  
of the international search report

11 February 2000 1 0403,00

Name and mailing address of the ISA Authorized officer

European Patent Office, P.B. 5818 Patentlaan 2

NL - 2280 HV Rijswijk

Tel. (+31-70) 340-2040, Tx. 31 651 epo ni,

Fax: (+31-70) 340-301 6 Macchia, G

Form PCT/ISA/210 (second sheet) (July 1992)

page 1 of 2

INTERNATIONAL SEARCH REPORT International Application No

PCT/EP 99/07613

C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category: Cited document, with indication, where appropriate, of the relevant  
passages Relevant to claim No.

A SUTER U. ET AL.: Two conserved domains  
in the NGF propeptide are necessary and  
sufficient for the biosynthesis of  
correctly processed and biologically  
active NGF

THE EMBO JOURNAL,

vol. 10, no. 9, 1991, pages 2395-2400,

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abstract

page 2399

A WO 97 28272 A (TECHNOLOGENE INC. (US);

SGARLATO G.D.) 7 August 1997 (1997 07)

page 27, line 24 - page 29, line 21

page 101, line 11 - page 106; example 6

Form PCT/ISA/210 (continuation of second sheet) (July 1992)

page 2 of 2

INTERNATIONAL SEARCH REPORT

International Application No

Information on patent family members PCT/EP 99/07613

Patent document Publication Patent family Publication

cited in search report date member(S) date

EP 0544293 A 02 1993 DE 4139000 A 03 1993

AT 158814 T 15 1997

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EP 0786520 A 30 1997

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jp 9023883 A 28 1997

jp 2611102 B 21 1997

jp 6327489 A 29 1994

EP 0786520 A 30 1997 DE 4139000 A 03 1993

AT 158814 T 15 1997

DE 59208942 D 06 1997

Internationales Aktenzeichen

PC/i/EP 99/07613

X. KLASSIFIZIERUNG DES ANMELDUNGSGEGENSTANDES

IPK 7 C12N15/12 CO7K14/48

Nach der Internationalen Patentklassifikation (IPK) oder nach der nationalen Klassifikation und der IPK

B. RECHERCHIERTE GEBIETE

Recherchierter Mindestprüfstoff (Klassifikationssystem und Klassifikationssymbole)

IPK 7 C12N CO7K

Recherchierte aber nicht zum Mindestprüfstoff gehörende Veröffentlichungen, soweit diese unter die recherchierten Gebiete fallen

Während der internationalen Recherche konsultierte elektronische Datenbank (Name der Datenbank und evtl. verwendete Suchbegriffe)

C. ALS WESENTLICH ANGESEHENE UNTERLAGEN

Kategorie 3 Bezeichnung der Veröffentlichung, soweit erforderlich unter Angabe der in Betracht kommenden Teile Betr. Anspruch Nr.

X EP 0 544 293 A (BOEHRINGER MANNHEIM GMBH 1-7

(DE); LANG; BARTKE; NAUJOKS; RUDOLPH;

STERN) 2. Juni 1993 (1993 02)

in der Anmeldung erwähnt

Zusammenfassung

Seite 3, Zeile 45 -Seite 4, Zeile 34

Seite 4, Zeile 54 -Seite 5, Zeile 23

Seite 6, Zeile 24 -Seite 7, Zeile 1

X EP 0 786 520 A (BOEHRINGER MANNHEIM GMBH 1-7

(DE); LANG; BARTKE; NAUJOKS; RUDOLPH;

STERN) 30. Juli 1997 (1997 30)

Zusammenfassung

Seite 3, Zeile 9 -Seite 4, Zeile 23

Seite 5, Zeile 16 -Seite 7, Zeile 29

Seite 13, Zeile 39, 40

r71 Weitere Veröffentlichungen sind der Fortsetzung von Feld 13 zu Siehe Anhang Patentfamilie

A

L.J. entnehmen

Besondere Kategorien von angegebenen Veröffentlichungen -r ihre Veröffentlichung, die nach dem internationalen Anmeldedatum So'eter d m Prioritätsdatum veröffentlicht worden ist und mit der A' Veröffentlichung, die den allgemeinen Stand der Technik definiert, e aber nicht als besonders bedeutsam anzusehen ist Anmeldung nicht kollidiert, sondern nur zum Verständnis des der Erfindung zugrundeliegenden Prinzips oder der ihr zugrundeliegenden 'E' älteres Dokument, das jedoch erst am oder nach dem internationalen Theorie angegeben ist

Anmeldedatum veröffentlicht worden ist 'X' Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung

oLu Veröffentlichung, die geeignet ist, einen Prioritätsanspruch zweifelhaft er- kann allein aufgrund dieser Veröffentlichung nicht als neu oder auf

scheinen zu lassen, oder durch die das Veröffentlichungsdatum einer erfinderischen Tätigkeit beruhend betrachtet werden

anderen im Recherchenbericht genannten Veröffentlichung belegt werden

Yo Veröffentlichung von besonderer Bedeutung; die beanspruchte Erfindung

soll oder die aus einem anderen besonderen Grund angegeben ist (wie kann nicht als auf erfinderischer Tätigkeit beruhend betrachtet

ausgeführt) werden, wenn die Veröffentlichung mit einer oder mehreren anderen

Die Veröffentlichung, die nach dem internationalen Anmeldedatum und nach

denn beanspruchten Prioritätsdatum veröffentlicht worden ist, gilt

als veröffentlicht, wenn sie nach dem internationalen Anmeldedatum

als veröffentlicht angesehen werden kann, wenn sie nach dem internationalen

L8 ANSWER 19 OF 19 MEDLINE

DUPLICATE 1

AB The complete mouse prepro-nerve growth factor (NGF) DNA was fused to the carboxyl terminus of the beta-galactosidase (lac-z) gene of Escherichia coli. Similarly, a genomic fragment encoding the human NGF comprising codons 11 to 106 (from a total of 118) was fused to the fifth codon of the amino terminus of beta-galactosidase. Both bacterial vectors produce high amounts of the chimeric proteins. After cell lysis most of the chimeric mouse **preproNGF** protein is insoluble and appears in the pellet, whereas the majority of the chimeric human beta-NGF remains in the supernatant. Purification of the fusion proteins from the soluble fraction was achieved by affinity chromatography to p-aminophenyl beta-D-thio-galactoside Sepharose. Yields of the purified chimeric proteins were increased threefold to fourfold by the addition of protease inhibitors in the lysis and chromatography buffers. Their antigenic similarity to the **preproNGF** and mouse beta-NGF was examined by their interaction to sera raised against synthetic peptides which reproduce sequences of the precursor protein and to sera directed against native and **denatured** mouse beta-NGF using enzyme-linked immunoabsorbent assay (ELISA) techniques. Antibodies to the peptide N2 (-163 to -139) interacted with high affinity with the chimeric mouse **preproNGF** protein. Antisera to native and **denatured** mouse beta-NGF interacted with both chimeric proteins but with a variable degree of affinity. These results provide direct evidence that certain antisera to mouse beta-NGF can cross-react with the human beta-NGF molecule.

corresponding restriction enzymes, The plasmid pC8hB was derived by cloning the human BDNF coding sequences, including the entire prepro region, into the expression vector pCDM8 (discussed supra), This fragment was ligated to pDSRa2 (see published European patent application 90305433.6 EPO Publication No, 0398753A2. incorporated herein by reference in its entirety, The plasmid pDSRa2 had been previously digested to make available the cloning sites 5'-HindIII and 3'-SalI for ligation of the human BDNF containing fragment. The resulting plasmid was designated pDSRa2(BDNF).

=> s nerve growth factord hist  
L6 0 NERVE GROWTH FACTORD HIST

=> d hist

(FILE 'HOME' ENTERED AT 09:25:06 ON 17 MAR 2003)

FILE 'MEDLINE, BIOSIS, USPATFULL, PCTFULL' ENTERED AT 09:25:38 ON 17 MAR 2003

L1 36485 S NERVE GROWTH FACTOR  
L2 82 S PRONGF OR PREPRONGF  
L3 36 S L2 AND (NATUR##### OR DENATUR##### OR RENATUR#####)  
L4 34 DUPLICATE REMOVE L3 (2 DUPLICATES REMOVED)  
L5 22 S L4 AND ARGININE  
L6 0 S NERVE GROWTH FACTORD HIST

=> s l2 and (denatur##### or renatur#####)  
L7 20 L2 AND (DENATUR##### OR RENATUR#####)

=> duplicate remove  
ENTER L# LIST OR (END):17  
DUPLICATE PREFERENCE IS 'MEDLINE, BIOSIS, USPATFULL, PCTFULL'  
KEEP DUPLICATES FROM MORE THAN ONE FILE? Y/(N):n  
PROCESSING COMPLETED FOR L7  
L8 19 DUPLICATE REMOVE L7 (1 DUPLICATE REMOVED)

=> s l8 and arginine  
L9 15 L8 AND ARGININE

=> d 1-15

L9 ANSWER 1 OF 15 USPATFULL  
AN 2002:251935 USPATFULL  
TI Purification of NGF  
IN Burton, Louis E., San Mateo, CA, UNITED STATES  
Schmelzer, Charles H., Burlingame, CA, UNITED STATES  
Beck, Joanne T., Westlake Village, CA, UNITED STATES  
PI US 2002137893 A1 20020926  
AI US 2002-72681 A1 20020208 (10)  
RLI Continuation of Ser. No. US 2000-675503, filed on 29 Sep 2000, GRANTED,  
Pat. No. US 6423831 Continuation of Ser. No. US 1999-363573, filed on 29  
Jul 1999, GRANTED, Pat. No. US 6184360 Continuation of Ser. No. US  
1997-970865, filed on 14 Nov 1997, GRANTED, Pat. No. US 6005081  
PFAI US 1996-30838P 19961115 (60)  
US 1997-12855P 19970522 (60)

NCBI: 411112  
NCBI: 411112  
NCBI: 411112

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 2 OF 15 USPATFULL  
AN 2002:181791 USPATFULL  
TI Isolation of neurotrophins from a mixture containing other proteins and neurotrophin variants using hydrophobic interaction chromatography  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6423831 B1 20020723  
AI US 2000-675503 20000929 (9)  
RLI Continuation of Ser. No. US 1999-363573, filed on 29 Jul 1999, now patented, Pat. No. US 6184360 Continuation of Ser. No. US 1997-970865, filed on 14 Nov 1997, now patented, Pat. No. US 6005081  
PRAI US 1997-47855P 19970529 (60)  
US 1996-30838P 19961115 (60)  
DT Utility  
FS GRANTED  
LN.CNT 2348  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 3 OF 15 USPATFULL  
AN 2002:85534 USPATFULL  
TI NOVEL NEUROTROPHIC FACTOR  
IN ROSENTHAL, ARNON, PACIFICA, CA, UNITED STATES  
PI US 2002045576 A1 20020418  
US 6506728 B2 20030114  
AI US 1995-450842 A1 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995, ABANDONED  
Continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, ABANDONED  
A 371 of International Ser. No. WO 1991-US6950, filed on 24 Sep 1991,  
UNKNOWN Continuation-in-part of Ser. No. US 1991-648482, filed on 31 Jan  
1991, ABANDONED Continuation-in-part of Ser. No. US 1990-587707, filed  
on 25 Sep 1990, GRANTED, Pat. No. US 5364769  
DT Utility  
FS APPLICATION  
LN.CNT 2815  
INCL INCLM: 514/012.000  
INCLS: 514/002.000  
NCL NCLM: 514/012.000  
NCLS: 514/002.000  
IC [7]  
ICM: A01N037-16  
ICS: A61K038-17  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 4 OF 15 USPATFULL  
AN 2002:18066 USPATFULL

Genentech, Inc., a California corporation  
PI US 6184360 B1 20010206



patented, Pat. No. US 6,000,081  
PRAI US 1996-30838P 19960115 (60)  
US 1997-47855P 19970529 (60)  
DT Utility  
FS Granted  
LN.CNT 2226  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [7]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 5 OF 15 USPATFULL  
AN 2001:7868 USPATFULL  
TI Neuronal factor  
IN Rosenthal, Arnon, Pacifica, CA, United States  
Winslow, John W., El Granada, CA, United States  
PA Genentech, Inc., So. San Francisco, CA, United States (U.S. corporation)  
PI US 6174701 B1 20010116  
AI US 1995 455741 19950531 (8)  
RLI Continuation of Ser. No. US 1995-381030, filed on 31 Jan 1995  
Continuation of Ser. No. US 1990-494024, filed on 15 Mar 1990, now  
abandoned Continuation-in-part of Ser. No. US 1989-449811, filed on 12  
Dec 1989, now abandoned  
DT Utility  
FS Granted  
LN.CNT 1480  
INCL INCLM: 435/069.100  
INCLS: 536/023.500; 435/320.100; 435/325.000; 435/352.000; 435/354.000;  
435/357.000; 435/358.000; 435/364.000; 435/366.000; 435/367.000;  
435/252.300; 435/252.330; 435/069.700; 435/069.800  
NCL NCLM: 435/069.100  
NCLS: 435/069.700; 435/069.800; 435/252.300; 435/252.330; 435/320.100;  
435/325.000; 435/352.000; 435/354.000; 435/357.000; 435/358.000;  
435/364.000; 435/366.000; 435/367.000; 536/023.500  
IC [7]  
ICM: C12N015-00  
ICS: C12N005-02; C12P021-06; C07H021-04  
EXF 435/6; 435/69.1; 435/69.8; 435/240.2; 435/252.3; 435/252.33; 435/320.1;  
435/325; 435/352; 435/354; 435/357; 435/358; 435/364; 435/366; 435/367;  
536/23.5; 536/24.3; 536/24.31; 536/24.33  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 6 OF 15 USPATFULL  
AN 2000:31394 USPATFULL  
TI Neurotrophic factor (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6037320 20000314  
AI US 1997-928694 19970912 (8)  
RLI Continuation of Ser. No. US 1995-451947, filed on 26 May 1995, now  
patented, Pat. No. US 5,702,906 which is a division of Ser. No. US

INCL INCLM: 514 012.000  
INCLS: 514 012.000; 530 350.000

TC [7]  
ICM: A61K038-18  
ICS: C07K014-475  
EXF 514/2; 514/12; 530/350  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 7 OF 15 USPATFULL  
AN 1999:167121 USPATFULL  
TI Purification of recombinant human neurotrophins  
IN Burton, Louis E., San Mateo, CA, United States  
Schmelzer, Charles H., Burlingame, CA, United States  
Beck, Joanne T., Westlake Village, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 6005081 19991221  
AI US 1997-970865 19971114 (8)  
PRAI US 1996-30838P 19961115 (60)  
US 1997-47855P 19970529 (60)  
DT Utility  
FS Granted  
LN.CNT 2397  
INCL INCLM: 530/399.000  
INCLS: 530/324.000; 530/350.000; 530/412.000; 530/416.000; 530/417.000;  
435/069.100; 435/069.400; 435/070.100; 435/071.100  
NCL NCLM: 530/399.000  
NCLS: 435/069.100; 435/069.400; 435/070.100; 435/071.100; 530/324.000;  
530/350.000; 530/412.000; 530/416.000; 530/417.000  
IC [6]  
ICM: C07K003-14  
ICS: C12P021-06  
EXF 530/399; 530/324; 530/350; 530/412; 530/416; 530/417; 435/69.1;  
435/69.4; 435/70.1; 435/71.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 8 OF 15 USPATFULL  
AN 1999:92541 USPATFULL  
TI Protein expression system  
IN Sgarlato, Gregory D., Los Gatos, CA, United States  
PA Technogene, Inc., Los Gatos, CA, United States (U.S. corporation)  
PI US 5935824 19990810  
AI US 1996-595043 19960131 (8)  
DT Utility  
FS Granted  
LN.CNT 5959  
INCL INCLM: 435/069.700  
INCLS: 435/069.800; 530/350.000; 536/023.400  
NCL NCLM: 435/069.700  
NCLS: 435/069.800; 530/350.000; 536/023.400  
IC [6]  
ICM: C07K019-00  
ICS: C12N015-62  
EXF 435/69.7; 435/69.8; 435/207; 435/68.1; 436/532; 436/828; 530/387.1;  
530/350; 530/413; 530/812; 530/866; 530/867; 536/23.4; 536/23.2;  
536/23.53; 536/23.7; 935/47  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 9 OF 15 USPATFULL  
AN 1998:135007 USPATFULL  
TI Neurotrophic factor  
IN Rosenthal, Aaron, Pacifica, CA, United States

Continuation of Ser. No. 08/124,144, filed May 1994, now  
abandoned which is a continuation of Ser. No. US 1991-048482, filed in  
13 Jan 1991, now abandoned which is a continuation in part of Ser. No.  
08/124,144, filed May 1994, now abandoned.

FS Granted  
LN.CNT 2363  
INCL INCLM: 514/012.000  
INCLS: 514/002.000; 530/350.000; 530/395.000; 530/399.000; 530/402.000;  
435/069.100  
NCL NCLM: 514/012.000  
NCLS: 435/069.100; 514/002.000; 530/350.000; 530/395.000; 530/399.000;  
530/402.000  
IC [6]  
ICM: A61K038-18  
ICS: C07K014-475  
EXF 514/2; 514/12; 530/350; 530/395; 530/399; 530/402; 435/69.1  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 10 OF 15 USPATFULL  
AN 97:123048 USPATFULL  
TI Antibodies to neurotrophic factor-4 (NT-4)  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 5702906 19971230  
AI US 1995-451947 19950526 (8)  
RLI Division of Ser. No. US 1995-426419, filed on 19 Apr 1995 which is a  
continuation of Ser. No. US 1993-30013, filed on 22 Mar 1993, now  
abandoned which is a continuation-in-part of Ser. No. US 1991-648482,  
filed on 31 Jan 1991, now abandoned which is a continuation-in-part of  
Ser. No. US 1990-587707, filed on 25 Sep 1990, now patented, Pat. No. US  
5364769  
DT Utility  
FS Granted  
LN.CNT 2046  
INCL INCLM: 435/007.100  
INCLS: 530/387.100; 530/387.900; 530/388.240; 530/413.000; 435/336.000;  
435/236.000  
NCL NCLM: 435/007.100  
NCLS: 435/236.000; 435/336.000; 530/387.100; 530/387.900; 530/388.240;  
530/413.000  
IC [6]  
ICM: G01N033-53  
ICS: C12N005-12; C07K016-22; C07K001-16  
EXF 424/139.1; 424/141.1; 424/145.1; 424/9.1; 435/7.1; 435/336; 530/387.1;  
530/387.9; 530/388.1; 530/388.15; 530/388.24; 530/389.1; 530/389.2;  
530/391.3; 530/413  
CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L9 ANSWER 11 OF 15 USPATFULL  
AN 94:99824 USPATFULL  
TI Nucleic acid encoding neurotrophic factor four (NT-4), vectors, host  
cells and methods of production  
IN Rosenthal, Arnon, Pacifica, CA, United States  
PA Genentech, Inc., South San Francisco, CA, United States (U.S.  
corporation)  
PI US 5364769 19941115  
AI US 1990-587707 19900925 (7)  
DT Utility  
FS Granted  
LN CNT 1357  
INCL INCLM: 435/069.100  
INCLS: 435/069.400; 435/320.100; 435/240.100; 435/240.200; 536/023.500;  
536/023.510

EXF 435/20; 536/23.50; 536/23.51; 435/240.1; 435/240.2; 435/240.1; 435/240.2;  
435/240.1; 435/240.2

L9 ANSWER 12 OF 15 PCTFUL COPYRIGHT 2003 Univentio  
 AN 1997028272 PCTFULL ED 20020514  
 TIEN PROTEIN EXPRESSION SYSTEM  
 TIFR SYSTEME D'EXPRESSION DE PROTEINES  
 IN SGARLATO, Gregory, D.  
 PA TECHNOLOGENE INC.  
 LA English  
 DT Patent  
 PI WO 9728272 A1 19970807  
 DS W: AU CA JP AT BE CH DE DK ES FI FR GB GR IE IT LU MC NL PT SE  
 AI WO 1997-US1470 A 19970131  
 PRAI US 1996-8/595,043 19960131  
 ICM C12P021-00  
 ICS C12P021-06; C07K001-12; C07K001-22; C07K014-46; C07K014-195;  
 C07K016-00; C07K019-00; C07H021-04; C12N009-38

L9 ANSWER 13 OF 15 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1993025684 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUE ET DIAGNOSTIQUE BASES SUR L'EXPRESSION DE LA  
 NEUROTROPHINE-4  
 IN IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 IP, Nancy;  
 ALTAR, Charles, A.;  
 DISTEFANO, Peter;  
 VENTIMIGLIA, Roseann;  
 WIEGAND, Stanley;  
 WONG, Vivien;  
 YANCOPOULOS, George, D.  
 LA English  
 DT Patent  
 PI WO 9325684 A1 19931223  
 DS W: AU BB BG BR BY CA CZ FI HU JP KR KZ LK MG MN MW NO NZ PL RO  
 RU SD SK UA US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT  
 SE BF BJ CF CG CI CM GA GN ML MR NE SN TD TG  
 AI WO 1993-US5672 A 19930611  
 PRAI US 1992-898,194 19920612  
 ICM C12N015-12  
 ICS C12Q001-68; C12P021-08; A61K037-02

L9 ANSWER 14 OF 15 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992020365 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUES ET DIAGNOSTIQUES BASEES SUR L'EXPRESSION DE  
 NEUROTROPHINE-4  
 IN HALLBOCK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt;  
 IP, Nancy;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 HALLBOCK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;

L9 ANSWER 15 OF 15 PCTFULL COPYRIGHT 2003 Univentio  
 AN 1992020365 PCTFULL ED 20020513  
 TIEN THERAPEUTIC AND DIAGNOSTIC METHODS BASED ON NEUROTROPHIN-4 EXPRESSION  
 TIFR PROCEDES THERAPEUTIQUES ET DIAGNOSTIQUES BASEES SUR L'EXPRESSION DE  
 NEUROTROPHINE-4  
 IN HALLBOCK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;  
 PERSSON, Hakan, Bengt;  
 IP, Nancy;  
 YANCOPOULOS, George, D.  
 PA REGENERON PHARMACEUTICALS, INC.;  
 HALLBOCK, Finn;  
 IBANEZ MOLINER, Carlos, Fernando;

US 1991-734,422 10723  
US 1991-751,356 10828  
US 1991-762,674 19910920  
US 1991-791,924 19911114

ICM A61K037-02  
ICS A61K049-00; G01N033-50; G01N033-68; C07K039-00; C12N015-12;  
C12N015-79

L9 ANSWER 15 OF 15 PCTFULL COPYRIGHT 2003 Univentio  
AN 1992005254 PCTFULL ED 20020513  
TIEN NOVEL NEUROTHROPHIC FACTOR  
TIFR NOUVEAU FACTEUR NEUROTROPHIQUE  
IN ROSENTHAL, Arnon  
PA GENENTECH, INC.;  
ROSENTHAL, Arnon  
LA English  
DT Patent  
PI WO 9205254 A1 19920402  
DS W: AT AU BE CA CH DE DK ES FR GB GR IT JP LU NL SE US  
AI WO 1991-US6950 A 19910924  
PRAI US 1990-587,707 19900925  
US 1991-648,482 19910131  
ICM C12N015-12  
ICS C12Q001-68; C12P021-08; A61K037-02

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L9 ANSWER 3 OF 15 USPATFULL  
DETD [0030] NT-4 nucleic acid is RNA or DNA which encodes a NT-4 polypeptide or which hybridizes to such DNA and remains stably bound to it under stringent conditions and is greater than about 10 bases in length; provided, however, that such hybridizing nucleic acid is novel and unobvious over any prior art nucleic acid including that which encodes or is complementary to nucleic acid encoding NGF, BDNF, or NT-3. Stringent conditions are those which (1) employ low ionic strength and high temperature for washing, for example, 0.15 M NaCl/0.015 M sodium citrate/0.1% NaDodSO<sub>4</sub> at 50.degree. C., or (2) use during hybridization a **denaturing** agent such as formamide, for example, 50% (vol/vol) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50 mM sodium phosphate buffer at pH 6.5 with 750 mM NaCl, 75 mM sodium citrate at 42.degree. C.  
DETD [0039] The third group of variants are those in which at least one amino acid residue in NT-4, and preferably only one, has been removed and a different residue inserted in its place. An example is the replacement of **arginine** and lysine by other amino acids to render the NT-4 resistant to proteolysis by serine proteases, thereby creating a variant of NT-4 that is more stable. The sites of greatest interest for substitutional mutagenesis include sites where the amino acids found in BDNF, NGF, NT-3, and NT-4 are substantially different in terms of side chain bulk, charge or hydrophobicity, but where there also is a high degree of homology at the selected site within various animal analogues of NGF, NT-3, and BDNF (e.g., among all the animal NGFs, all the animal NT-3s, and all the BDNFs). This analysis will highlight residues that may be involved in the differentiation of activity of the trophic factors, and therefore, variants at these sites may affect such activities. Examples of such sites in mature human NT-4, numbered from the N-terminal end, and exemplary substitutions include NT-4 (G78.fwdarw.K, H, O or R) (SEQ ID NOS. 13, 14, 15, and 16, respectively) and NT-4 (R85.fwdarw.F, E, D, Y or W) (SEQ ID NOS. 17, 18, 19, 20, and

10. By having within a region that contains other relatively conserved sites, are substituted in a relatively conservative manner. Such conservative substitutions are shown in Table 1 under the heading "conservative substitutions".

exemplary substitutions Table 1, or as further described below in reference to amino acid classes, are introduced and the products screened.

TABLE 1

Original Residue	Exemplary Substitutions	Preferred Substitutions
Ala (A)	val; leu; ile	val
Arg (R)	lys, gln; asn	lys
Asn (N)	gln, his, lys, arg	gln
Asp (D)	glu	glu
Cys (C)	ser	ser
Gln (Q)	asn	asn
Glu (E)	asp	asp
Gly (G)	pro	pro
His (H)	asn; gln; lys; arg;	arg
Ile (I)	leu; val; met; ala; phe; norleucine	leu
Leu (L)	norleucine; ile, val; met, ala, phe	ile
Lys (K)	arg, gln, asn	arg
Met (M)	leu; phe; ile	leu
Phe (F)	leu, val; ile; ala	leu
Pro (P)	gly	gly
Ser (S)	thr	thr
Thr (T)	ser	ser
Trp (W)	tyr	tyr
Tyr (Y)	trp; phe; thr; ser	phe
Val (V)	ile; leu; met; phe; ala; norleucine	leu

DETD [0062] If the signal sequence is from another neurotrophic polypeptide, it may be the precursor sequence shown in FIG. 2 which extends from the initiating methionine (M) residue of NT-2, NT-3, or NGF up to the **arginine** (R) residue just before the first amino acid of the mature protein, or a consensus or combination sequence from any two or more of those precursors taking into account homologous regions of the precursors. The DNA for such precursor region is ligated in reading frame to DNA encoding the mature NT-4.

DETD [0088] Arginyl residues are modified by reaction with one or several conventional reagents, among them phenylglyoxal, 2,3-butanedione, 1,2-cyclohexanedione, and ninhydrin. Derivatization of **arginine** residues requires that the reaction be performed in alkaline conditions because of the high pK<sub>sub.a</sub> of the guanidine functional group. Furthermore, these reagents may react with the groups of lysine as well as the **arginine** epsilon-amino group.

DETD [0093] Other modifications include hydroxylation of proline and lysine, phosphorylation of hydroxyl groups of seryl or threonyl residues, methylation of the o-amino groups of lysine, **arginine**, and histidine side chains (Creighton, Proteins: Structure and Molecular Properties, W. H. Freeman & Co., San Francisco, pp. 79-86), acetylation of the N-terminal amine, and amidation of any C-terminal carboxyl group. NT-4 also is covalently linked to nonproteinaceous polymers, e.g. polyethylene glycol, polypropylene glycol or polyoxyalkylenes, in the manner set forth in U.S. patent application No. 07/275,296 or U.S. Pat. Nos. 4,640,835, 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

DETD [0096] Therapeutic formulations of NT-4 are prepared for storage by mixing NT-4 having the desired degree of purity with optional pharmaceutically acceptable carriers, excipients or stabilizers

Organic acids; antioxidants including ascorbic acid; low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin or immunoglobulins; hydrophilic polymers such as polyethylene glycol; and other suitable additives.

**arginine**

and other carbohydrates including glucose, mannose, or deoxyribose; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counterions such as sodium; and/or nonionic surfactants such as Tween, Pluronic or PEG.

DETD [0151] Aliquots of 200  $\mu$ l are taken from each 1 ml fraction collected, dialyzed against 1 M acetic acid, lyophilized, and redissolved in 30  $\mu$ l Laemmli SDS-PAGE sample buffer (Laemmli, 1970, Nature 7:680). Human  $\beta$ -NGF is obtained in a similar manner. Following SDS-PAGE, the silver-stained gel indicates a single, prominently stained polypeptide of approximately 15 kD. A 3-ml pool of S-300 column eluted fractions corresponding to this SDS-PAGE analyzed region is made, and 1 ml (0.5 nmole) is submitted to N-terminal amino acid sequence analysis by Edman degradation performed on a prototype automated amino acid sequencer (Kohr, EP Pat. Pub. No. 257,735). N-terminal sequence analysis gives a single sequence starting with a glycine residue predicted by the tetrabasic cleavage sequence ending in an **arginine**, and predicted by the processing of **preproNGF** to mature  $\beta$ -NGF.

L9 ANSWER 4 OF 15 USPATFULL

DETD MOPSO is 3-(N-Morpholino)-2-hydroxypropanesulfonic acid. HEPES is N-2-Hydroxyethylpiperazine-N'-2-ethanesulfonic acid. Reagent alcohol is 95 parts by volume (Specially **Denatured** Alcohol Formula 3A and 5 parts by volume isopropyl alcohol). MES is 2-(N-Morpholino)ethanesulfonic acid. UF/DF means ultrafiltration/diafiltration. TMAC is tetramethylammonium chloride. TEAC is tetraethylammonium chloride. NGF-120 means full-length of 120/120 nerve growth factor. NGF-118 means homodimeric mature NGF molecule of 118 residues. Oxidized NGF means NGF variant molecule, Methylsulfoxide.sub.37, which is reported herein to be about 80% as biologically active as mature, native NGF. Isoasp NGF means NGF isomerized variant molecule, Asp93. Deamidated NGF means NGF having Asn45 converted to Asp45. RNGF means an NGF molecule with an extra **Arginine** residue at its N-terminus. CHO means Chinese hamster ovary cells.

DETD The concentration of neurotrophin in the buffered solution for solubilization must be such that the neurotrophin will be substantially solubilized and partially or fully reduced and **denatured**. Alternatively, the neurotrophin may be initially insoluble. The exact amount to employ will depend, e.g., on the concentrations and types of other ingredients in the buffered solution, particularly the type and amount of reducing agent, the type and amount of chaotropic agent, and the pH of the buffer. For example, the concentration of neurotrophin may be increased at least three-fold if the concentration of reducing agent, e.g., DTT, is concurrently increased, to maintain a ratio of DTT:neurotrophin of from about 3:1 to 10:1. It is desirable to produce a more concentrated solubilized protein solution prior to dilution refolding. Thus, the preferred concentration of neurotrophin is at least about 30 mg/mL, with a more preferred range of 30-50 mg per mL. For example, neurotrophin may be solubilized to a concentration of about 30-50 mg/mL in 5M to 7M urea, 10 mM DTT and diluted, for example, to about 1 mg/mL for folding.

DETD The degree of refolding that occurs upon this incubation is suitably determined by the RIA titer of the neurotrophin or by HPLC analysis with increasing RIA titer or correctly folded neurotrophin peak size directly correlating with increasing amounts of correctly folded, biologically active neurotrophin conformer present in the buffer. The incubation is carried out to maximize the yield of correctly folded neurotrophin conformer and the ratio of correctly folded neurotrophin conformer to misfolded neurotrophin conformer recovered, as determined by RIA or

denaturing

and was used for separation of neurotrophin from the culture medium of mammalian cell culture. For example, as was determined herein, rhNGF expressing CHO cell culture contained incorrectly proteolytically processed neurotrophin conformers, which were partially purified and

precursor NGF sequences. Also found in the mammalian cell culture medium were glycosylated NGF and glycosylated forms of the incorrectly proteolytically processed variants. Undesirable glycosylated forms, which in the case of NGF can be seen as a higher molecular weight species (+2000 kD), could generate an unwanted antigenic response in a patient and contribute to poor product quality or activity. HIC effectively separated hydrophobic variants, primarily N-terminal-proteolytically-misprocessed variants, including glycosylated forms, from rhNGF. As shown in the examples, the precursor-sequence-containing and clipped precursor sequence NGF and the glycosylated forms of both NGF and the precursor-sequence-containing NGF eluted in the leading edge of the NGF peak during phenyl-HIC. Thus, a rhNGF composition could be obtained that was substantially free of these species, and that was particularly suited for a subsequent step such as high performance cation-exchange chromatography. HIC is applicable to other neurotrophins, as well as NGF, regardless of source. For example, HIC is useful to separate NGF monomers from dimers, either homo- or hetero-dimers depending on the monomer forms present, as well as distinguish dimer forms which also differ in hydrophobicity, that are obtained after in vitro refolding or when produced and secreted from mammalian cells. A preferred source of neurotrophin mixtures for use with HIC is mammalian cell culture, more preferably CHO cell culture. The culture is preferably subjected to at least one prior purification step as discussed herein. HIC is particularly effective in separating misprocessed glycosylated variant(s) from the native recombinant neurotrophin. In the case of rhNGF, the glycosylated and **preproNGF** forms are less hydrophobic than native NGF, thereby eluting before native NGF. Misfolded forms of neurotrophins (when bacterially produced) are also more hydrophobic, eluting earlier than the native neurotrophin.

DETD The carrier suitably contains minor amounts of additives such as substances that enhance isotonicity and chemical stability. Such materials are non-toxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, succinate, acetic acid, and other organic acids or their salts; antioxidants such as ascorbic acid; low molecular weight (less than about ten residues) polypeptides, e.g., polyarginine or tripeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids, such as glycine, glutamic acid, aspartic acid, or **arginine**; monosaccharides, disaccharides, and other carbohydrates including cellulose or its derivatives, trehalose, glucose, mannose, or dextrans; chelating agents such as EDTA, sugar alcohols such as mannitol or sorbitol; counter-ions such as sodium; and/or nonionic surfactants such as polysorbates, poloxamers, or PEG. The final preparation may be a liquid or lyophilized solid.

DETD The microfiltrate was adjusted to 1M NaCl and applied to a Silica Gel Column equilibrated in 1M NaCl, 25mM MOPSO, pH 7. The column was washed with 1M NaCl, 25 mM MOPSO, pH7. Suitable pH range is about pH 6 to 8, with a preferred pH of 7. The column was then washed with 25 mM MOPSO, pH 7. A low conductivity wash removes host cell proteins. Bound NGF was eluted with 50 mM MOPSO, 0.5 MT MAC, 20% reagent anhydrous grade alcohol (94-96% Specially **Denatured** alcohol formula 3A (5 volumes of methanol and 100 volumes of 200 proof ethanol) and 4-6% isopropanol). Other alcohols can be used such as 20% propanol, 20% isopropanol and 20% methanol. As used herein, "alcohols" and "alcoholic solvents" are meant in the sense of the commonly used terminology for alcohol, preferably alcohols with 1 to 10 carbon atoms, more preferably methanol, ethanol, iso-propanol, n-propanol, or t-butanol, and most preferably ethanol or iso-propanol. Such alcohols are solvents that, when added to aqueous solution, increase the hydrophobicity of the solution by decreasing

the polarity. Specially **Denatured** alcohol formula 3A is preferred to elute NGF. TMA can range from 0.1 to 1 M. With the range 0.3 to 0.7 M being more preferred. The amount of TMA used to elute NGF is a function of pH and alcohol concentration. The lower the pH the lower amounts of alcohol and TMA are required. The lower the pH the lower the amounts of alcohol and TMA are required. The lower the pH the lower the amounts of alcohol and TMA are required.



the preferred pH was 7, which allows very minimal adjustment of the pooled fractions prior to loading onto the next column. The upper pH limit is determined by the pH necessary to load the next column, and the lower limit by that useful to elute NGF efficiently.

DETD The SP-Sepharose HP effectively removed variants present in the HIC pool. The R120 form has an additional **arginine** residue at the N-terminus of NGF; usually the N-terminal amino acid sequence of rhNGF is SSSHP, but R120 has an N-terminal sequence of RSSHP. Thus the R120 form is more basic than mature NGF and was separated by SP-SHP. It also has lower bioactivity, probably related to the fact that the NGF N-terminal is necessary for receptor (trkA) binding. The oxidized NGF form is a mono-oxidized form having the methionine at position 37 oxidized, yielding a more acidic form that elutes on the leading edge of the NGF peak. The isoasp form contains a modification of the aspartic acid at amino acid 93. The isoasp form is slightly more basic and thus binds slightly tighter to the SP-Sepharose HP resin. NGF species containing isoAsp93 eluted in the trailing edge of the elution peak. Deamidation occurs at asparagine residues, typically at asparagine at position 45. NGF containing deamidated Asn, which yields an Asp at position 45, is slightly more acidic, appearing at the leading edge of the elution peak.

DETD NT-4/5 was isolated from the inclusion bodies as follows. The inclusion body pellets were suspended in 20 mM Tris, pH 8, 6M Urea, 25 mM DTT (10 ml buffer/gram inclusion body) using a turrax at medium speed for about 10 min. The suspension was stirred for 40 min at 2-8.degree. C. and centrifuged in a Sorvall RC3B at 5000 rpm for about 45 min. PEI (poly-ethylene-imine) was added to 0.1% in the supernatant, which was stirred at 2-8.degree. C. for 30 minutes. The PEI precipitates nucleic acid and other acidic-charged molecules. The mixture was centrifuged in a Sorvall RC3B at 5000 rpm for about 45 minutes. The PEI supernatant was loaded onto a DEFF Sepharose Fast Flow column (10 cm.times.14 cm; DEFF is a diethyl aminoethyl resin) equilibrated in 0.02 M Tris, 6M Urea, 10 mM DTT, pH 8. An equivalent of 1 kg of solubilized refractile bodies was loaded onto the DEFF column. Since reduced and **denatured** NT-4/5 does not bind to the DEFF resin, the flow through pool containing NT-4/5 and 6M urea, was collected (FIG. 6) and the pH of the pool was lowered to 5.0 with acetic acid. The pH-adjusted DEFF flow through pool was loaded onto a S-Sepharose Fast Flow column (S refers to the SO3 functional group on the resin) equilibrated in 20 mM acetate, pH 5, containing 6M urea, under which conditions NT-4/5 binds to the resin. After loading, the S-Sepharose Fast Flow column was washed with several column volumes of equilibration buffer. The bound NT-4/5 was eluted with 0.5 M NaCl, 20 mM sodium acetate, 6M urea, pH 5 (FIG. 7). The 0.5 M NaCl SSFF pool was dialyzed overnight against 20 mM Tris, 0.14 M NaCl, pH 8, conditions that allow NT-4/5 to refold albeit incorrectly. The misfolded rhNT-4/5 molecules aggregated to form a precipitate.

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DETD NF nucleic acid is defined as RNA or DNA which encodes a NF polypeptide or which hybridizes to such DNA and remains stably bound to it under stringent conditions and is greater than about 10 bases in length, provided, however, that such hybridizing nucleic acid is novel and unobvious over any prior art nucleic acid including that which encodes or is complementary to nucleic acid encoding BDNF or NGF. Stringent conditions are those which (1) employ low ionic strength and high temperature for washing, for example, 0.15 M NaCl/0.015 M sodium citrate/0.1% NaDodSO<sub>4</sub> at 50.degree. C., or (2) use during washing a **denaturing** agent such as formamide, for example, 50% (vol/vol) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50 mM sodium phosphate buffer at pH 6.5 with 750 mM

replacement of **arginine** and **lysine** by other amino acids to render the NF resistant to proteolysis by serine proteases, thereby creating a more stable NF analogue. The sites of greatest interest for

bulk, charge or hydrophobicity, but where there also is a high degree of homology at the selected site within various animal analogues of NGF and BDNF (e.g., among all the animal NGFs on the one hand and all the BDNFs on the other). This analysis will highlight residues that may be involved in the differentiation of activity of the trophic factors, and therefore, variants at these sites may affect such activities. Examples of such NF sites, numbered from the mature N-terminal end, and exemplary substitutions include NF (N.sub.85.fwdarw.K, H, Q or R) and NF (D.sub.72.fwdarw.E, F, P, Y or W). Other sites of interest are those in which the residues are identical among all animal species' BDNF, NGF and NF, this degree of conformation suggesting importance in achieving biological activity common to all three factors. These sites, especially those falling within a sequence of at least 3 other identically conserved sites, are substituted in a relatively conservative manner. Such conservative substitutions are shown in Table 1 under the heading of preferred substitutions. If such substitutions result in a change in biological activity, then more substantial changes, denominated exemplary substitutions in Table 1, or as further described below in reference to amino acid classes, are introduced and the products screened.

DETD Arginyl residues are modified by reaction with one or several conventional reagents, among them phenylglyoxal, 2,3-butanedione, 1,2-cyclohexanedione, and ninhydrin. Derivatization of **arginine** residues requires that the reaction be performed in alkaline conditions because of the high pK.sub.a of the guanidine functional group. Furthermore, these reagents may react with the groups of lysine as well as the **arginine** epsilon-amino group.

DETD Other modifications include hydroxylation of proline and lysine, phosphorylation of hydroxyl groups of seryl or threonyl residues, methylation of the .alpha.-amino groups of lysine, **arginine**, and histidine side chains (T. E. Creighton, Proteins: Structure and Molecular Properties, W. H. Freeman & Co., San Francisco, pp. 79-86 [1983]), acetylation of the N-terminal amine, and amidation of any C-terminal carboxyl group. NF also is covalently linked to nonproteinaceous polymers, e.g. polyethylene glycol, polypropylene glycol or polyoxyalkylenes, in the manner set forth in U.S. Ser. No. 07/275,296 or U.S. Pat. Nos. 4,640,835; 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

DETD Therapeutic formulations of NF are prepared for storage by mixing NF having the desired degree of purity with optional physiologically acceptable carriers, excipients or stabilizers (Remington's Pharmaceutical Sciences, supra, in the form of lyophilized cake or aqueous solutions. Acceptable carriers, excipients or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate and other organic acids; antioxidants including ascorbic acid; low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone, amino acids such as glycine, glutamine, asparagine, **arginine** or lysine; monosaccharides, disaccharides and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counterions such as sodium; and/or nonionic surfactants such as Tween, Pluronic or PEG.

DETD Aliquots of 200 .mu.l were taken from each 1 ml fraction collected, dialyzed against 1 M acetic acid, lyophilized, and redissolved in 30 .mu.l Laemmli SDS-PAGE sample buffer (Laemmli, Nature, 227: 680-685 (1970)). Human .beta.-NGF was obtained in a similar manner. Following SDS-PAGE, the silver-stained gel indicated a single, prominently stained polypeptide of approximately 15 kD. A 2 ml pool of S 300 column eluted

1.1. amino acid residues gave a single sequence starting with a tyrosine residue predicted by the tetrabasic cleavage sequence starting with a tyrosine residue predicted by the tetrabasic cleavage sequence

preproNGF

DETD MOPSO is 3-(N-Morpholino)-2-hydroxypropanesulfonic acid. HEPES is N-2-Hydroxyethylpiperazine-N'-2-ethanesulfonic acid. Reagent alcohol is 95 parts by volume (Specially **Denatured** Alcohol Formula 3A and 5 parts by volume isopropyl alcohol). MES is 2-(N-Morpholino)ethanesulfonic acid. UF/DF means ultrafiltration/diafiltration. TMAC is tetramethylammonium chloride. TEAC is tetraethylammonium chloride. NGF-120 means full-length of 120/120 nerve growth factor. NGF-118 means homodimeric mature NGF molecule of 118 residues. Oxidized NGF means NGF variant molecule, Metsulfoxide.sub.37, which is reported herein to be about 80% as biologically active as mature, native NGF. Isoasp NGF means NGF isomerized variant molecule, Asp93. Deamidated NGF means NGF having Asn45 converted to Asp45. RNGF means an NGF molecule with an extra **Arginine** residue at its N-terminus. CHO means Chinese hamster ovary cells.

DETD The concentration of neurotrophin in the buffered solution for solubilization must be such that the neurotrophin will be substantially solubilized and partially or fully reduced and **denatured**. Alternatively, the neurotrophin may be initially insoluble. The exact amount to employ will depend, e.g., on the concentrations and types of other ingredients in the buffered solution, particularly the type and amount of reducing agent, the type and amount of chaotropic agent, and the pH of the buffer. For example, the concentration of neurotrophin may be increased at least three-fold if the concentration of reducing agent, e.g., DTT, is concurrently increased, to maintain a ratio of DTT:neurotrophin of from about 3:1 to 10:1. It is desirable to produce a more concentrated solubilized protein solution prior to dilution refolding. Thus, the preferred concentration of neurotrophin is at least about 30 mg/mL, with a more preferred range of 30-50 mg per mL. For example, neurotrophin may be solubilized to a concentration of about 30-50 mg/mL in 5M to 7M urea, 10 mM DTT and diluted, for example, to about 1 mg/mL for folding.

DETD The degree of refolding that occurs upon this incubation is suitably determined by the RIA titer of the neurotrophin or by HPLC analysis with increasing RIA titer or correctly folded neurotrophin peak size directly correlating with increasing amounts of correctly folded, biologically active neurotrophin conformer present in the buffer. The incubation is carried out to maximize the yield of correctly folded neurotrophin conformer and the ratio of correctly folded neurotrophin conformer to misfolded neurotrophin conformer recovered, as determined by RIA or HPLC, and to minimize the yield of multimeric, associated neurotrophin as determined by mass balance. Alternatively, the species can be determined via the methods provided below and in the Examples. Guanidine is a preferred **denaturing** agent for refolding.

DETD HIC was useful for separation of neurotrophins from their variants in mammalian cell culture. For example, as was determined herein, rhNGF-expressing-CHO cell culture contained incorrectly proteolytically processed variants, such as those in which a partial precursor sequence is present, e.g., precursor NGF, hybrid precursor NGF, and clipped precursor NGF sequences. Also found in the mammalian cell culture medium were glycosylated NGF and glycosylated forms of the incorrectly proteolytically processed variants. Undesirable glycosylated forms, which in the case of NGF can be seen as a higher molecular weight species (+2000 kD), could generate an unwanted antigenic response in a patient and contribute to poor product quality or activity. HIC effectively separated hydrophobic variants, primarily N-terminal-proteolytically-misprocessed variants, including glycosylated forms, from rhNGF. As shown in the examples, the precursor sequence

species, and that was particularly suited for a subsequent step such as high performance cation exchange chromatography. HIC is applicable to other neurotrophins, as well as NGF, regardless of source. For example,

distinguish dimer forms which also differ in hydrophobicity that are obtained after in vitro refolding or when produced and secreted from mammalian cells. A preferred source of neurotrophin mixtures for use with HIC is mammalian cell culture, more preferably CHO cell culture. The culture is preferably subjected to at least one prior purification step as discussed herein. HIC is particularly effective in separating misprocessed glycosylated variant(s) from the native recombinant neurotrophin. In the case of rhNGF, the glycosylated and **preproNGF** forms are less hydrophobic than native NGF, thereby eluting before native NGF. Misfolded forms of neurotrophins (when bacterially produced) are also more hydrophobic, eluting earlier than the native neurotrophin.

DETD The carrier suitably contains minor amounts of additives such as substances that enhance isotonicity and chemical stability. Such materials are non-toxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate, succinate, acetic acid, and other organic acids or their salts; antioxidants such as ascorbic acid; low molecular weight (less than about ten residues) polypeptides, e.g., polyarginine or tripeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids, such as glycine, glutamic acid, aspartic acid, or **arginine**; monosaccharides, disaccharides, and other carbohydrates including cellulose or its derivatives, trehalose, glucose, mannose, or dextrans; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; counter-ions such as sodium; and/or nonionic surfactants such as polysorbates, poloxamers, or PEG. The final preparation may be a liquid or lyophilized solid.

DETD The microfiltrate was adjusted to 1M NaCl and applied to a Silica Gel Column equilibrated in 1M NaCl, 25 mM MOPSO, pH 7. The column was washed with 1M NaCl, 25 mM MOPSO, pH 7. Suitable pH range is about pH 6 to 8, with a preferred pH of 7. The column was then washed with 25 mM MOPSO, pH 7. A low conductivity wash removes host cell proteins. Bound NGF was eluted with 50 mM MOPSO, 0.5 M TMAC, 20% reagent anhydrous grade alcohol (94-96% Specially **Denatured** alcohol formula 3A (5 volumes of methanol and 100 volumes of 200 proof ethanol) and 4-6% isopropanol). Other alcohols can be used such as 20% propanol, 20% isopropanol and 20% methanol. As used herein, "alcohols" and "alcoholic solvents" are meant in the sense of the commonly used terminology for alcohol, preferably alcohols with 1 to 10 carbon atoms, more preferably methanol, ethanol, iso-propanol, n-propanol, or t-butanol, and most preferably ethanol or iso-propanol. Such alcohols are solvents that, when added to aqueous solution, increase the hydrophobicity of the solution by decreasing solution polarity. Ethanol is most preferred. The lower limit of alcohol is whatever percentage that elutes and the upper limit is set by the need to avoid protein **denaturation**. The solvent is preferably 5% to 25%, more preferably 5 to 20%, even more preferably 5 to 15%. TMAC is tetramethyl ammonium chloride, which is present to elute NGF. TMAC can range from 0.1 to 1 M. With the range 0.3 to 0.7 M being more preferred. The amount of TMAC used to elute NGF is a function of pH and alcohol concentration. The lower the pH the less amounts of alcohol and TMAC is required. The pH can be between about pH 4 to 8. In this example the preferred pH was 7, which allows very minimal adjustment of the pooled fractions prior to loading onto the next column. The upper pH limit is determined by the pH necessary to load the next column, and the lower limit by that useful to elute NGF efficiently.

DETD The SP-Sepharose HP effectively removed variants present in the HIC pool. The R120 form has an additional **arginine** residue at the N-terminus of NGF; usually the N-terminal amino acid sequence of rhNGF is SSSHP, but R120 has an N-terminal sequence of RSSSHHP. Thus the R120 form is more basic than mature NGF and was separated by SP SHP. It also

the NGF peak. The R120 form contains a modification of the aspartic acid at amino acid 93. The isoasp form is slightly more basic and thus binds slightly tighter to the SP Sepharose HP resin. NGF species



amino-terminal residues of the cII protein, a Factor Xa cleavage site and human .beta.-globin was shown to be cleaved by Factor Xa and generate authentic .beta.-globin [Nagai, K. and Thogersen, H. C., Nature 308: 810-812 (1984)].

SUMM In order to cleave some fusion proteins which contain a Factor Xa cleavage site, **denaturation** of the fusion protein is required. It is likely that **denaturation** of the fusion protein permits the protease to gain access to the cleavage site. The need to treat fusion proteins with harsh **denaturants**, such as guanidine hydrochloride or urea, is undesirable. Furthermore, exposing the recombinant protein to harsh **denaturants** may alter the functional activity and/or the antigenicity of the purified protein. In addition, once **denatured**, many proteins do not **renature** (i.e., they become irreversibly **denatured** or unfolded).

SUMM The insertion of a linker or spacer between the Factor Xa site and the protein of interest has been reported to facilitate the cleavage of some fusion proteins. However, the insertion of the linker results in the addition of extra amino acids (i.e., not naturally occurring) at the amino terminus of the protein of interest (Riggs, P., supra at 16.6.13). Another limitation of the Factor Xa-based fusion systems is the fact that Factor Xa has been reported to cleave at **arginine** residues that are not present within in the Factor Xa recognition sequence [Nagai, K. and Thogerson, H. C., supra; Lauritzen, C. et al., Prot. Expr. and Purif. 2:372 (1991)]. Additionally, Factor Xa will not cleave at the recognition site if the site is followed by a proline or **arginine** residue (Riggs, P., supra at 16.6.13).

SUMM The present invention relates to compositions and methods for producing authentic proteins by recombinant means. The invention provides novel fusion proteins and recombinant DNA vectors encoding the same, as well as, methods for the production of authentic proteins from the novel fusion proteins. In one embodiment the invention provides fusion proteins comprising three domains joined together in order from amino-terminus to carboxy-terminus of a first domain comprising a protein of interest, a second domain comprising a hydrophilic spacer, and an affinity domain, each domain comprising amino acid residues. It is not required that each of these domain be contiguous with one another. The invention contemplates fusion proteins wherein additional domains and/or elements (e.g., a penultimate enhancer and/or a CPB terminator) are inserted between the three domains described above. The invention further contemplates a fusion protein wherein the hydrophilic spacer is an **arginine** residue and the hydrophilic spacer and the affinity domain are separated by a domain comprising 1 to 19 amino acid residues wherein these 1 to 20 residues are capable of removal by a means for selective amino acid removal. In a preferred embodiment these 1 to 20 residues are removable by a selective endoprotease cleavage and/or a carboxypeptidase, the latter is preferably selected from the group comprising carboxypeptidase A, carboxypeptidase B and carboxypeptidase Y.

SUMM In particularly preferred embodiment, the susceptible amino acids of the hydrophilic spacer are selected from the group consisting of **arginine** and lysine. In one embodiment, the susceptible amino acids of the hydrophilic spacer have the sequence selected from the group comprising SEQ ID NOS:16-37. The hydrophilic spacers of the novel fusion proteins may comprise an extended hydrophilic spacer. In a preferred embodiment, the extended hydrophilic spacer comprises the

SUMM The invention also provides recombinant DNA vectors having a nucleotide sequence encoding a fusion protein comprising three domains joined together in order from amino-terminus to carboxy-terminus of a first domain comprising a protein of interest, a second domain comprising a hydrophilic spacer, and an affinity domain, each domain comprising amino acid residues.

hydrophilic spacer, and an affinity domain, each domain comprising amino acid residues. In a preferred embodiment, the recombinant DNA vector encodes a fusion protein wherein the amino acids of the encoded hydrophilic spacer are susceptible to removal by a means for selective amino acid removal, the later preferably being a carboxypeptidase. In another preferred embodiment, the amino acids comprising the encoded hydrophilic spacer are removable using a carboxypeptidase selected from the group comprising carboxypeptidase A, carboxypeptidase B and carboxypeptidase Y. In yet another preferred embodiment, the recombinant vector encodes a fusion protein wherein the susceptible amino acids of the encoded hydrophilic spacer are selected from the group consisting of **arginine** and lysine; particularly preferred encoded hydrophilic spacers comprises sequences selected from the group comprising SEQ ID NOS:16-37. The encoded hydrophilic spacer may comprise an extended hydrophilic spacer; in a preferred embodiment the encoded extended hydrophilic spacer comprises the amino acid sequence of either SEQ ID NOS:18 or 19 in combination with any of SEQ ID NOS:16-37 wherein SEQ ID NOS:18 or 19 are linked via their amino-terminus to the carboxy-terminus of SEQ ID NOS:16-37 and joined via their carboxy-terminus to the affinity domain.

DRWD FIG. 31 depicts the nucleotide and amino acid sequence of human **preproNGF**.

DETD The term "hydrophilic" when used in reference to amino acids refers to those amino acids which have polar and/or charged side chains (i.e., R groups). Hydrophilic amino acids include lysine, **arginine**, histidine, aspartate (i.e., aspartic acid), glutamate (i.e., glutamic acid), glycine, serine, threonine, cysteine, tyrosine, asparagine and glutamine.

DETD The term "hydrophilic spacer" refers to combinations of 1 to 5 predominantly hydrophilic amino acids present within the fusion proteins of the present invention, wherein at least one of the amino acid residues is an **arginine** residue. Preferred hydrophilic spacers comprise 3 to 5 hydrophilic amino acids. The term "extended hydrophilic spacer" refers to combinations of 6 to 8 predominantly hydrophilic amino acids. Particularly preferred hydrophilic spacers and/or extended hydrophilic spacers comprise only **arginine** and lysine residues; **arginine** and lysine residues are effectively removed by CPB. The hydrophilic spacers of the present invention contain at least one **arginine** residue; the **arginine** residues provide barriers or termination points for CPA digestions (i.e., CPA cannot remove **arginine** residues). Authentic proteins of interest are generated from the fusion protein by selective removal of non-authentic amino acids from the carboxy-terminus of the fusion protein (after the fusion protein has been cleaved by the desired endoprotease). The **arginine** residue(s) within the hydrophilic spacer acts as a barrier to excessive digestion (i.e., digestion into the protein of interest) of the fusion protein by CPA. When CPA encounters an **arginine** residue it cannot proceed. At that point CPB, which can only remove **arginine** and lysine residues, is used to digest the remaining **arginine** and/or lysine residues of the hydrophilic spacer to generate the authentic protein of interest. As discussed further below, doublets of lysine residues, which are extremely resistant to carboxypeptidase Y (CPD-Y) digestion, may be employed in the hydrophilic spacers. Hydrophilic spacers containing lysine doublets are employed in level 3 linker processing designs which requires the use of CPD-Y to the generation of authentic proteins.

DETD In addition to providing a means for generating authentic proteins by providing residues which are capable of selective removal (e.g., using carboxypeptidases), the hydrophilic and basic nature of **arginine**

DETD In the junction between the endoprotease site and the hydrophilic spacer is formed by the juxtapositioning of an amino acid residue which is slowly released from the endoprotease recognition sequence (the "leaky" amino acid) of the endoprotease site with a residue which is not released from the endoprotease recognition sequence (the "non-leaky" amino acid) of the endoprotease site. The "leaky" amino acid is typically a lysine residue and the "non-leaky" amino acid is typically an **arginine** residue.

is also slowly released (e.g., **arginine** and/or lysine residues), the result is an amino acid pair that is processed extremely slowly in the carboxypeptidase reaction (CPD-Y and CPA). In order to increase the speed and efficiency of transition from CPD-Y to CPA to CPB digestion, a preferred amino acid (i.e., a penultimate enhancer) is added at the junction between the hydrophilic spacer and the endoprotease recognition sequence (see FIG. 36 for an example). The residue which functions as the penultimate enhancer will increase the rate at which the amino-terminal residue of the endoprotease site is removed by digestion with carboxypeptidase.

DETD The term "CPB terminator" refers to a single amino acid that prevents the digestion of any authentic protein sequences when removing the amino acid residues comprising the hydrophilic spacer with carboxypeptidase B (CPB). CPB removes only **arginine** and lysine residues. Amino acids which are particularly preferred as CPB terminators are hydrophobic aliphatic residues (e.g., leucine, isoleucine, valine) as these residues are removed quickly by carboxypeptidase A (CPA) and carboxypeptidase Y (CPD-Y). A hydrophobic aliphatic residue at this position will also prevent any cleavage at the authentic molecule linker junction site by furin should the design be used in a mammalian host system and the desired molecule contain a furin recognition motif directly at its carboxy-terminus. When the protein of interest to be expressed in the fusion protein does not contain a furin recognition site or when a non-furin producing host cell is employed, any amino acid that is rapidly released by CPA and that is not released by CPB can be used as a CPB terminator (i.e., phenylalanine, tryptophane, leucine, isoleucine, valine, alanine and methionine). A CPB terminator is employed in the linker design when the protein of interest contains an **arginine** or lysine at its carboxy terminus; the CPB terminator is located on the carboxy-terminal side of the authentic **arginine** or lysine, between the authentic protein of interest and the hydrophilic spacer (see FIG. 34 for an example).

DETD The hydrophilic spacers of the present invention comprise one to five **arginine** and/or lysine residues. Extended hydrophilic spacers comprise six to eight **arginine** and/or lysine residues. The hydrophilic spacers serve several functions. The hydrophilic amino acids which comprise the hydrophilic spacer serve to orient this portion of the fusion protein toward the exterior of the molecule in aqueous solutions; this increases the exposure and accessibility of the nearby endoprotease recognition site. The hydrophilic spacers also allow for the physical separation of the domain comprising the protein of interest from the affinity domain. This separation ensures that the affinity domain is free to interact with the affinity resin as the possibility of steric hinderance from the protein of interest is reduced. In addition, the hydrophilic spacers allow for the physical separation of the endoprotease recognition site from the carboxy-terminal portion of the protein of interest. This separation is advantageous as the carboxy-terminal portion of the protein of interest may limit access of the endoprotease to the endoprotease recognition site if located in close proximity.

DETD 3) Clostropain, which cleaves on the carboxy-terminal side of **arginine** residues, with the preferred sequence being Arg-Tyr.

DETD 4) Trypsin, which cleaves on the carboxy-terminal side of **arginine** and lysine residues.

DETD 6) Kallikrein, which preferentially cleaves on the carboxy-terminal side of **arginine** within the recognition sequence Phe-Arg-Ser-Val (SEQ ID NO:9). When kallikrein is used as the protease for cleavage, the preferred linker sequence is Val-Pro-Phe-Arg-Ser (SEQ ID NO:10). The valine residue present in SEQ ID NO:10 functions as a penultimate enhancer thereby enhancing the removal of the proline residue by CPD-Y.

DETD - Another preferred linker sequence is Arg-X-Gly-Arg, where X is an amino acid which cleaves between the **arginine** and glycine residues with the preferred X' being Leu, Phe, Ile, Val, Ala or Trp [Euks, P., et al., U.S. Pat. No. 4,820,123].



residues in the following sequences: Ile-Glu-Gly-Arg-X (SEQ ID NO:4), Ile-Asp-Gly-Arg-X (SEQ ID NO:5), and Ala-Glu-Gly-Arg-X (SEQ ID NO:6), where X is any amino acid except proline or **arginine**.

DETD The Level 1 linker design is employed when the protein of interest is not susceptible to digestion by one of the endoproteases listed in Table 1 and either 1) the naturally occurring carboxy-terminal amino acid of the protein of interest is an **arginine** or a lysine or 2) a spacer comprising basic amino acids is used to link the protein of interest and the affinity purifiable domain. When the protein of interest naturally terminates in an **arginine** or lysine residue, a Level 1 linker can be employed which places an **arginine** or lysine residue next to the carboxy-terminal residue of the protein of interest; in this way a cleavage site for OmpT and/or protease VII is created. Cleavage of such a fusion protein with the OmpT protease or protease VII will generate an authentic protein of interest without the need to further treat the released protein of interest. When the protein of interest is not susceptible to digestion by one of the endoproteases listed in Table 1 but does not contain a carboxy-terminal **arginine** or lysine residue, a Level 1 linker is employed to join the protein of interest to the affinity domain. In this case, sequences encoding the affinity domain are joined to sequences encoding the protein of interest using a linker which encodes basic amino acid residues.

DETD FIG. 1 provides a schematic illustrating Level 1 processing. FIG. 1 shows an exemplary case where the hydrophilic spacer/endoprotease site employed contains a recognition site for a dibasic protease and the affinity domain comprises the hinge and Fc domains of a IgG. In FIG. 1, step 1 shows the fusion protein (as a dimer of two molecules as the IgG sequences are capable of dimerization) bound to the affinity resin (e.g., protein A-Sepharose). In Level 1 processing, cleavage of the fusion protein generates a released protein of interest which contains either an **arginine** or a lysine residue at the carboxy-terminus (FIG. 1, step 2). Authentic protein of interest is generated from the released protein of interest by removal of the linker-encoded **arginine** or lysine residues (i.e., the residues comprising the hydrophilic spacer) by digestion with carboxypeptidase B.

DETD There are processing advantages to using the enzymes listed in Table 1 above. These enzymes recognize the amino acids **arginine** and/or lysine without the requirement for specific amino acids in positions located toward the amino-terminus of the substrate. As discussed below, generation of authentic amino acid products is achieved by incubating the cleaved fusion protein with immobilized carboxypeptidase B, thus removing the amino acids comprising the hydrophilic spacer. Dibasic recognition proteases (i.e., yeast Kex2, OmpT and protease VII) are preferred over trypsin due their increased specificity. The OmpT protease is a dibasic recognition protease which is readily isolated from the outer membrane of any E. coli K strain which expresses the protease, such as LE 392 (Stratagene), by incubating whole cells with 30 mM n-octylglucoside [Grodberg J. and Dunn J. J., J. Bacteriol. 170:1245 (1988)].

DETD Level 2 spacer/endoprotease site (i.e., linker ) designs are used in combination with endoproteases that leave a portion of their recognition sequence behind after proteolytic cleavage. This remnant, because of its amino acid sequence, can be removed by sequential treatment with carboxypeptidase A (CPA) and carboxypeptidase B (CPB). CPB removes carboxy-terminal **arginine** or lysine residues only. CPA can rapidly digest or remove carboxy-terminal tyrosine, phenylalanine, tryptophan, leucine, isoleucine, methionine, threonine, glutamine, histidine, alanine and valine residues. CPA removes carboxy-terminal asparagine, serine and lysine slowly; glycine, aspartic acid, glutamic

then the released protein of interest except for proline, which neither CPB or CPA can remove. Combination of amino acids which are released very slowly or not at all released amino acids (proline or **arginine** )

addition of the leucine residue into the enterokinase line allows CPA to proceed smoothly to the **arginine** residue by avoiding the extremely slow step of Arg-Asp (the CPA digestion is conducted at 37.degree. C.).

FIG. 2 provides a schematic which represent the generation of authentic protein using the Level 2 spacer design. In FIG. 2, basic amino acids which can be removed by CPB are represented by the circles and amino acids which can be removed by CPA are represented by the squares. Level 2 processing is illustrated using a hydrophilic spacer which comprises the sequence Arg-Arg-Lys (SEQ ID NO:16); the spacer is followed by a leucine residue which functions as a penultimate enhancer; the penultimate enhancer is followed by the recognition site for the endoprotease enterokinase [Asp-Asp-Asp-Asp-Lys (SEQ ID NO:13)]. Step 1 of FIG. 2 shows the released protein of interest generated by digestion of the fusion protein with enterokinase (enterokinase cleaves on the carboxy-terminal side of the lysine residue present in the enterokinase recognition site); the released protein is then treated with CPB to remove the terminal lysine residue. Step 2 of FIG. 2 shows the released protein of interest following treatment with CPB and indicates that the released protein of interest is now to be treated with CPA to remove the asparagine and leucine residues. In all Level 2 and 3 designs, the preferred hydrophilic spacer has a lysine residue at its carboxy terminal position to allow efficient transition from CPA digestion to CPB digestion. Carboxy terminal lysine residues can be removed with CPA and/or CPB. The lysine residues allow CPA to proceed completely through the remaining exoprotease recognition sequence or penultimate enhancer without any inhibition. An **arginine** residue in the same position would slow the reaction and therefore is not preferred. Complete efficient removal of the remaining exoprotease recognition sequence or penultimate enhancer ensures that the hydrophilic spacer will be available for CPB digestion. Following treatment with CPA, the released protein of interest is treated again with CPB to remove any remaining lysine residues and the **arginine** residues (step 3) to generate the authentic protein of interest (step 4). As discussed in greater detail below, removal of the amino acids which comprise the endoprotease site and the hydrophilic spacer can be achieved using immobilized forms of the carboxypeptidases. The use of immobilized enzymes is advantageous as this obviates the need to remove the carboxypeptidases from the final preparation of the authentic protein and allows the sequential digestion of the released protein of interest with the carboxypeptidases.

DET2 Level 2 designs are used when the protein of interest would be susceptible to the cleavage protocol described above for the Level 1 design. Level 1 linkers comprise hydrophilic spacer sequences that do not require additional endoprotease sequences because the endoproteases used in the Level 1 design recognize and cleave the hydrophilic spacers. Level 2 linkers encode protease recognition sites for proteases that leave amino acids on the carboxy-terminus of the protein of interest which cannot be removed by digestion with CPB. Level 2 denotes that additional in vitro processing steps are needed to generate authentic protein molecules, specifically CPA digestion(s) is required. Due to the specificities of the carboxypeptidases and the digestion conditions utilized in conjunction with the Level 2 and 3 linkers it is not possible to generate authentic proteins that have carboxy terminal lysine residues using carboxypeptidases to digest non-authentic amino acid residues from the protein of interest. All of the currently characterized carboxypeptidases can remove lysine residues under the conditions described herein. However, the Level 1 linker design that inserts a single **arginine** residue after the naturally occurring lysine residue to create an OmpT/proteases VII

**arginine** residues and releases alternating CPA and CPB digestions to generate authentic protein with a carboxyterminal **arginine**. A leucine residue is placed between the natural **arginine** and the **arginine** represented in the hydrophilic spacer Arg-Lys-Lys SEQ ID 16) in order to act as a termination point for the CPB digestion. CPB is used as described to remove the hydrophilic spacer, stopping at the inserted leucine residue. A final CPA digestion is used to remove the leucine residue and generate an authentic protein.

DETD Step 1 of FIG. 3 shows the released protein of interest generated by digestion of the fusion protein with renin (renin cleaves on the carboxy-terminal side of the first leucine residue present in the renin recognition site); this protein is then treated with CPA to remove the leucine, histidine and phenylalanine residues which remain after digestion of the fusion protein with renin. This first CPA digestion is allowed to go to completion as the proline residue will halt digestion by CPA. The CPA-treated released protein is then treated with CPD-Y to remove the terminal proline residue (Step 2 of FIG. 3); the use of the leucine residue as a penultimate enhancer allows the efficient digestion of proline by CPD-Y. Following treatment with CPD-Y, the protein of interest is treated with CPA to remove the leucine residue. The lysine and **arginine** residues of the hydrophilic spacer are then removed by digestion with CPB (Step 4) to generate the authentic protein of interest (Step 5).

DETD The following are preferred forms of hydrophilic spacer sequences: Arg-Arg-Lys (SEQ ID NO:16); Arg-Lys-Lys (SEQ ID NO:17); Lys-Arg-Lys (SEQ ID NO:18); Lys-Lys-Lys (SEQ ID NO:19); Arg-Arg-Arg-Lys (SEQ ID NO:20); Arg-Arg-Lys-Lys (SEQ ID NO:21); Lys-Arg-Arg-Lys (SEQ ID NO:22); Arg-Lys-Arg-Lys (SEQ ID NO:23); Arg-Lys-Lys-Lys (SEQ ID NO:24); Lys-Arg-Lys-Lys (SEQ ID NO:25); Lys-Lys-Arg-Lys (SEQ ID NO:26); Arg-Arg-Arg-Arg-Lys (SEQ ID NO:27); Arg-Arg-Arg-Lys-Lys (SEQ ID NO:28); Arg-Arg-Lys-Arg-Lys (SEQ ID NO:29); Arg-Lys-Arg-Arg-Lys (SEQ ID NO:30); Lys-Arg-Arg-Arg-Lys (SEQ ID NO:31); Arg-Arg-Lys-Lys-Lys (SEQ ID NO:32); Arg-Lys-Arg-Lys-Lys (SEQ ID NO:33); Arg-Lys-Lys-Arg-Lys (SEQ ID NO:34); Lys-Arg-Arg-Lys-Lys (SEQ ID NO:35); Lys-Arg-Lys-Arg-Lys (SEQ ID NO:36); Lys-Arg-Arg-Lys-Lys (SEQ ID NO:37); and Arg-Lys-Lys-Lys-Lys (SEQ ID NO:38). These preferred hydrophilic spacers can be used in Level 1, 2 or 3 linker designs; these spacers can be used when the fusion protein is to be expressed in non-endocrine mammalian cell lines. Fusion proteins comprising proteins of interest which end in an **arginine** or lysine residue require the insertion of a leucine residue between the carboxy-terminal **arginine** or lysine of the protein of interest and the hydrophilic spacer (as described above for Level 2 designs).

DETD The above listed sequences represent preferred spacer sequences which should be adequate for separating the desired endoprotease site from the carboxy-terminus of the protein of interest. The invention also contemplates the insertion of hydrophilic triplets such as Lys-Lys-Lys (SEQ ID NO:19) and Lys-Arg-Lys (SEQ ID NO:18) to the amino-terminal end of any of the above-listed spacers to generate extended hydrophilic spacers. These longer (i.e., extended) spacers are employed when the carboxy-terminus of the protein of interest is sufficiently buried within the hydrophobic interior of the protein so as to structurally inhibit the removal of any remaining endoprotease recognition sequences and/or the penultimate enhancer by CPA digestion. Traditional approaches to dealing with the cleavage of fusion proteins having a buried carboxy-terminus of the protein of interest employ the use of **denaturant** during the digestion of the fusion protein. This approach is not appropriate when CPA is to be employed as CPA loses most of its activity under **denaturing** conditions. The use of the "extended hydrophilic spacers" is appropriate when the protein of

Digestion of these sequences with CPA under non **denaturing** conditions. The extended hydrophilic spacer can be removed by digestion with CPB under **denaturing** conditions (e.g., in the presence of

DETD

preferred method for removal of the affinity domain until carboxypeptidases which cannot remove lysine residues become available. The production of recombinant proteins often involves the use of protease inhibitors to prevent the degradation of the recombinant protein (e.g., fusion protein) before it can be isolated in a purified form. Numerous protease inhibitors are known to the art and include, but are not limited to leupeptin, pepstatin A, antipain, aprotinin, PEFABLOC (Pentapharm Ltd., Basel, Switzerland), chymostatin, trypsin inhibitor from soybean, FBS-d-PI, phenylmethylsulfonyl fluoride (PMSF) and (4-amidinophenyl) methane sulfonyl fluoride (APMSF). Due to the design of the hydrophilic spacers of the present invention, it is required that steps are taken to inhibit trypsin and other serine proteases that recognize **arginine** and/or lysine residues to prevent the cleavage of the fusion proteins. In selecting a cell line to be used as a host cell for the production of fusion proteins, the cell line is screen for the ability to produce and/or secrete proteases which can cleave the hydrophilic spacers of the invention. In addition, medium supplements should also be monitored for the presence of these proteases. Cell lines (and culture supernatant from cell lines) and medium supplements can be monitored using commercially available synthetic peptide substrates. Four particularly useful synthetic substrates are N-benzoyl-Val-Lys-Lys-Arg-4-methoxy-B-naphthamide, N-t-Boc-Glu-Lys-Lys-7-amido-4-methylcoumarin, N-t-Boc-Gly-Arg-Arg-7-amido-4-methylcoumarin and N-t-Boc-Gly-Lys-Arg-7-amido-4-methylcoumarin [Mizuno et al., Biochem. Biophys. Res. Commun. 144:807 (1987)]; all of these substrates are available from Sigma. Cell lines and medium supplements which express the least amount of protease activity on these type of substrates (i.e., substrates containing **arginine** and/or lysine residues) are preferred.

DETD

Insect cells which lack protease activity have not been reported. Accordingly, when fusion proteins are to be expressed in insect cells [e.g., Sf9, Sf21 and MG1 cells (Stratagene)] the following hydrophilic spacers are used: Arg-Lys-Lys (SEQ ID NO:17), Arg-Lys-Lys-Lys (SEQ ID NO:24) and Arg-Lys-Lys-Lys-Lys (SEQ ID NO:38). If an extended hydrophilic spacer is to be employed for the expression of fusion proteins in insect cells, the lysine triplet (SEQ ID NO:19) can be added to the carboxy-terminal end of the above 3 spacers. The ability of the Sf9 insect cell line to at least partially process **proNGF** into authentic, active NGF by cleavage of the naturally occurring proprocessing site Arg-Ser-Lys-Arg (SEQ ID NO:39) (U.S. Pat. No. 5,272,063, the disclosure of which is herein incorporated by reference) limits the use of hydrophilic spacers to those containing Arg-Lys and Lys-Lys amino acid combinations and those lacking Arg-Arg and Lys-Arg combinations.

DETD

The presence of a dibasic recognition site alone is not sufficient to allow proteolytic cleavage as many hormones and growth factors have internal dibasic sites (i.e., sites located within the sequences encoding the mature form of the protein) that are not cleaved during secretion. A study of sequences encoding prosomatostatin derived from several species suggests that the general exposure (i.e., location on the exterior of the molecule) and conformation of the dibasic site may influence whether a particular site is susceptible to cleavage [Warren, Cell 39:547 (1984)]. The enzymes responsible for dibasic cleavage in the constitutive secretion pathway (i.e., non-regulated secretion) have been characterized; these enzymes are termed furin or PACE. Furin and PACE require an **arginine** at the P4 site for cleavage [Hatsuzawa et al., J. Biol. Chem. 267:16094 (1992)]. The specificities of furin and PC1/PC3 enzymes from the endocrine system have been compared [Nakayama, J. Biol. Chem. 267:16335 (1992)] and found to be similar [the recognition sequence for furin is Arg-X-Lys/Arg-Arg (SEQ ID NOS:14 and

and specifically, remote amino acids from the carboxy terminus. If recombinant fusion proteins following cleavage with endoproteases. CPA releases different amino acids at different rates (Ambler, supra). The following table lists the amino acids released by CPA from various

glutamine, histidine, alanine, valine and homoserine. The following amino acids are released slowly by CPA: asparagine, serine, lysine (the rate of lysine release may be modified by changing the pH of the digestion) and MetSO.sub.2. The following amino acids are released very slowly by CPA: glycine, aspartic acid, glutamic acid, CySO.sub.3 H and s-carboxymethylcysteine. The following amino acids are not released by CPA: proline, hydroxyproline and **arginine**. The presence of an amino acid which is either very slowly released or not released in the penultimate position will generally decrease the rate of release of the carboxy-terminal residue by CPA. CPB has a much more narrow specificity as compared to CPA; CPB removes only **arginine** and lysine residues rapidly (Ambler, supra).

DETD CPA and CPB have defined limitations as to their removal of carboxy-terminal amino acids and are used to digest remaining linker sequence to completion, therefore traditional immobilization media such as activated CNBr agarose beads can be used. Immobilized CPA digestions can be incubated to completion because the hydrophilic spacers protect the protein of interest by encoding an **arginine** residue which CPA cannot remove. (All hydrophilic spacers contain at least one **arginine**; the lysine triplet used to generate an extended hydrophilic spacer is used in combination with spacers which contain an **arginine** or alternatively may be used as the spacer when the protein of interest terminates with an **arginine** residue). Alternate immobilization media is needed to control the hydrolysis of the carboxy-terminal amino acids when CPD Y is used as the exoprotease, because CPD-Y does not have the specific substrate limitations of CPA and CPB. CPD-Y attached to traditional immobilization media (e.g., agarose) produces a wide variety of digestion products. This heterogeneous population of digested products is useful when attempting to determine the organization of amino acids at the carboxy terminus (i.e., for determination of protein sequences). Extensive proteolytic digestion is likely to occur as result of the peptide entering into diffusion zones where the enzyme concentration is high and the rate of diffusion is slow. The desired effect when performing CPD-Y digestions is a uniform, but limited, removal of a specific amino acid (proline) from a large homogeneous population of molecules. This can only be accomplished by limiting the time that a high uniform concentration of the CPD-Y enzyme is allowed to interact with limiting concentrations (i.e., below the K.sub.m) of substrate.

DETD Carboxypeptidase A can release a wide variety of amino acids from the carboxy terminus at varying rates, except proline and **arginine** (Ambler R. P., supra). The strategy of alternating between carboxypeptidase A and B is used when the cleavage sequence does not contain any prolines. The enterokinase recognition sequence used in Level 2 designs is an example of this strategy. The sequence Arg-Arg-Lys-Leu-Asp-Asp-Asp-Asp-Lys (SEQ ID NO:41) remains after cleavage of the fusion protein (see FIG. 2). The lysine residue can be removed by digestion with CPA or CPB at pH 8.0 at 25.degree. C. The release of the lysine, asparagine and leucine residues by CPA is very slow at room temperature, but the reaction rate can be increased by raising the temperature to 37.degree. C. and lowering the pH to less than 6.2 (Ambler R. P., supra). The reaction can be allowed to go to completion (stopping at the **arginine** residues) as long as suitable protease inhibitors are present (i.e., disopropylfluorophosphate). Authentic protein is generated by removing the remaining **arginine** residues with carboxypeptidase B.

DETD In circumstances where carboxypeptidase A cannot remove the remaining amino acids from the protease recognition sequence, alternate digestion protocols are used. Since the sequence of amino acids to be removed from the protein of interest is known, the enzymes used are chosen based on

to remove the proline residue. This reaction is slow, but having a valine residue in the penultimate position enhances the binding and cleavage rate. The lysine triplet not only provides a hydrophilic

preferred amino acid to have in the penultimate position. Thus, the lysine pair is a formidable obstacle for CPD-Y digestion. Multiple passes (about 3 or 4) of the cleaved protein through an immobilized carboxypeptidase Y medium at a rate suitable to remove the carboxy-terminal proline insures that the digestion will go to completion (i.e., approximately 100% past proline and approximately 0% past **arginine**). Immobilized CPA is used to remove any remaining leucine, valine and lysine residues and a final digestion with CPB is used to generate the authentic protein.

DETD Cleavage with the site-specific endoprotease may leave extra amino acids on the carboxy-terminal end of the protein of interest (i.e., for Level 2 and 3 designs). These amino acids remain as a result of the amino acids present on the amino-terminal side of the cleavage site for the site-specific endoprotease as well as those within the hydrophilic spacer. These undesirable (i.e., non-authentic) amino acids are removed by digestion with carboxypeptidases. Carboxypeptidases cleave carboxy-terminal amino acids. Carboxypeptidase A cleaves carboxy-terminal amino acids other than **arginine** or proline. Carboxypeptidase B cleaves only carboxy-terminal **arginine** or lysine residues. For example, if the fusion protein is cleaved at the following thrombin site: Leu-Val-Pro-Arg-Gly-Thr (SEQ ID NO:43) located within the following sequence: Protein of interest-Arg-Arg-Lys-Lys-Lys-Leu-Val-Pro-Arg-Gly-Thr-IgG hinge/Fc, then following cleavage with thrombin, the protein of interest will have the following extra carboxy-terminal amino acids: Protein of interest-Arg-Arg-Lys-Lys-Lys-Leu-Val-Pro-Arg. Treatment with immobilized carboxypeptidase B will remove the first **arginine** residue. Digestion with carboxypeptidase Y at pH 5.75 will remove the proline residue and most of the valine and leucine residues. Digestion with carboxypeptidase A at pH 6.0 will remove the remaining valine and leucine residues; the enzyme will slow down at the lysine residues. Digestion with carboxypeptidase B will remove any remaining lysine residues and the **arginine** tail yielding an authentic carboxy-terminus of the protein of interest. Alternating carboxypeptidase digestions can be used to generate an authentic protein of interest when the linker utilized contains **arginine** and/or lysine residues following the carboxy-terminus of the protein of interest.

DETD When the natural carboxy-terminus of the protein of interest comprises an **arginine** residue, the linker utilized will contain a leucine, valine or isoleucine residue between the naturally occurring **arginine** on the protein of interest and the **arginine** /lysine residues in the spacer. These residues (Leu, Val, Ile) are preferred when expression of the fusion protein is achieved in a mammalian cell line in order to prevent the possibility of undesirable cleavage of the fusion protein by furin after the **arginine** located at the carboxy-terminus of the protein of interest. During processing of the released protein of interest, carboxypeptidase B will proceed through the hydrophilic spacer residues until it reaches the leucine or tyrosine residue (referred to as a CPB terminator). Carboxypeptidase A is then used to efficiently remove the leucine, valine or isoleucine residue while leaving the naturally occurring **arginine** residue intact as the carboxy-terminal residue of the protein of interest.

DETD The junction region (i.e., the region which joins the protein of interest with the affinity domain) present in pMA2-TH-IgG is shown in FIG. 7. The first 5 amino acid residues shown comprise the carboxy-terminal end of the MBP (the phenylalanine is encoded by the conversion linker as described above). The hydrophilic spacer (Arg-Arg) and thrombin recognition site are boxed and labeled; the cleavage site for thrombin is indicated by the arrow placed between the Arg and Gly

**arginine** residues. The first 5 amino acid residues shown comprise the carboxy-terminal end of the MBP (the phenylalanine is encoded by the conversion linker as described above). The hydrophilic spacer (Arg-Arg) and thrombin recognition site are boxed and labeled; the cleavage site for thrombin is indicated by the arrow placed between the Arg and Gly



(Fisher). The RNA was precipitated by the addition of 0.6 ml of isopropanol and incubation of the tube at -20.degree. C. for 1 hour. RNA was pelleted gently in a Eppendorf microcentrifuge at 5,000.times.g for 10 min at 4.degree. C., resuspended in 75% ethanol and stored at -20.degree. C. until needed.

DETD As shown in FIG. 11, these three oligonucleotide primers introduce different amino acids at the 5' end of the hinge region. The IG5NGO oligonucleotide (SEQ ID NO:51) contains the recognition site for NgoMI and introduces two **arginine** residues immediately upstream of the histidine residue located at amino acid position 225 in the human IgG1 molecule. The IG5ARS oligonucleotide (SEQ ID NO:52) contains the recognition site for SalI and introduces two **arginine** residues at the 5' end of the hinge region (immediately upstream of the threonine residue located at amino acid position 226 in the human IgG 1 hinge region). The IG5KPN oligonucleotide (SEQ ID NO:53) contains a KpnI site and introduces a glycine residue in the hinge region (immediately upstream of the threonine residue located at amino acid position 224 in the human IgG hinge region).

DETD The resulting 0.7 kb PCR products contain three variations of the hinge domain. They are designed to allow the naturally occurring proteolytic cleavage site of the hinge maximum exposure (see FIG. 10). The SalI-IgG product was designed to be very hydrophilic; this product is generated using primers comprising SEQ ID NOS:52 and 54. Two **arginine** residues encoded by the 5' primer (SEQ ID NO:52) were used to replace the naturally occurring Thr(224) and His(225) to make the region more hydrophilic. In the KpnI-IgG fragment a glycine residue encoded by the 5' primer (SEQ ID NO:53) replaces the naturally occurring Lys(223) amino acid to allow for maximum rotation of the protein of interest and attached endocleavage site, Thr(224) and His(225) were not disturbed. The KpnI-IgG fragment is generated using primers comprising SEQ ID NOS:53 and 54.

DETD In the NgoI-IgG fragment, the threonine (at position 224) was replaced with an **arginine** residue to make the hinge region more hydrophilic. A glycine codon (GGG) can be created by using a cloning linker that terminates with GG and has an NgoI compatible 5' overhang to provide additional flexibility.

DETD The 5' linker used to join the IgG fragment to the vector and provide the spacer and endoprotease site may be designed such that additional **arginine**, lysine and tyrosine residues may be placed upstream of the endoprotease site. Insertion of the IgG fragment into the XbaI site in the above described manner allows the remaining sites in the multiple cloning site to be utilized for insertion of sequences encoding the gene of interest. The above-described linker contains a NruI site (TCGCGA) which produces a blunt end upon digestion. The resulting blunt end has CGA as its first three nucleotides which encodes the first **arginine** residue of the hydrophilic spacer. The sequence following this CGA can be varied to generate the desired hydrophilic linker and endoprotease site.

DETD As shown in FIG. 21, pTVBac-kIg retains most of the cloning sites present in the multiple cloning site of the original vector; these sites are available for insertion of the 5' end of the sequences encoding the protein of interest. The translation initiation codon (ATG) must be provided by the sequences encoding the protein of interest. NruI digestion of pTVBac-kIg provides a blunt end for the ligation of the 3' end of the inserted gene while preserving the first **arginine** residue of the hydrophilic spacer. Several variations of hydrophilic spacers and endoprotease sites can be engineered using the approach described above to create specific vectors for the production of fusion proteins which can be isolated using IgG affinity chromatography (i.e., use of Protein A and/or G resins).

proteins. The majority of the enzyme activity is located within the large surface area of the pores when CPB is immobilized to Sepharose 4B (Pharmacia). When a released protein of interest containing an exposed amino group is added to the supernatant and incubated with the





to generate authentic carboxy termini and can prevent aberrant cleavages by endogenous proteases present in the production host (i.e., furin in mammalian cells).

DETD The placement of a leucine residue following the carboxy-terminal **arginine** residues present in the NGF and BDNF proteins prevents CPB from removing the natural **arginine**. This hydrophobic aliphatic residue (Leu) would also prevent any processing by furin if the carboxy-terminus contained such a recognition motif (Arg-X-Arg/Lys-Arg SEQ ID NOS:14 & 15). The carboxy-terminal 11 amino acids of the human NGF and BDNF proteins are shown below using the one letter symbol for the amino acids. Sequences shown in bold type are residues encoded by the hydrophilic linker which encodes the hydrophilic spacer which joins the protein of interest to the affinity domain (the KpnI/NheI IgG fragment) via sequences encoding an endoprotease site.

DETD The sequence Leu-Lys-Arg-Arg (SEQ ID NO:78) represents the preferred linker when 1) the desired protein has an **arginine** amino acid at its natural carboxy terminus, 2) the mature protein is not susceptible to the dibasic cleavage protocol and 3) the desired host is a strain E. coli deficient in proteolysis (i.e., AG1). The hydrophilic spacer (Lys-Arg-Arg; SEQ ID NO:79) within the preferred linker contains two endoprotease sites susceptible to the Kex2 protease. The sequence Leu-Lys-Lys-Lys (SEQ ID NO:80) represents a preferred linker when the protein of interest ends with **arginine** and is going to be expressed in host that expresses furin or furin-like proteases. This linker contains a leucine residue and the hydrophilic spacer Lys-Lys-Lys (SEQ ID NO:19), both of which can be removed by CPA digestion. Authentic forms of mature NGF and BDNF are generated from the above-described fusion proteins by digestion with an endoprotease followed by digestion with one or more carboxypeptidases. The leucine residue (L) following the carboxy-terminal **arginine** (R) is removed from the protein of interest with a final carboxypeptidase A digestion (described in detail below).

DETD DNA sequences encoding the **proNGF** protein (i.e., amino acid residues -104 to 108, see FIG. 31) is inserted into the pTVkIgG-1 expression vector (described in Example 4a) to produce a fusion protein containing a carboxy-terminal IgG fragment that is secreted into the periplasmic space where proper folding and disulfide bond formation may occur. The resulting expression vector is termed pTV-TH-NGF.

DETD The fusion protein encoded by pTV-TH-NGF comprises (from amino to carboxy-terminus) the pho signal sequence, the **proNGF** protein sequence, a CPB terminator (Leu), a hydrophilic spacer comprising the sequence Lys-Arg-Arg (SEQ ID NO:79), and the hinge and Fc domains of human IgG1. The hydrophilic spacer in this situation is also the designed endoprotease site(s) for the Kex2 protease. The resulting fusion protein is directed to the periplasmic space due to the presence of the pho signal sequence; the pho signal sequence is cleaved from the fusion protein during transport to the periplasm. Transport to the periplasmic space allows for the proper folding and disulfide bond formation within NGF sequences (without the need to use in vitro refolding procedures). The fusion protein is recovered from the periplasmic space and affinity purified on a Protein A resin. NGF-Leu-Lys-Arg is released from the Protein A resin and separated from its pro region by recirculating a commercially available Lys-Arg and Arg-Arg specific protease (i.e., the Kex2 dibasic protease from yeast which is available from Mo Bi Tec, Gottingen, Germany) through the Protein A resin. The pro region of the **proNGF** protein sequences (i.e., amino acid residues -104 to -1, see FIG. 31) contains a furin processing site Arg-Ser-Lys-Arg (SEQ ID NO:39) that will be correctly cleaved at the carboxy terminal side of **arginine**

by the Kex2 protease. Heterologous sequences present on the NGF

**proNGF**  
Protein is isolated using the ICP. A secretory human brain cDNA library (Clontech) is used as the template in the PCR. Oligonucleotide primers which bracket the sequences encoding the **proNGF** protein are

which are used to amplify the **proNGF** gene are underlined  
FIG. 31.

DETD Alternatively, RNA from a human source of Schwann cells known to contain the NGF mRNA can be used to generate first strand cDNA as described in Example 3; this single stranded cDNA preparation is then used as the template in a PCR to permit isolation of sequences encoding the **proNGF** protein.

DETD Nucleic acid sequences (e.g., cDNA) encoding the proprotein form of NGF are isolated using the PCR as follows (it is noted that it is not necessary to isolate the DNA prior to use in the PCR as described below; a phage lysate may also be employed). A five microliter aliquot of HindIII-digested phage library DNA or first strand cDNA (prepared as described in Example 3) are amplified in a final reaction volume of 100 .mu.l containing 10 .mu.l 10.times. Pfu amplification buffer (Stratagene), 0.5 .mu.M each primer [Ngf1 (SEQ ID NO:75) and Ngf2 (SEQ ID NO:76), 200 .mu.M of each of the four dNTPs and 1 unit of Pfu polymerase (Stratagene). The reaction mixture is heated to 94.degree. C. in a thermal cycler (Perkin-Elmer) for 4 minutes to completely **denature** the target DNA and subsequently cycled 30 times (94.degree. C. for 90 seconds, 50.degree. C. for 90 seconds and 72.degree. C. for 2.5 minutes). Two microliters of the PCR products are run on a 2% agarose gel to analyze the amplified product. The PCR products may be digested with restriction enzymes; restriction digestion of the desired **proNGF** PCR products (which are approximately 660 bp in length) with EcoRI will produce two approximately 330 bp fragments that will appear as a doublet on the agarose gel.

DETD Amplified **proNGF** DNA fragments are purified by electrophoresing the amplified reaction products on a 1.5% LMA TAE agarose gel. The approximately 660 bp DNA fragment is cut from the gel and digested with Gelase following the manufacturers protocol (Epicentre Technologies). The 5' end of the NgfI oligonucleotide (SEQ ID NO:81) primes the NGF gene at the beginning of the pro region (Glu at position -104; see FIG. 31) and because Pfu polymerase has 3'-5' exonuclease activity, it produces a blunt end product that is ready for ligation to the vector (as described below the pTVkIg-1 vector is digested with HindIII and the ends are made blunt by treatment with the Klenow fragment). The Ngf2 oligonucleotide (SEQ ID NO:76) alters the nucleotide sequence at the carboxy-terminal end of the protein to create an NgoMI restriction site near the 3' end of the NGF gene; this alteration changes the native (i.e., naturally occurring) sequence of AGGA at nucleotides 703 to 706 in SEQ ID NO:74 to CGGC. This change does not alter the amino acid sequence of the NGF protein in the final construction (see below) but adds a restriction site which aids in the cloning of the desired synthetic linker encoding a hydrophilic spacer and endoprotease site.

DETD The NGOKP1 and NGOKP2 oligonucleotides are annealed together at a concentration of 1 .mu.M (each) in 50 .mu.l TE (pH 8.0), 50 mM NaCl by heating to 85.degree. C. and slow cooling to room temperature over 2 hours. The resulting linker/adaptor is ligated to the NgoMI digested **proNGF** PCR product to prepare the PCR product for insertion into the pTVkIgG-1 bacterial expression vector. The ligation of the synthetic linker/adaptor to the NgoMI ends on the **proNGF** PCR product regenerates the original amino acid sequence at the carboxy-terminus of the NGF protein. The linker/adaptor also truncates the natural dipeptide (Arg-Ala at position 109-110 in FIG. 31) that is not present on the mature product.

DETD The prepared insert (blunt-**proNGF**/linker/adaptor-KpnI) is mixed with the prepared pTVkIgG-1 vector at a 3:1 (insert:vector) ratio in a 20 .mu.l volume comprising 1.times. T4 ligase buffer (NEB), 50 mM ATP, T4 DNA ligase (200 units) is then added and the reaction is

run overnight at 16.degree. C. in a shaker incubator. Plasmid DNA is isolated using standard techniques and digested with NcoI and SmaI to identify clones with a single insert in the proper orientation. Positive clones are identified by restriction of 5 .mu.l of plasmid DNA with 10 units of NcoI and SmaI for 2 hours at 37.degree. C. and electrophoresing on a 1% agarose gel. The expected fragment sizes are 1.8 kb for the pTVkIgG-1 vector and 2.1 kb for the recombinant vector.

in Example 1a. Colonies containing plasmids having the desired insert (by restriction analysis) and which produce a high titer of IgG are sequenced to confirm that the inserted DNA encode the desired **proNGF** fusion protein.

DETD FIG. 33 provides a schematic map of the pTV-TH-NGF vector. The location of the trc promoter, the pho signal sequences, the **proNGF** sequences, the junction region, the IgG fragment, the ampicillin-resistance gene and the lac repressor (*lacI.sup.q*) gene are indicated. The direction of transcription is indicated by the use of arrows inside the circle.

DETD FIG. 34 shows the nucleotide and amino acid sequences present at the junction region in pTV-TH-NGF. Sequences present at the carboxy-terminal end of the NGF protein, the CPB terminator, the hydrophilic spacer/Kex2 protease site, and the amino-terminal end of the IgG fragment (the affinity domain) are indicated. As shown in FIG. 34, a leucine amino acid separates the hydrophilic spacer and the **arginine** residue which is present at the carboxy-terminus of NGF. This hydrophilic spacer separates the authentic carboxy-terminus from the KpnI-IgG Fc fragment. The carboxy-terminal sides of the **arginine** residues within the hydrophilic linker are both substrates for Kex2 (Lys-Arg, Arg-Arg) while the leucine residue provides a barrier to CPB digestion in order to generate authentic NGF with a final CPA digest.

DETD The above-described procedure (exposure of the Kex2-digested NGF protein to CPB-Sepharose) efficiently removes only the carboxy-terminal **arginine** and lysine. In preparation for CPA digestion, the pH of the sample is adjusted to 8.5 with NaOH after adding 1/10 volume 1 M ammonia carbonate, pH 8.5. Ten units of immobilized CPA (Sigma) is added to the sample for every . $\mu$ .mol of substrate present. The reaction is incubated for 3 hours at room temperature (25.degree. C.) with end over end rotation to insure adequate mixing of substrate with the immobilized matrix. The immobilized CPA is removed by filtration. This reaction can be monitored by the analysis of 200 . $\mu$ .l fractions by the ninhydrin reaction for released free amino groups as described above (Doi, et al., supra). The reaction is complete when a molar equivalent of leucine residues are released to generate authentic NGF. Additional chromatography steps (i.e., ion exchange, gel filtration, RP-HPLC and/or FPLC) may be employed to gain even higher purity of the recombinant NGF.

DETD As shown in FIG. 32, the mature form of human BDNF ends with a carboxy-terminal **arginine** residue and the carboxy-terminal amino acids contain only a portion of the furin motif (e.g., Arg-Gly-Arg). Like other proteins in this family, BDNF contains hydrophilic amino acids at its carboxy-terminus therefore additional consideration in the design of the hydrophilic spacer is needed. Because of the presence of internal dibasic (Lys-Arg) sites within the mature BDNF molecules (see small boxes shown in FIG. 32), it is not a candidate for the in vitro removal of the pro region from the fusion protein as was described above for NGF. Instead, the preproBDNF protein is expressed as a fusion with the IgG fragment; the BDNF and IgG domains are joined via a hydrophilic spacer and sequences which provide a recognition site for the endoprotease renin. The expression vector encoding the BDNF fusion protein is expressed in mammalian cells which produce high levels of furin (e.g., kidney and liver cell lines). This endogenous furin is used to remove the pro region from the BDNF fusion protein in vivo; the secreted fusion protein comprises the mature form of BDNF joined to the IgG affinity domain. The affinity domain is removed from the BDNF protein by digestion with renin and authentic BDNF is then generated by treatment of the renin-digested BDNF with carboxypeptidases.

DETD As shown below, the degeneracy of the codons allowed the creation of an MluI restriction site at the 3' end of the gene without altering the

junction region in pTV-TH-BDNF. Sequences present at the carboxy-terminal end of the BDNF protein, the hydrophilic spacer, the renin recognition site (site of cleavage is indicated by the arrow) and the amino-terminal end of the IgG fragment are shown. The leucine residue separates the hydrophilic spacer and the **arginine** residue which is present at the carboxy-terminus of BDNF.

the hydrophilic spacer contains a leucine and three lysines immediately following the **arginine** residue which is present at the carboxy-terminus of BDNF. This hydrophilic spacer separates the authentic carboxy-terminus from the renin recognition sequence and the KpnI-IgG Fc fragment. The lysines provide a hydrophilic spacer that is resistant to carboxypeptidase Y digestion at pH 5.75 [Klarskov, Anal. Biochem. 180:28 (1989)], while the leucine residue provides a barrier to CPB digestion in order to generate authentic NGF with a final CPA digest.

DETD The buffer is then changed back to the ammonia carbonate buffer as described above and the sample is concentrated to 1 mg/ml using a Centricon-3 cartridge (Amicon) for the CPA digestion. The sample is incubated with immobilized CPA (2 units/ml substrate) for 180 minutes as described above to remove the leucine and lysine residues that remain after the CPD-Y flow digestion. This reaction stops at the **arginine** residue at the carboxy-terminal position of authentic BDNF. Released amino acids are separated from authentic BDNF by gel filtration through a Sephadex G-25 column. Additional chromatography steps (i.e., ion exchange, gel filtration, RP-HPLC and/or FPLC) may be employed to gain even higher purity of the recombinant BDNF.

DETD

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#                   SEQUENCE LISTING

- (1) GENERAL INFORMATION:

-     (iii) NUMBER OF SEQUENCES: 90

- (2) INFORMATION FOR SEQ ID NO:1:

-     (i) SEQUENCE CHARACTERISTICS:

#acids       (A) LENGTH: 8 amino

              (B) TYPE: amino acid

              (C) STRANDEDNESS:

              (D) TOPOLOGY: unknown

-     (ii) MOLECULE TYPE: peptide

-     (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

- Asp Tyr Lys Asp Asp Asp Asp Lys

CLM

What is claimed is:

3. The fusion protein of claim 1, wherein said susceptible amino acids of said hydrophilic spacer are selected from the group consisting of **arginine**, cysteine and lysine.

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DETD NT-4 nucleic acid is RNA or DNA which encodes a NT-4 polypeptide or which hybridizes to such DNA and remains stably bound to it under stringent conditions and is greater than about 10 bases in length; provided, however, that such hybridizing nucleic acid is novel and unobvious over any prior art nucleic acid including that which encodes or is complementary to nucleic acid encoding NGF, BDNF, or NT-3. Stringent conditions are those which (1) employ low ionic strength and high temperature for washing, for example, 0.15M NaCl/0.015M sodium citrate/0.1% NaDodSO<sub>4</sub> at 50.degree. C., or (2) use during hybridization a **denaturing** agent such as formamide, for example, 50% (vol/vol) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50 mM sodium phosphate buffer at pH 6.5 with 750 mM NaCl, 75 mM sodium citrate at 42.degree. C.

DETD The third group of variants are those in which at least one amino acid residue in NT-4, and preferably only one, has been removed and a different residue inserted in its place. An example is the replacement of **arginine** and lysine by other amino acids to render the NT-4 resistant to proteolysis by serine proteases, thereby creating a variant of NT-4 that is more stable. The sites of greatest interest for substitutional mutagenesis include sites where the amino acids found in BDNF, NGF, NT-3, and NT-4 are substantially different in terms of side chain bulk, charge or hydrophobicity, but where there also is a high degree of homology at the selected site within various animal analogues of NGF, NT-3, and BDNF (e.g., among all the animal NGFs, all the animal NT-3s, and all the BDNFs). This analysis will highlight residues that may be involved in the differentiation of activity of the trophic factors, and therefore, variants at these sites may affect such activities. Examples of such sites in mature human NT-4, numbered from the N-terminal end, and exemplary substitutions include NT-4 (G.sub.78 .fwdarw.K, H, Q or R) (SEQ ID NOS. 13, 14, 15 and 16, respectively) and NT-4 (R.sub.85 .fwdarw.E, F, P, Y or W) (SEQ ID NOS. 17, 18, 19, 20 and 21, respectively). Other sites of interest are those in which the residues are identical among all animal species' BDNF, NGF, NT-3, and NT-4, this degree of conformation suggesting importance in achieving biological activity common to all four factors. These sites, especially those falling within a sequence of at least 3 other identically conserved sites, are substituted in a relatively conservative manner. Such conservative substitutions are shown in Table 1 under the heading of preferred substitutions. If such substitutions result in a change in biological activity, then more substantial changes, denominated exemplary substitutions in Table 1, or as further described below in reference to amino acid classes, are introduced and the products screened.

DETD If the signal sequence is from another neurotrophic polypeptide, it may be the precursor sequence shown in FIG. 2 which extends from the initiating methionine (M) residue of NT-2, NT-3, or NGF up to the **arginine** (R) residue just before the first amino acid of the mature protein, or a consensus or combination sequence from any two or more of those precursors taking into account homologous regions of the precursors. The DNA for such precursor region is ligated in reading frame to DNA encoding the mature NT-4.

DETD Arginyl residues are modified by reaction with one or several conventional reagents, among them phenylisothiocyanate, 2,3-butanedione

DETD Other modifications include hydroxylation of proline and lysine, phosphorylation of hydroxyl groups of seryl or threonyl residues, methylation of the amino group of lysine, and methylation of the **arginine**

Properties, W. H. Freeman & Co., San Francisco, pp. 79-86. acetylation of the N-terminal amine, and amidation of any C-terminal carboxyl group. NT-4 also is covalently linked to nonproteinaceous polymers, e.g. polyethylene glycol, polypropylene glycol or polyoxyalkylenes, in the manner set forth in U.S. patent application Ser. No. 07/275,296, now abandoned, or U.S. Pat. Nos. 4,640,835; 4,496,689; 4,301,144; 4,670,417; 4,791,192 or 4,179,337.

DETD Therapeutic formulations of NT-4 are prepared for storage by mixing NT-4 having the desired degree of purity with optional physiologically acceptable carriers, excipients or stabilizers (Remington's Pharmaceutical Sciences, supra), in the form of lyophilized cake or aqueous solutions. Acceptable carriers, excipients or stabilizers are nontoxic to recipients at the dosages and concentrations employed, and include buffers such as phosphate, citrate and other organic acids; antioxidants including ascorbic acid; low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone, amino acids such as glycine, glutamine, asparagine, **arginine** or lysine; monosaccharides, disaccharides and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugar alcohols such as mannitol or sorbitol; salt-forming counterions such as sodium; and/or nonionic surfactants such as Tween, Pluronic or PEG.

DETD Aliquots of 200 .mu.l are taken from each 1 ml fraction collected, dialyzed against 1M acetic acid, lyophilized, and redissolved in 30 .mu.l Laemmli SDS-PAGE sample buffer (Laemmli, 1970, Nature 227:680). Human .beta.-NGF is obtained in a similar manner. Following SDS-PAGE, the silver-stained gel indicates a single, prominently stained polypeptide of approximately 15 kD. A 3-ml pool of S-300 column eluted fractions corresponding to this SDS-PAGE analyzed region is made, and 1 ml (0.5 nmole) is submitted to N-terminal amino acid sequence analysis by Edman degradation performed on a prototype automated amino acid sequencer (Kohr, EP Pat. Pub. No. 257,735). N-terminal sequence analysis gives a single sequence starting with a glycine residue predicted by the tetrabasic cleavage sequence ending in an **arginine**, and predicted by the processing of **preproNGF** to mature .beta.-NGF.

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